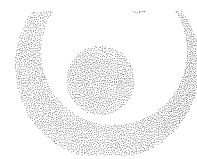


Family Respite Care Grants



As a nonprofit member organization of the Alzheimer's Foundation of America (AFA), you are eligible to apply for funding through AFA's various grant processes, including **Family Respite Care Grants**.

About the Grants

As the incidence of Alzheimer's disease and related dementias increases nationally, more and more families are finding themselves thrust into caregiving roles. In fact, the majority of care provided to people with the disease is by unpaid family members. Research indicates that these responsibilities increase the risk of illness and mortality for the caregiver, and earlier institutionalization for the person with dementia. According to an AFA survey of family caregivers, the majority of respondents believe that more help with day-to-day caregiving activities, financial support, emotional support, and more free time for self-care would help ease the overwhelming responsibilities of caregiving.

AFA has created the Family Respite Care Grants in response to this demand for relief.

Selected grants will be named the Phyllis and Milton Berg Family Respite Care Grant, to honor the deceased parents of Barry E. Berg, a member of AFA's board of trustees; or the Anna P. Brodsky Family Respite Care Grant, to honor the late mother of AFA Board Chairman Bert E. Brodsky.

These grants will provide scholarships only to qualified individuals with a diagnosis of

Alzheimer's disease or a related dementia and/or their families to take advantage of respite services. Respite services include social model adult day programs, in-home aides, companion care, overnight respite, etc. As a result of the grants, caregivers will obtain much needed "time off"; individuals with dementia will receive supervision and socialization; and grassroots organizations will net additional support to service their communities.

The Family Respite Care Grants are awarded via a competitive application process to AFA nonprofit member organizations in good standing that submit grant applications; the grants must be utilized to provide scholarship funding to clients (individuals with Alzheimer's disease or a related dementia and/or their families) with financial needs. The scholarships are for services offered at the grantee's own organization or another agency, such as adult day programs, in-home aides, or hospice care.

In each grant cycle, an organization may request funding of up to \$5,000 to assist clients.

The organization can decide how many scholarships it will award with the grant monies based on the unique needs of its clients. However, AFA requires a maximum scholarship of \$2,500 per client in a grant cycle. Organizations can opt to provide small scholarships and, thus, serve more clients. For example, an organization can divide a \$5,000 grant into scholarships of \$2,500 each to two clients, \$1,000 each to five clients, \$500 each to 10 clients, etc.

The grantee is responsible for setting financial or other guidelines for client qualification; accepting and processing scholarship applications; and ensuring that the funds are spent on respite services at their own organizations or another agency, or returned if unused. Grant monies must be used within one year of the grant award; any unused funds must be returned to AFA.

AFA offers the Family Respite Care Grants in the spring (postmarked deadline June 1) and the fall (postmarked deadline December 1) of each year. Organizations that have been awarded a Family Respite Care Grant in the previous cycle must wait one calendar year before applying again.

Criteria for Funding

Grant eligibility is open to any AFA nonprofit member organization in good standing. However, AFA is looking to fund those organizations that have limited funding to provide scholarships sufficient to meet community demand and that serve clients with the greatest need for respite services as determined by their selection criteria. An organization that does not offer respite services can still apply for the grant and allows clients to use the scholarships at another agency.

Evaluation is based on:

- Community need, based on local demographic information about the incidence of dementia, socioeconomic status, and the availability of other dementia specific resources
- Program requirement that all potential scholarship recipients have a diagnosis of dementia and have financial need

- Other funding sources for respite care scholarships
- Selection criteria for scholarship recipients developed by member organization based on client demand; the criteria should take into account measurable and verifiable financial need of clients, level of care needs, and individual family situations
- Organization's strength as a respite service (in-house or at another agency), with evidence of program outcomes and "best practices"
- Program budget with evidence that organization's budget is being utilized effectively to meet needs of current clients and that awarded funds will be utilized efficiently*

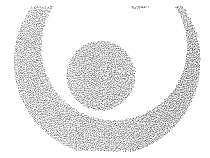
**If an organization does not provide its own respite services (and clients will be using scholarships at other agencies), please attach an additional sheet that explains some of the available services in the community, and the clients' utilization and experiences with these agencies.*

Reporting Guidelines

To help us evaluate the Family Respite Care Grants and share results with relevant stakeholders, all grant recipients must submit a final outcomes and assessment report within one year of receipt of funds, AFA will provide the report criteria to each grant recipient following the award; the grant report includes the total number of full or partial scholarships funded by this grant, the number of new or existing clients, and a profile (demographics and utilization) of each client served (not including any identifying information).

For more information, please call 866.232.8484 or e-mail membership@alzfdn.org.

Family Respite Care Grants



Please complete this form in its entirety, attach your organizations W-9, and mail/fax/email to:
Alzheimer's Foundation of America
322 Eighth Ave, 7th Floor, New York, NY 10001
Attn: Family Respite Care Grants Proposal
Phone: 866.232.8484 Fax: 646.638.1546 E-mail: membership@alzfdn.org

Deadlines: **Spring:** (postmarked by) June 1 **Fall:** (postmarked by) December 1
**Please add attachments should you need more space than provided.*

Organization Information

County of El Dorado - Health and Human Services Agency

ORGANIZATION

3057 Briw Road

ADDRESS

Placerville, CA 95667

CITY

STATE

ZIP

(530)621-6180

PHONE

<http://www.edcgov.us/HumanServices>

WEBSITE

Jillien Smith

Adult Day Services Supervisor

jillien.smith@edcgov.us

CONTACT PERSON

TITLE

E-MAIL

Have you applied for the Family Respite Care Grants in the past? Yes No

Have you been awarded the Family Respite Care Grant in the past? Yes No

If yes, when (cycle, year)? _____

Background

1850

YEAR ORGANIZATION WAS FOUNDED

593

NUMBER OF (ORGANIZATION-WIDE) EMPLOYEES

HHSA serves thousands of clients across multiple programs, including behavioral health, social services, senior services, public health, and numerous others.

600

NUMBER OF (ORGANIZATION-WIDE) VOLUNTEERS

NUMBER OF (ORGANIZATION-WIDE) CLIENTS ANNUALLY

\$165,000,000

ANNUAL (ORGANIZATION-WIDE) BUDGET

185,577 / 8.5%

TOTAL POPULATION AND PERCENTAGE OF THOSE FALLING BELOW FEDERAL POVERTY LINE IN COMMUNITY SERVED

7.6%

INCIDENCE OF DEMENTIA IN THE COMMUNITY (AS A WHOLE, NOT LIMITED TO YOUR ORGANIZATION)

\$106,950

AVERAGE INCOME IN THE COMMUNITY (AS A WHOLE, NOT LIMITED TO YOUR ORGANIZATION)

35,850

65+ POPULATION IN THE COMMUNITY (AS A WHOLE, NOT LIMITED TO YOUR ORGANIZATION)

There is one other private provider within the County.

AVAILABILITY OF OTHER RESPITE CARE SERVICES FOR THE DEMENTIA POPULATION

35,850

65+ POPULATION IN THE COMMUNITY (AS A WHOLE, NOT LIMITED TO YOUR ORGANIZATION)

Respite Care Program Information

If scholarships will apply to more than one program, answer all questions for each program. Please provide a separate attachment with information about each program.

Adult Day Services Program (2 locations) - See Attachment

TYPE OF RESPITE CARE PROGRAM (E.G., ADULT DAY PROGRAM, IN-HOME AIDES)

Note: If services are through an outside agency, please provide this information on behalf of that agency.

YEAR PROGRAM BEGAN	CREDENTIALS OF PROGRAM SUPERVISOR (E.G., RN, LCSW)	
NUMBER OF (PROGRAM) EMPLOYEES	NUMBER OF (PROGRAM) VOLUNTEERS	
NUMBER OF (PROGRAM) CLIENTS WEEKLY (UNDUPLICATED)	PERCENTAGE OF (PROGRAM) CLIENTS WEEKLY (UNDUPLICATED) WITH DEMENTIA	
STAFF-CLIENT RATIO	FEEES (E.G., HOURLY, DAILY)	ANNUAL (PROGRAM) BUDGET

Project whether the grant funding would enable you to:

Add new program clients: Yes No If Yes, how many? 4

Maintain program clients (who might otherwise have dropped out):
 Yes No If Yes, how many will be awarded scholarships? 3

Bring back past program clients (who dropped out due to inability to pay):
 Yes No If Yes, how many will be awarded scholarships? 3

Do you have adequate staff to handle additional clients? Yes No
If No, do you have funds in the program budget to hire the necessary staff? Yes No

Does your organization currently offer respite scholarships to financially-needy clients?

Yes No *If Yes, please answer all questions below. If No, please skip to "Criteria Used for Eligibility" question.*

YEAR SCHOLARSHIP ESTABLISHED TOTAL AMOUNT OF SCHOLARSHIPS ANNUALLY

TOTAL AMOUNT OF SCHOLARSHIP RECIPIENTS ANNUALLY

CRITERIA USED FOR ELIGIBILITY

OTHER FUNDING SOURCES FOR THESE SCHOLARSHIPS

UNMET DEMAND (I.E., HOW MANY MORE SCHOLARSHIPS WOULD BE GIVEN IF FUNDS AVAILABLE)

Please give details about the setting in which respite is provided, staff training/education standards, and program characteristics (e.g., activities, unusual features).

See Attachment

Please provide evidence of program outcomes and/or best practices.

See Attachment

Title and qualifications of person who will administer the scholarship program:

See Attachment

What criteria will you use to determine level of need and eligibility for each client?

(For example, you might determine Alzheimer's diagnosis based on a letter from the person's doctor, and you might determine family need based on monthly income to expense ratio, the percentage of income below the county poverty line, or receipt of certain entitlements such as food stamps or Medicaid, with financial eligibility determined by a reliable third party.)

See Attachment

List current funding sources for the operation of the respite program(s), if applicable:

SOURCE	AMOUNT
Revenue from Private Pay Clients	\$ 343,710
Revenue from other grants (please specify)	Alta Regional \$17,833, Del Oro \$2,788, FCSP \$3,180, MSSP \$3,881, and Alzheimer's Assc. \$1,000 (one-time grant)
Revenue from sponsors	\$ _____
Revenue from private donors	\$ _____
Revenue from Medicaid	\$ _____
Other (please specify)	\$ 472,915 (County General Fund)

Annual Respite Care Program Budget, if applicable:

Note: Please provide respite care program budget only (not organization budget) and specify the exact use of AFA grant funds in the center column labeled, "Funded by AFA Grant - If Awarded"

	Total Budget	Funded by AFA Grant If Awarded	Other Sources
Personnel-Salary	\$462,604	_____	_____
Personnel-Fringe Benefits	\$220,783	_____	_____
Rent	_____	_____	_____
Telephone	\$500	_____	_____
Supplies	\$138,930	_____	_____
Equipment	\$9,125	_____	_____
Advertising	_____	_____	_____
Printing	\$500	_____	_____
Insurance	\$3,344	_____	_____
Transportation	\$1,600	_____	_____
Other	\$299,029	_____	_____

ATTACHMENT

Respite Program Information:

	El Dorado Hills 990 Larsen Lane El Dorado Hills, CA 95762	Placerville 935-A Spring Street Placerville, CA 95667
Type of Respite Program:	Adult Day Care	Adult Day Care
Year Program Began:	2014	1991
Credentials of Program Supervisor:	BS in Psychology, Certified as an Activity & Memory Care Consultant	BA Psychology, Certification as Geriatric Care Management
Number of Program Employees:	6	7
Number of Program Volunteers:	22	32
Number of Program Clients:	49	41
% of Program clients weekly w/ Dementia:	78.4%	72.5%
Staff – client Ratio:	1:8	1:8
Fees (e.g. hourly, daily):	\$58/Day	\$58/Day
Annual Program Budget:	\$385,626	\$578,439

Please give details about the setting in which respite is provided: staff training/education standards, and program characteristics (e.g., activities, unusual features):

County of El Dorado has two Adult Day Services centers, one in Placerville and one in El Dorado Hills, and has been successfully serving the residents of El Dorado County for over 25 years. Both centers provide a warm, nurturing, and friendly setting, offering a variety of activities for each client’s body, mind, and spirit.

The supervisor staffed at the El Dorado Hills location has a Bachelor of Science in Psychology and is certified as an Activity and Memory Care Consultant. The supervisor staffed at the Placerville location also has a Bachelor’s degree in Psychology and obtained certification in Geriatric Care Management. The program, as a whole, consists of nine “Program Aide” positions. Of the Program Aides there are three certified nursing assistants, a dental assistant, a medical assistant, and two other college educated Aides. Between them, there is a combined 77 years of care giving experience; the Program Aides average 8.5 years of experience overall. Program Aides are required to have at least one year of caregiver experience and must complete in-house orientation, eight (8) hours minimum of training, CPR/First Aid certification, and annual continuing education.

Each location provides supportive services, resources, education along with respite care for family members who are taking care of those with dementia or Alzheimer’s. Support group attendance totaled 175 over the past year. Activities at each center include daily group exercise classes, crafts, modified sports, guest speakers, live music, and field trips. A copy of our March 2017 Adult Day Services Newsletter “Nuggets,” is attached hereto as a sample of the information and resources available to our clients and their caregivers.

In cooperation with County’s Adult Day Services Program and Local Law Enforcement Agencies, Adult Day Services is able to provide the Elder ID and Care Trak programs to aid in the safe return of those who wander or have the potential to wander. Elder ID uses a picture and client information to help law enforcement expedite a safe return home. All client information is kept confidential and the program is free to join. The Care Trak program takes Elder ID a step further by using a tracking system. Clients wear

a radio transmitter that communicates with El Dorado County Search and Rescue's Mobile Locator, providing valuable peace of mind for caregivers who entrust their loved ones to the program.

Please provide evidence of program outcomes and/or best practices:

The County's Adult Day Services Program has been named by the State of California as the Alzheimer's Day Care Resource Center for El Dorado County. Recognized as a day care leader, the County has specialized in dementia care since 1989. A growing resource library, monthly caregiver gatherings/support groups, monthly caregiver workshops, and community seminars are all available at no charge. Caregiver support is important to the health and wellbeing of a caregiver as well as the client.

The Adult Day Services Program administers memory testing upon enrollment. Cognitive testing using the Mini-Mental State Examination (MMSE) is administered to all clients of the program at least annually, but sometimes as frequently as once a week. Currently, there are 19 clients with a dementia diagnosis, all who have been enrolled in the program for over two years. The average length of overall attendance is 2.9 years. The average client shows a decline of 1.38 points (based on the 30 point MMSE scoring system) per year, per client. These numbers demonstrate a slow progression rate in dementia clients. While Alzheimer's and dementia cannot be cured, HHS makes every effort to maximize the positive effect of our program in order to delay disease progression. These programming efforts center on cognitively and physically stimulating activities offered by the El Dorado County Adult Day Centers.

Title and qualification of person who will administer the scholarship program:

Jillien Smith, Program Supervisor, has 20 years of experience in long term care for persons diagnosed with dementia. She will administer this program in conjunction with her duties of administrating the day program – screening potential clients and doing outreach to offer resources to community members affected by dementia.

What criteria will you use to determine level of need and eligibility for each client?

The Adult Day Services Program intends to issue ten (10) scholarships in the amount of \$500 to clients who meet a two part eligibility criteria. The first criterion is a verifiable diagnosis. This will be accomplished upon admission to the Adult Day Services Program by having clients submit a doctor's note indicating a diagnosis of dementia or Alzheimer's, which is already standard operating procedure. The second part of the eligibility criteria is verifying need based on income; Adult Day Services will be using an established criteria determining which clients qualify as low income. This criterion comes from the State of California's Utility Assistance Income Guidelines, which establish low income at above 60% of the State's median income and/or above 150% of the Federal Poverty Line. Adult Day Services believes this standard is reasonable, based on California's exceptionally high cost of living. Eligibility based on federal poverty standards would leave many low income seniors without services, which are far lower than the median for El Dorado County. By targeting low income defined in the local context, we are attempting to target clients who are both in need and also not able to access resources from other aid programs. As an additional goal of this scholarship program, Adult Day Services hopes the scholarships will allow families time to try the Adult Day Services Program and experience the support available, thereby increasing access for caregiver and clients in need as well as long term utilization of supportive services.

Compliments of

El Dorado County Health & Human
Services Agency

Adult Day Services

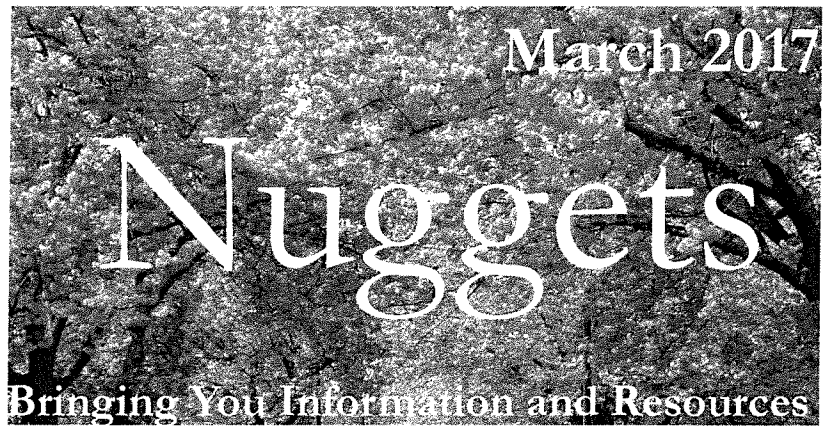
935-A Spring Street, Placerville, CA 95667

990 Lassen Lane, El Dorado Hills, CA 95762

PH.530-621-6180 or 916-358-3560

FAX.530-663-8418 EMAIL: sdinfo@edcgov.us

If you would like to be removed from this list, please call the number shown above.

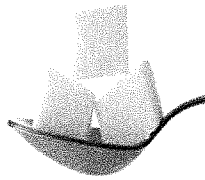


Adult Day Care Services of El Dorado County, offering a “world of activity for mind, body and spirit,” would like to welcome you to *Nuggets*, an educational tool for current information on Alzheimer’s Disease and related disorders. Each issue provides you the latest research on dementia-related disorders as well as workshops & community resources.

Alzheimer’s Disease and High Blood Sugar

A great mystery of Alzheimer’s Disease remains the cause of this dreaded disease. While the classic plaques and tangles seen in the brain have been known for over a century, the reason they form is still uncertain. Additionally, healthy aging brains often have similar plaques and tangles but in more limited numbers. A new piece to this puzzle was recently reported by researchers from the University of Bath in England (*Scientific Reports* 2/2017).

This team was researching the link between chronic high blood sugars in people with diabetes and their increased risk of developing Alzheimer’s. In the process, they discovered a



molecular link between blood sugar and Alzheimer’s.

Researchers noted in the early stages of Alzheimer’s that a reaction caused by high sugar levels was damaging an enzyme called MIF. MIF is necessary for immune response and balancing insulin. It is also involved in the function of cells that clear broken or unhealthy proteins from the brain. If those proteins are allowed to build up they form the plaques and tangles of Alzheimer’s. This finding is one clue towards why the brains of those with Alzheimer’s have cell death that causes brain shrinkage.

Dr. Omar Kassar from the University of Bath summarized the study by sharing that “Excess sugar is well known to be bad for us when it comes to diabetes and obesity, but this potential link with Alzheimer’s disease is yet another reason that we should be controlling the sugar intake in our diets.”

Care Partner Guilt

Care partners of people with dementia are regularly burdened by guilt. Guilt can drain the energy of people who already have too much on their plate. Providing for or partnering in the care of another adult can be very difficult on its own and guilt only makes it more trying. This can be due to feelings of being a failure and self-blame through expectations of perfection. People may also beat themselves up for everything difficult that happens whether or not it was their fault. On top of this, it is very common to have negative feelings toward the person for whom care is being given which causes even more guilt.



Instead of letting guilt be a controlling force, it is important to understand those feelings and find more helpful ways to deal with this stress. Talking about guilt, attending a support group or counseling appointments can be helpful.

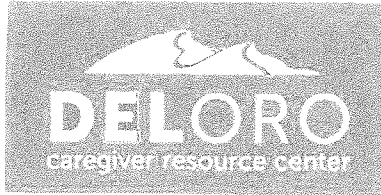
Hobbies and Dementia?

“Mom doesn’t do anything anymore...”

“My husband sleeps or watches TV all day...”

The right amount of creative, productive and entertaining activities are crucial for people with dementia. Avoiding the boredom of inactivity can help avoid difficult behaviors, stimulate brain and body skills, and add a sense of purpose and enjoyment to life.

Care partners can review past hobbies such as sewing, gardening, woodworking, or cooking and experiment with ways to help the person with dementia to enjoy those things again. Simplifying projects, giving reminders and breaking tasks into one step processes can help. The staff at the EDC Adult Day Programs are experts with activities and can assist families in ways to bring back past hobbies for the person with dementia.



May 31, 2017

Alzheimer's Foundation of America
322 Eighth Ave., 7th Floor
New York, NY 10001

To Whom It May Concern,

Del Oro Caregiver Resource Center (Del Oro) and the County of El Dorado, Adult Day Services program have partnered since the program was established. Our Family Consultants provide support and services to family caregivers, frequently referring clients to the program for much needed respite care; we have enjoyed a solid working relationship over the years.

Del Oro Caregiver Resource Center is a private, non-profit human services agency, delivering a comprehensive package of caregiver-centered services throughout 13 area counties. Del Oro Caregiver Resource Center serves family caregivers who are providing care to a brain-impaired adult or physically frail elder. The mission of Del Oro Caregiver Resource Center is to improve the well-being of family caregivers and to provide support throughout the caregiving process. As an agency that works primarily with at-risk clients, Del Oro recognizes the important work of El Dorado Hills Adult Day Services in providing support to family members who are taking care of those with dementia or other chronic health issues.

We are thankful for the partnership we have with County of El Dorado Adult Day Services and appreciate the continued support of our mutual clients.

Best regards,

A handwritten signature in cursive script that reads "Michelle Nevins". The signature is written in dark ink and is positioned above the printed name and title.

Michelle Nevins, MBA, MA
Executive Director

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

Print or type See Specific Instructions on page 2.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. COUNTY OF EL DORADO		
	2 Business name/disregarded entity name, if different from above		
	3 Check appropriate box for federal tax classification; check only one of the following seven boxes: <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <i>Note.</i> For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner. <input checked="" type="checkbox"/> Other (see instructions) ▶ LOCAL GOV'T		4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) <u>3</u> Exemption from FATCA reporting code (if any) <u>C</u> <i>(Applies to accounts maintained outside the U.S.)</i>
	5 Address (number, street, and apt. or suite no.) 360 Fair Lane		Requester's name and address (optional) Alzheimer's Foundation
	6 City, state, and ZIP code Placerville, CA 95667		
	7 List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number									
OR									
Employer identification number									
9	4		6	0	0	0	5	1	1

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here	Signature of U.S. person ▶ <i>Bols Toscano</i>	Date ▶ <i>4/13/17</i>
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.

By signing the filled-out form, you:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.