



AGREEMENT NUMBER <b>09F-5110</b>	AMENDMENT NUMBER <b>0</b>
REGISTRATION NUMBER	

1. This Agreement is entered into between the State Agency and the Contractor named below  
 STATE AGENCY'S NAME  
**Department of Community Services and Development**  
 CONTRACTOR'S NAME  
**El Dorado County Department of Human Services**
2. The term of this Agreement is: **July 1, 2009 through September 30, 2010**
3. The maximum amount of this Agreement is: **\$ 175,375.00**
4. The parties agree to comply with the terms and conditions of the following exhibits that are by this reference made a part of the Agreement:  
 ARRA Exhibit A - Scope of Work  
 ARRA Exhibit B - Budget Detail and Payment Provisions  
 ARRA Exhibit C - Supplemental Terms and Conditions for Contracts Funded by the American Recovery and Reinvestment Act  
 ARRA Exhibit D - Special Terms and Conditions, Attachment I, 2009 Audit Guide  
 ARRA Exhibit E - Additional Provisions  
  
 Exhibit A - Scope of Work  
 Exhibit B - Budget Detail and Payment Provisions  
 Exhibit C - General Terms and Conditions (GTC - 307)  
 Exhibit D - Special Terms and Conditions  
 Exhibit E - Additional Provisions  
 Exhibit F - Definitions  
 Exhibit G - Certification Regarding Lobbying/Disclosure of Lobbying Activities

**IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.**

<b>CONTRACTOR</b>		<b>CALIFORNIA Department of General Services Use Only</b>
CONTRACTOR'S NAME <i>(If other than an individual, state whether a corporation, partnership, etc.)</i> <b>El Dorado County Department of Human Services</b>		<p><b>I hereby certify that all conditions for exemption have been complied with, and this document is exempt from the Department of General Services' approval.</b></p> <p><input type="checkbox"/> Exempt per _____</p>
BY <i>(Authorized Signature)</i> 	DATE SIGNED <i>(Do not type)</i>	
PRINTED NAME AND TITLE OF PERSON SIGNING <b>Ron Briggs, Chairman El Dorado County Board of Supervisors</b>		
ADDRESS <b>3057 Briw Rd #A, Placerville, CA 95667</b>		
<b>STATE OF CALIFORNIA</b>		
AGENCY NAME <b>Department of Community Services and Development</b>		
BY <i>(Authorized Signature)</i> 	DATE SIGNED <i>(Do not type)</i>	
PRINTED NAME AND TITLE OF PERSON SIGNING <b>Ed Lee, Manager, Information Technology Services</b>		
ADDRESS <b>700 North 10th Street, Room D215, Sacramento, California 95811-0336</b>		

**ARRA EXHIBIT A  
(Standard Agreement)**

**SCOPE OF WORK: AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009**

**ARRA PROVISIONS**

1. The Community Services Block Grant has been established, funded and administered under the provisions of the American Recovery and Reinvestment Act of 2009 (“ARRA”), and is subject to the guidance, directives and applicable laws and regulations of the Federal Government and the State of California. The parties recognize and agree to the following underlying principles, which shall form the framework for implementation of ARRA and the subject program.

**WHEREAS:**

- ARRA is a comprehensive, yet targeted, response of the Federal Government to the severe and adverse economic conditions prevailing in the United States of America;
- These conditions require urgent and immediate action by and among many segments of society and the national economy;
- ARRA and the subject program are intended to stimulate economic activity and job growth in the communities served by the parties; and
- The Office of Management and Budget (OMB) considers all Federal programs with ARRA expenditures to be “high risk” due to the new transparency and accountability requirements; and
- The urgency of the economic conditions is such that some of the requirements and elements of the subject program have not been fully elaborated by the Federal Government and, as a consequence, the needed enabling measures and actions by the State of California are in preliminary form;

**IT IS THEREFORE AGREED:**

- A. That the parties shall be guided by and subject to the provisions of ARRA, ARRA-related legislation, and all Federal and State regulations, directives, guidance and circulars issued for the purpose of implementing the ARRA program (hereinafter “ARRA Obligations”);
- B. Because some requirements of the ARRA program lack specificity, particularly with regard to, but not limited to, reporting requirements, funding allocations, timeframes and the like, CSD shall provide Contractor with specific ARRA requirements as they are issued or are otherwise made available to CSD by the Federal and State Government, which requirements shall be binding on the

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Contractor as a condition of the Contractor's participation in the ARRA program, and as a condition of receipt of funds under the program, PROVIDED:

- 1) That such additional requirements shall be issued by CSD in writing in the form of "ARRA program guidance, bulletins and/or directives;"
  - 2) That such additional requirements shall be issued by CSD in most timely and expeditious manner practicable;
  - 3) That such additional requirements shall be reasonably necessary to satisfy the Contractor's and CSD's ARRA Obligations and to realize the purposes of ARRA;
  - 4) That major and material changes in the ARRA program and/or ARRA requirements which substantially affect the Contractor's and/or CSD's ability to fulfill their ARRA Obligations or otherwise serve to create a substantial hardship on either the Contractor or CSD shall be subject to an amendment to this Agreement;
  - 5) That the parties' failure to execute a mutually acceptable amendment, as contemplated in subparagraph B., 4) above, in a reasonable period of time, given the exigencies of the ARRA program, shall result in this Agreement's being without force and effect subject only to such provisions contained herein as are intended to survive the Agreement in accordance with the express and implied provisions of applicable Federal and State law; and
  - 6) That upon CSD's good faith determination, delivered to the Contractor by written notice, that Agreement between the parties to any necessary amendment as contemplated in subparagraph B., 4) above, cannot be achieved, then this Agreement shall be "closed out" and the funds disposed in accordance with established CSD procedure and policy and as required under Federal and State law.
- C. That the Contractor shall, in accordance with such governing laws, charter, articles, bylaws, ordinances, rules and procedures as are applicable to the Contractor, issue resolutions for the approval of this Agreement which may address the unique nature of ARRA program requirements and ARRA Obligations and which may create provisional or conditional authorizations or approvals that are subject to further elaboration and/or determination as contemplated in subsection B. above., to include, but not limited to grant amounts, and such other provisions which may, during the term of this Agreement, be altered or adjusted as a result of actions by the Federal and State Governments in accordance with ARRA and ARRA Obligations. Should the

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Contractor be obligated under its own procedure to amend or reissue such resolutions as are contemplated herein, it shall provide a copy of such resolution to CSD as soon as is practicable.

- D. That for purposes of ensuring full compliance with ARRA and ARRA Obligations, CSD may initiate special audits, monitoring visits and requests for ARRA program-related information, which Contractor shall provide and/or accommodate in a timely fashion.
- E. That Contractor shall, to the extent practical and feasible, include in all informational materials made available to the general public, including but not limited to newsletters, bulletins, fliers, advertisements, forms and signs, the following phrase: "This project, program or service is funded in whole or in part by the American Recovery and Reinvestment Act of 2009 in cooperation with the California Department of Community Services and Development."

2. PURPOSE

Contractor agrees to provide services and activities to advance self sufficiency and reduce economic dependency in accordance with the federal provisions of Public Law 105-285, Title II – Community Services Block Grant Program, Subtitle B – Community Services Block Grant Program of the Community Services Block Grant Act/American Recovery and Reinvestment Act 2009 to eligible beneficiaries residing in Contractor’s Service Area described in Exhibit A, Section 4.

- A. Contractor is prohibited from using ARRA funds for lobbying purposes and activities as provided in standard Exhibit E, paragraph. 6. E. of this agreement.
- B. Contractor may use ARRA funds to support outreach activities in connection with Contractor’s ARRA Department of Energy (DOE) Weatherization (WX) and DOE Weatherization Assistance Program (DOE WAP) for low income purposes, if any, but is prohibited from expending ARRA funds to pay for administrative costs or labor, construction or material costs associated with those programs.

3. ORDER OF PRECEDENCE

In the event of any inconsistency among any provisions of this Agreement, the American Recovery and Reinvestment Act of 2009, Public Law 111-5 shall take precedence over the non-ARRA Exhibits A through E.

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4. SEPARATE ACCOUNTING

Grantees must segregate the obligations and expenditures related to funding under the Recovery Act. Financial and accounting systems should be revised as necessary to segregate, track and maintain these funds apart and separate from other revenue streams. No part of the funds from the Recovery Act shall be commingled with any other funds or used for a purpose other than that of making payments for costs allowable for Recovery Act projects. Recovery Act funds can be used in conjunction with other funding sources as necessary to complete projects, but tracking and reporting must be separate to meet the reporting requirements of the Recovery Act and OMB Guidance.

4. SUBCONTRACTING

A. Contractor is charged with responsibility of ensuring that the strategic objectives, including the transparency and accountability requirements of ARRA, are met with respect to all subcontracts executed in furtherance of this agreement and of the ARRA CSBG program. Accordingly, Contractor's ARRA-related subcontracts shall incorporate the essential provisions, duties and obligations set forth herein and Contractor shall ensure that subcontractors' performance is fully compliant with this agreement.

B. In order to effect the purpose and intent of subsection A, above, Contractor shall:

- 1) submit to CSD within 30 calendar days of execution of each ARRA subcontractor agreement the name of the subcontractor, its address, telephone number, contact person, ARRA contract amount, ARRA project name, ARRA project description, expected outcomes, projected number of jobs to be created, projected number of jobs to be retained and a brief description of the types of jobs to be created and retained.
- 2) monitor subcontractor performance to ensure compliance;
- 3) assess risk of failure of compliance and take steps necessary to mitigate such risk;
- 4) develop monitoring tools and schedules and conduct evaluations in order to effect the purposes of subsections 2) and 3) above;
- 5) direct subcontractors to register in Central Contractor Registration (CCR) and obtain a Dun and Bradstreet Universal Numbering System (DUNS) number; and
- 6) provide CSD with copies of monitoring tools and monitoring schedules, subcontractors' CCR and DUNS number, as well as risk assessment plans and evaluation reports developed by Contractor.

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- C. Contractor agrees to separately identify to each subcontractor and to document at the time of subaward and at the time of disbursement of funds, the Federal award number, Catalog of Federal Domestic Assistance number, and amount of ARRA Funds. When a Contractor awards ARRA funds for an existing program, the information furnished to subcontractor shall distinguish the subawards of ARRA funds from regular subawards under the existing program.
- D. Contractor agrees to require its subcontractor to include on its Schedule of Expenditures of Federal Awards (SEFA) information to specifically identify ARRA funding similar to the requirements for the recipient SEFA. This information is needed to allow CSD to properly monitor subcontractor expenditure of ARRA funds as well as oversight by the Federal awarding agencies, Offices of Inspector General and the Government Accountability Office.
- E. All subcontracts, procurement agreements and the like entered into under the CSBG ARRA program shall be subject to the competitive bid process as required under OMB guidelines and as provided in standard Exhibit E, 2, "Procurement" of this agreement, except however, the minimum threshold qualifying amount specified in Exhibit E 2 shall be \$500 rather than \$5,000, in conformance with the great accountability requirements of ARRA. In the event Contractor is unable to comply with the competitive bid process, justification for a Non-Competitively Bid (NCB) Contract must be submitted to CSD for pre-approval. Justification shall include adequate responses to the following questions and requests for information:
- 1) Why is the acquisition restricted to this good/ service/ supplier?
  - 2) Provide the background of events leading to this acquisition or contract.
  - 3) Describe the uniqueness of the acquisition (why was the good/ service/ supplier chosen?)
  - 4) What are the consequences of not purchasing the good/ service or contracting with the proposed supplier?
  - 5) What market research was conducted to substantiate NCB, including evaluation of other items or services considered?
  - 6) How was the price offered determined to be fair and reasonable?
  - 7) Describe any cost savings realized or costs avoided by acquiring the goods/ service from this supplier.
  - 8) Why is the submission of a NCB necessary and what are the determining factors that caused the problem?
  - 9) What are the consequences of not having the NCB approved?
  - 10) How will Contractor ensure adequate planning to prevent submittal of NCB's for goods or services that should have been competitively bid?

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- F. Given the high standards and expectations for transparency and accountability under ARRA and the strong emphasis on reducing the incidence of waste, fraud and abuse, CSD has provided Contractor with more detailed guidance on subcontract management, with particular attention given to risk assessment and subcontractor monitoring. This information is available on the CSD website at [www.csd.ca.gov](http://www.csd.ca.gov), under the title "ARRA Program Guidance." To comply with the provisions of this paragraph, Contractor is highly encouraged to consult the guidance and to follow the guidelines set out therein.

6. LEGAL AUTHORITY

In accordance with Public Law 105-285 Title II, Community Service Grant Program, Subtitle B-Community Services Block Grant Program of the Community Block Services Block Act, as amended, authorizes the Department of Community Services and Development to administer the American Recovery and Investment Act of 2009. All grant awards made under this Program shall comply with applicable law including regulations contained in 45 CFR, the American Recovery and Reinvestment Act of 2009 and other procedures applicable to this regulation as CSBG may, from time-to-time, prescribe for the administration of financial assistance.

7. ARRA TERMS, CONDITIONS AND PROVISIONS

A. Program Standards

The provisions of Public Law 105-285, Title II – Community Services Block Grant Program, Subtitle B – Community Services Block Grant Program of the Community Services Block Grant Act, the provision of the current approved Community Services Block Grant State plan, including all approved amendments or revision. OMB M-09- 10 Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009.

B. Administrative Requirements in accordance with Title 45 of the Code of Federal Regulations (CFR).

45 CFR Part 16 – Procedures of the Departmental Grant Appeals Board;  
45 CFR Part 30 – Claims Collection;  
45 CFR Part 76 – Debarment and Suspension from Eligibility for Financial Assistance (Nonprocurement);  
45 CFR Part 80 - Nondiscrimination Under Programs Receiving Federal Assistance through the Department of Health and Human Services, Effectuation of Title VI of the Civil Rights Act of 1964;  
45 CFR Part 81 - Practice and Procedure for Hearings Under Part 80 of this Title;  
45 CFR Part 84 - Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving Federal Financial Assistance;

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45 CFR Part 86 – Nondiscrimination on the Basis of Sex in Education Programs and Activities Receiving or Benefiting from Federal Financial Assistance;  
45 CFR Part 87 – Equal Treatment for Faith-Based Organizations;  
45 CFR Part 91 – Nondiscrimination on the Basis of Age in HHS Programs or Activities Receiving Federal Financial Assistance;  
45 CFR Part 93 – New Restrictions on Lobbying;  
45 CFR Part 96 – Block Grants;  
45 CFR Part 97 – Consolidation of Grants to the Insular Areas;  
45 CFR Part 100 – Intergovernmental Review of Department of Health and Human Services Programs and Activities

The above documents are hereby incorporated by reference into this Agreement. To access these documents, please visit [www.csd.ca.gov](http://www.csd.ca.gov)

- C. In accordance with Public Law 103-333, the “Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 1995,” the following provisions are applicable to this grant award:

Section 507: “Purchase of American-Made Equipment and Products - It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made.”

Section 508: “When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all States receiving Federal funds, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state (1) the percentage of the total costs of the program or project which will be financed with Federal money, (2) the dollar amount of Federal funds for the project or program, and (3) percentage and dollar amount of the total costs of the project or program that will be financed by nongovernmental sources.”

- D. In accordance with Part C of Public Law 103-227, the “PRO-KIDS Act of 1994,” smoking may not be permitted in any portion of any indoor facility owned or regularly used for the provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs wither directly or through State or local governments. Federal programs include grants, cooperative agreements, loans and loan guarantees, and contracts. The law does not apply to children’s services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions or facilities and used for inpatient drug and alcohol treatment.
- E. Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations and the Single Audit Act of 1984, as amended.



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- F. Recovery Act funds may be used for the reduction of poverty, the revitalization of low-income communities, and the empowerment of low-income families and individuals in rural and urban areas to become fully self-sufficient.

\\Cobra\Shared\Contracts\Community Services Block Grant\CSBG American Recovery & Reinvestment Act\ARRA Contract Exhibits\ARRA Exhibit A RK - ARRA Exhibit A Scope of Work 8 12 09.doc

**ARRA EXHIBIT B  
(Standard Agreement)**

**BUDGET DETAIL AND PAYMENT PROVISIONS: AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009**

1. BUDGET

- A. Concurrent with the execution of this Agreement, Contractor shall complete and submit the ARRA/CSBG Fiscal Data forms (CSD 902.S, 902.1.1, and 902.1.2) attached to this EXHIBIT B, based on the Maximum Amount of this Agreement. Pursuant to the instructions for CSD 902 S, Contractor must include an itemized list identifying all other known funding sources and amounts that make up the total annual operating budget of the community action program(s). Submit an internal annual budget displaying the anticipated funding sources and their term dates.
- B. Contractor shall provide CSD with justification for all projected expenditures in the budget, including a detailed budget narrative justifying expenditures in connection with budget support personnel and related non-personnel costs. The justification shall be appended to the Budget Summary.
- C. Contractor shall expend its full contract allocation by September 30, 2010. No contract term extension will be permitted to expend any remaining funds at the end of the contract term.

2. ADMINISTRATIVE EXPENSES

Administrative expenses charged by Contractor under this agreement are limited to twelve percent (12%) of the Maximum Amount of this Agreement. All administrative expenses expended by subcontractors and charged to Contractor shall be aggregated with Contractor's administrative expenses to arrive at a total which may not exceed twelve percent (12%) of the Maximum Amount of this Agreement.

3. ADVANCE PAYMENTS

- A. Upon written request by the Contractor, the State may issue one working capital advance, subject to CSD approval, in an amount not to exceed 25 percent (%) of the total amount of this Agreement. A request for an advance is to be submitted only in the event the agency is experiencing financial hardship. Contractor shall submit an advance payment request on agency letterhead providing justification for the amount of the capital advance and how the advance will be used. Burden of proof of financial hardship for which request is based will be the responsibility of the requesting agency.
- B. In the event this Agreement is amended to increase the consideration of this Agreement, a subsequent advance payment plus any previous advances already allowed shall not exceed 25% of the total amount of this Agreement. Subsequent advance payments may be authorized by the State if Contractor requests such an advance payment on agency letterhead providing justification

**ARRA EXHIBIT B  
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for the amount of the capital advance and how the advance will be used. The need for such additional advance payment shall be based upon a review of Contractor's cash flow status as recorded on Contractor's current monthly expenditure report(s).

- C. CSD will initiate the repayment process of advanced funds based on Contractor's advanced repayment schedule approved by CSD. The repayment schedule must establish full repayment of the advance no later than the sixth month with at least 30% of the advance repaid by the third month. Should reimbursements during the first six month period be insufficient for full recovery of advance funds, Contractor shall submit a plan, approved by CSD, to effect repayment of advance funds before the end of the term of this agreement.

4. SUBSEQUENT PAYMENT

Subsequent payments to Contractor shall be contingent upon receipt by the State of the monthly expenditure activity and programmatic reports. If the Contractor owes CSD any outstanding balances for overpayments of any contract, current or previous, the balance may be offset, based on arrangements with the Contractor.

5. INTEREST ON ADVANCES

Contractor shall deposit all advances in an interest-bearing account. Interest accrued over \$100 per year, if Contractor is a government entity, or \$250 per year, if contractor is a nonprofit, shall be reimbursed by check to the Department of Community Services and Development.

6. PAYMENT AND REPORTING REQUIREMENTS

A. Payments

- 1) CSD shall issue monthly payments to Contractor upon receipt and approval of a certified expenditure activity report. The certified expenditure activity report shall specify the Monthly Reporting period and actual expenditures being billed to CSD for reimbursement. Reimbursement to Contractor is contingent upon receipt of the monthly programmatic report as described in Section C below and subject to review by CSD.
- 2) All adjustments must be reported through Expenditure Activity Reporting Systems (EARS) under the report period in which the expenditures occurred.

**ARRA EXHIBIT B**  
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B. Monthly Expenditure Reports

- 1) Contractor shall submit to CSD, Contractor's expenditures under this agreement by entry onto the web-based, Expenditure Activity Reporting System (EARS). The monthly reports shall be submitted on or before the fifteenth (15<sup>th</sup>) calendar day following the reporting period, irrespective of the level of activity or amount of expenditure in the preceding period.
- 2) All adjustments must be reported through EARS under the report period in which the expenditures occurred.

C. Programmatic Repots

1) Monthly

The programmatic reporting on the status of the Contractor's project(s) will be submitted on a monthly basis to monitor the progress of the projects and meet the federal reporting requirements pursuant to Section 1512 of ARRA and the Office of Management and Budget. Contractor shall submit to CSD, Contractor's project status by entry of the web-based CSBG ARRA automated system. The monthly Project Status Report shall be submitted on or before the fifteenth (15<sup>th</sup>) calendar day following the reporting period, irrespective of the level of activity in the preceding period. See report due dates below.

CSBG ARRA Project Status Report

Month Ending	Report Due Date
July 31, 2009	August 15, 2009
August 31, 2009	September 15, 2009
September 30, 2009	October 15, 2009
October 31, 2009	November 15, 2009
November 30, 2009	December 15, 2009
December 31, 2009	January 15, 2010
January 31, 2010	February 15, 2010
February 28, 2010	March 15, 2010
March 31, 2010	April 15, 2010
April 30, 2010	May 15, 2010
May 31, 2010	June 15, 2010
June 30, 2010	July 15, 2010
July 31, 2010	August 15, 2010
August 31, 2010	September 15, 2010
September 30, 2010	October 15, 2010

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2) Quarterly Reports

Contractor shall complete and submit quarterly Contractor's programmatic activities to CSD at [CSBGRecovery@csd.ca.gov](mailto:CSBGRecovery@csd.ca.gov). The quarterly NPI Programs and Client Characteristics reports shall be submitted on or before the fifteenth (15<sup>th</sup>) calendar day following the reporting period, irrespective of the level of activity in the preceding period. See report due dates below.

- a. CSBG ARRA NPI Programs Report, (CSD 901)
- b. CSBG ARRA Client Characteristics Report, (CSD 903-CCR)

Report Interval	Report Due Date
July 1, 2009 – Sept. 30, 2009	October 15, 2009
Oct. 1, 2009- Dec. 31, 2009	January 15, 2010
Jan. 1, 2010 – Mar. 31, 2010	April 15, 2010
Apr. 1, 2010 – Jun. 30, 2010	July 15, 2010
Jul 1, 2010 – Sept. 30, 2010	October 15, 2010

D. Community Services Block Grant Information System (CSBG/IS Survey)

Contractor shall complete and submit to CSD an ARRA Community Services Block Grant Information System Survey no later than February 1, 2010 (covering July 1 – December 31, 2009) and November 15, 2010 (covering January 1, - September 30, 2010). The reports shall be submitted via email to [CSBGRecovery@csd.ca.gov](mailto:CSBGRecovery@csd.ca.gov).

- a. ARRA/CSBG Fiscal Data –Other Funds (CSD 930 OF)
- b. ARRA/CSBG Fiscal Data—Other Resources (CSD 930 OR)

Report	Due February 1, 2010	Due November 1, 2010
CSD 903 OR	Covering the period: July 1, 2009 – December 31, 2009	Covering the period: January 1, 2010 – September 30, 2010
CSD 903 OF	Covering the period: July 1, 2009 – December 31, 2009	Covering the period: January 1, 2010 – September 30, 2010

E. Close-Out Report

Contractors shall submit all the appropriate CSD close-out forms within thirty (30) calendar days after the expiration date or when all funds have been fully expended under this Agreement. Final reimbursement to Contractor, if owed, shall be contingent upon receipt of the close-out packet by CSD and subject to final review by CSD's Audit Services Unit.

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- 1) The close-out packet shall include the forms below which will be available on the CSD website [www.csd.ca.gov](http://www.csd.ca.gov).
  - a. CSD 925 Close out checklist with authorized signature
  - b. CSD 925 C Program Income/Interest Earned
  - c. CSD 925 D Equipment Inventory Schedule
- 2) Final expenditures must be submitted by entry onto the web-based Expenditure Activity Reporting System (EARS) on or before the final report due date.
- 3) All adjustments must be submitted by entry onto the web-based Expenditure Activity Reporting System (EARS). Each adjustment must reflect the actual expenditure period when the adjustment occurred.
- 4) Subsequent payments for CSBG and the issuance of other CSD contracts shall be contingent upon timely receipt of the close-out packet.

7. ATTACHMENTS TO CONTRACT

A. Attachment I

Prior to execution of this Agreement by the State, Contractor shall submit to the State Attachment I, CSBG Fiscal Data Series, which shall reflect a description of projected spending for the contract term.

B. Attachment II

Contractor shall also submit to the State Attachment II, ARRA CSBG/NPI 901 Program Report, which shall reflect a description of outcome goals for each year of the contract term. This information will be used to monitor the success of the identified National Performance Indicators relevant to its programs, activities, and available resources for the delivery of services. Modifications to projected outcomes will not be allowed without CSD approval.

C. Attachment III

Contractor shall also submit to the State Attachment III, ARRA CSBG/Project Work Plan (CSD 920), which shall reflect a description of each project including expected outcomes for the contract term. This information will be used to monitor the success of the project.

D. Attachment IV

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Contractor shall submit to the State Attachment IV, Summary of Allocated Funds for CSBG ARRA & NPI Programs/Activities (CSD 923), which shall reflect a summary of all projects and NPI program/activities, administrative funds, program funds, and non CSBG ARRA funds for the contract term.

8. SCHEDULE OF ATTACHMENTS

The following attachments to this exhibit are hereby attached and incorporated by this reference:

ATTACHMENT I	ARRA/CSBG FISCAL DATA (CSD 902.S, 902.1.1, 902.1.2)
ATTACHMENT II	ARRA/CSBG Project/Work Plan (CSD 920)
ATTACHMENT III	ARRA/CSBG NPI Programs Report (CSD 901)
ATTACHMENT IV	Summary of Allocated Funds for CSBG ARRA Projects & NPI Program/Activities (CSD 923)

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ATTACHMENT I

ARRA/CSBG FISCAL DATA

ARRA/CSBG Contract Budget (Summary)	CSD 902.S	(NEW)
ARRA/CSBG Fiscal Data - Personnel Costs	CSD 902.1.1	(NEW)
ARRA/CSBG Fiscal Data - Non Personnel Costs	CSD 902.1.2	(NEW)



**ATTACHMENT I**

**CSBG ARRA BUDGET SUPPORT -- PERSONNEL COSTS**

Contractor Name:	El Dorado County Department of Human Services	Contract Number:	09F-5110	Contract Amount:	\$175,375
Prepared By:	Maki Ganno	Contract Term:	7/17/2009-9/30/2010	Amendment#:	
Telephone #:	(530) 642-4893	Fax Number:	(530) 621-2518		
Date:	9/10/2009	E-mail Address:	<a href="mailto:maki.ganno@edcgov.us">maki.ganno@edcgov.us</a>		

**Section 10 -- ADMINISTRATIVE COSTS -- SALARIES AND WAGES**

A	B	C	D	E	F
No. of Positions	Position Title	Total Salary for each position	Percent (%) of CSBG ARRA time allocated for each position	Number of CSBG ARRA months allocated for each position	Total CSBG ARRA Funds budgeted for each position
1	Sr. Accountant	\$77,367	12%	15	\$9,284
<b>Total (must match Section 10: Administrative Costs on the CSD 902.S Budget Summary form)</b>					\$9,284

**SECTION 20 -- PROGRAM COSTS -- SALARIES AND WAGES**

1	Housing Program Coordinator	\$62,690	28%	15	\$17,553
1	Program Assistant	\$51,069	81%	15	\$41,366
1	Program Assistant	\$35,581	81%	15	\$28,821
1	Cook II	\$35,078	25%	15	\$8,770
1	Mealsite Coordinator	\$29,115	20%	15	\$5,823
<b>Total (must match Section 20: Program Costs on the CSD 902.S Budget Summary form)</b>					\$102,332

**FRINGE BENEFITS**

Enter description of Fringe Benefits. Please include the percentage of Salaries and Wages paid in Benefits. (Examples: FICA, SSI, Health Ins., Workers Comp. Etc.)	Percentage	Section 10 Administrative Costs	Section 20 Program Costs
		List CSBG ARRA funds Budgeted Line 2	List CSBG ARRA Funds Budgeted Line
PERS (Retirement)	20%	\$1,874	\$19,970
MediCare	1%	\$136	\$1,454
Health Insurance	14%	\$1,602	\$13,886
SDI	1%	\$70	\$752
Long Term Disability	0%	\$34	\$361
<b>TOTAL MUST MATCH THE AMOUNT ENTERED ON CSD 902.S (BUDGET SUMMARY)</b>	<b>36%</b>	<b>\$3,716</b>	<b>\$36,423</b>

**ATTACHMENT I**

**CSBG ARRA BUDGET SUPPORT -- PERSONNEL COSTS**

Contractor Name: El Dorado County Department of Human Services	Contract Number: 09F-5110	Contract Amount: \$175,375
Prepared By: Maki Ganno	Contract Term: 7/17/2009-9/30/2010	Amendment#:
Telephone #: (530) 642-4893	Fax Number: (530) 621-2518	
Date: 9/10/2009	E-mail Address: maki.ganno@edcgob.us	

**Section 10 -- ADMINISTRATIVE COSTS -- SALARIES AND WAGES**

A No. of Positions	B Position Title	C Total Salary for each position	D Percent (%) of CSBG ARRA time allocated for each position	E Number of CSBG ARRA months allocated for each position	F Total CSBG ARRA Funds budgeted for each position
1	Sr. Accountant	\$77,367	12%	15	\$9,284
<b>Total (must match Section 10: Administrative Costs on the CSD 902.S Budget Summary form)</b>					<b>\$9,284</b>

**SECTION 20 -- PROGRAM COSTS -- SALARIES AND WAGES**

1	Housing Program Coordinator	\$62,690	28%	15	\$17,553
1	Program Assistant	\$51,069	81%	15	\$41,366
1	Program Assistant	\$35,581	81%	15	\$28,821
1	Cook II	\$35,078	25%	15	\$8,770
1	Mealsite Coordinator	\$29,115	20%	15	\$5,823
<b>Total (must match Section 20: Program Costs on the CSD 902.S Budget Summary form)</b>					<b>\$102,332</b>

**FRINGE BENEFITS**

Enter description of Fringe Benefits. Please include the percentage of Salaries and Wages paid in Benefits. (Examples: FICA, SSI, Health Ins., Workers Comp. Etc.)	Percentage	Section 10 Administrative Costs	Section 20 Program Costs
		List CSBG ARRA funds Budgeted Line 2	List CSBG ARRA Funds Budgeted Line
PERS (Retirement)	20%	\$1,874	\$19,970
MediCare	1%	\$136	\$1,454
Health Insurance	14%	\$1,602	\$13,886
SDI	1%	\$70	\$752
Long Term Disability	0%	\$34	\$361
<b>TOTAL MUST MATCH THE AMOUNT ENTERED ON CSD 902.S (BUDGET SUMMARY)</b>	<b>36%</b>	<b>\$3,716</b>	<b>\$36,423</b>

**ATTACHMENT I  
 CSBG ARRA BUDGET SUPPORT -- NON PERSONNEL COSTS**

Contractor Name: El Dorado County Department of Human Services	Contract Number: 09F-5110	Contract Amount: \$175,375
Prepared By: Maki Ganno	Contract Term: 7/1/2009-9/30/2010	Amendment #:
Telephone #: (530) 642-4893	Fax Number: (530) 621-2518	
Date: 9/10/2009	E-mail Address: <a href="mailto:maki.ganno@edcgob.us">maki.ganno@edcgob.us</a>	

Hit Alt & Enter at the same time to begin a new line or paragraph within the cell.

EXPLAIN AND JUSTIFY EACH LINE ITEM Totals must match CSD 902.S Budget Summary form Attach additional sheet(s) if necessary Missing descriptions shall result in delay of the contract execution.	CSBG ARRA	
	Section 10 Administrative Costs	Section 20 Program Costs
List all Operating Expenses	3 sum should equal total on line item 3 of CSD 902.S Budget Summary form	3 sum should equal total on line item 3 of CSD 902.S Budget Summary form
Office Supplies, Postage, Respite Purchase of Service, Facility Lease Costs	\$4,000	\$19,620
List all Equipment Purchases	4 sum should equal total on line item 4 of CSD 902.S Budget Summary form	4 sum should equal total on line item 4 of CSD 902.S Budget Summary form
List all Out-of-State Travel: Name of conference; Specify location; Cost per trip	5 sum should equal total on line item 5 of CSD 902.S Budget Summary form	5 sum should equal total on line item 5 of CSD 902.S Budget Summary form
List all Subcontractor Services	6 sum should equal total on line item 6 of CSD 902.S Budget Summary form	6 sum should equal total on line item 6 of CSD 902.S Budget Summary form
<b>Other Costs - Explain &amp; Justify each line item (i - iv): Any additional Other Costs (attach additional sheet if necessary):</b>	<b>Section 10 Administrative Costs</b>	<b>Section 20 Program Cost</b>
i		
ii		
iii		
iv		
<b>Total Other Costs (Sum of i, ii, iii, iv):</b>	7 sum should equal total on line item 7 of CSD 902.S Budget Summary form	7 sum should equal total on line item 7 of CSD 902.S Budget Summary form
		09-1228.C.19

## INSTRUCTIONS

### ATTACHMENT I CSBG ARRA – CONTRACT BUDGET SUMMARY CSD 902.S (NEW)

Provide the total dollar amount of salaries and wages dedicated to staff performing programmatic support activities. Include all payments made to programmatic staff, permanent or temporary, as well as all regular and overtime pay, as approved by the contract authority.

2. Fringe Benefits

Provide the total dollar amount of fringe benefits dedicated to staff performing programmatic support duties. Include all payments made in accordance with approved payroll benefit programs. This includes retirement/pension plans and various other forms of insurances related to employee compensation such as disability, life, health and unemployment. Additionally, payroll taxes, workers' compensation, disability insurance, sick leave and accrued vacation should be included.

3. Operating Expenses

Provide the total dollar amount for all programmatic operating expenses linked with CSBG ARRA programs. Include:

- In-state travel costs related to programmatic costs
- Building costs related to programmatic operation (such as rental & lease fees)
- Consumable supply costs
- Programmatic operating costs (such as telephones, building alarms, maintenance, etc)
- Programmatic supply costs (such as printing, duplication, postage, etc)
- Additional fees related to the programmatic operation of the CSBG ARRA Program
- Funds spent on contractor/consultant services to meet programmatic needs in this area

4. Equipment

Provide the total dollar amount for all programmatic equipment expenses linked with CSBG ARRA programs. Include, equipment/lease purchases dedicated to programmatic needs.

**List all Equipment/Lease costs** on the CSBG ARRA Budget Support – Non Personnel Cost CSD 902 1.2 with the detailed information

5. Out-of-State Travel

Provide the total dollar amount of travel costs related to programmatic tasks incurred during travel outside of the State of California. Complete CSBG ARRA Budget Support – Non Personnel Cost CSD 902 1.2 with the name of the conference, location, and cost per trip.

6. Subcontractor Services

Provide the total dollar amount (exclusive of the administrative costs reported in Section 10, Line 6) paid to any subcontracting agencies that provide programmatic services; include the subcontractor name and total amount of contract amount

**List all subcontractors services** on the CSBG ARRA Budget Support – Non Personnel Cost CSD 902 1.2 with the detailed information.

7. Other Costs

## INSTRUCTIONS

### ATTACHMENT I CSBG ARRA – CONTRACT BUDGET SUMMARY CSD 902.S (NEW)

Provide a list of all other programmatic costs that do not fit in the categories above, including but not limited to funds directed towards:

- Direct Client Purchases. Include all direct purchases made with CSBG ARRA dollars for items designated specifically for client use.

#### **SUBTOTAL SECTION 20 (Program Costs):**

Enter the sum of line items 1 through 7 for CSBG ARRA FUNDS.

#### **SECTION 40: Total CSBG ARRA Budget Amount:**

Enter the sum of Subtotal Sections 10 and 20. The amount shall not exceed the total ARRA CSBG allocated amount (Refer to Allocation spreadsheet)

#### **SECTION 70: CSBG ARRA Funds Administrative Percent:**

Divide Section 10: Administrative Costs by Section 40: Total CSBG ARRA Budget Amount. This percentage cannot exceed 12% of the total ARRA CSBG allocated amount.

#### **SECTION 80: Other Agency Operating Funds Used to Support CSBG ARRA (INFORMATION ONLY):**

Provide the total operating funds used to support the CSBG ARRA program administered by the tripartite board. For public community action agencies, all funds under the administration of the advisory or administrative tripartite board should be considered as community action program operating funds. **Prepare and attach a detailed, itemized list identifying all other known funding sources and amounts that make up the total annual operating budget of the community action program(s).**

#### **SECTION 90: Agency Total CSBG ARRA Operating Budget (INFORMATION ONLY):**

The sum of Section 40 (Total CSBG ARRA Budget Amount) and Section 80 (Other Agency Operating Funds Used to Support CSBG ARRA)

(2009 CSBG ARRA)

**ARRA EXHIBIT B  
(Standard Agreement)**

ATTACHMENT II

ARRA CSBG/Project Work Plan

CSD 920 (NEW)

### CSBG ARRA Project Work Plan

Complete this form for each Project funded with CSBG ARRA funds

Contractor Name:	El Dorado County Dept. of Human Services	Contract Number:	09F-5110	Prepared by:	Sarah DeStefano
E-mail Address:	sdestefano@co.el-dorado.ca.us	Telephone Number:	(530) 621-6168	Fax Number:	(530) 295-2581

**Total Project Cost:** (Specify the administrative and program cost allocated to this project)

Total ARRA Program funds allocated to this project	Total ARRA Administrative funds allocated to this project	Total ARRA Project Cost
\$130,683	\$14,110	\$144,793

**Project Name:** (Provide a brief descriptive title of the Project (e.g. Culinary Training Center))

Information and Assistance with Care Coordination

**Service Category of Project:** (Select one (1) service category per project)

- Education     
  Emergency Services     
  Employment     
  Health     
  Self Sufficiency  
 Housing     
  Income Management     
  Linkages     
  Nutrition     
 Other: \_\_\_\_\_

**Project Description:** (Provide a brief narrative of Project)

I & A will offer assessment to determine client needs and eligibility, referral to appropriate services and follow-up to ensure services were accessed. Short-term Care Coordination and Monitoring will offer more extensive coordination and monitoring of care and provide durable medical equipment, respite or one-time emergency help not otherwise accessible. Staff will network with local providers to improve each individual's situation to help them achieve or retain their independence.

**Expected Outcomes:** (Provide a brief narrative of the expected outcomes for Project)

Ensure at-risk senior and disabled people are aware of and given access to appropriate services to stabilize their situation and allow them to remain safe and comfortable in their own homes. I & A with Care Coordination and Monitoring will be the GAP filler, providing about 1,500 clients help with retaining their independence. The "single point of entry" approach will minimize duplication of efforts, and the care coordinator will follow the requests through to a successful completion.

### Projected number of Jobs Created/Retained

Narrative of Jobs Created: (Provide a brief description of the types of jobs created) Jobs or positions "Created" means those new positions created and filled, or previously existing unfilled positions that are filled as a result of ARRA Funds.	Number of Jobs Created
Narrative of Jobs Retained: (Provide a brief description of the types of jobs retained) Jobs or positions "Retained" means those previously existing filled positions that are retained as a result of ARRA Funds.	Number of Jobs Retained
1.6 FTE Program Assistant and 0.35 FTE Program Coordinator positions will be retained that were at risk of reduction in force or reduction in hours as a result of the elimination of the State General Fund allocation for Community Based Services Programs, including Linkages and ADCRC, effective September 30, 2009. Staff will be retained to continue Information and Assistance services to vulnerable populations and expand this program to include Short-term Care Coordination and Monitoring.	1.95

### CSBG ARRA Project Work Plan

Complete this form for each Project funded with CSBG ARRA funds

Contractor Name:	El Dorado County Dept. of Human Services	Contract Number:	09F-5110	Prepared by:	Sarah DeStefano
E-mail Address:	sdestefano@co.el-dorado.ca.us	Telephone Number:	(530) 621-6168	Fax Number:	(530) 295-2581

**Total Project Cost:** (Specify the administrative and program cost allocated to this project)

Total ARRA Program funds allocated to this project	Total ARRA Administrative funds allocated to this project	Total ARRA Project Cost
\$27,692	\$2,890	\$30,582

**Project Name:** (Provide a brief descriptive title of the Project (e.g. Culinary Training Center))

Senior Nutrition Program

**Service Category of Project:** (Select one (1) service category per project)

- Education     
  Emergency Services     
  Employment     
  Health     
  Self Sufficiency  
 Housing     
  Income Management     
  Linkages     
 Nutrition     
 Other: \_\_\_\_\_

**Project Description:** (Provide a brief narrative of Project)

CSBG ARRA funds will be used to cover salaries (1.0 FTE Cook and 0.81 FTE Meal Site Coordinator), facility lease and direct meal costs for two congregate meal sites in Diamond Springs and Pollock Pines, two communities with relatively large senior populations, providing nutritious meals for seniors, many of whom are on limited incomes, and alleviating the burden of responsibility on their extended families and neighbors.

**Expected Outcomes:** (Provide a brief narrative of the expected outcomes for Project)

The Senior Nutrition Program's congregate meal site's not only provide a hot, nutritious meal to senior citizens 60 years and older Monday through Friday, but also provides socialization and an opportunity for clients to access information about other community services from program staff. The Diamond Springs site serves approximately 500 clients and Pollock Pines serves over 150 clients.

### Projected number of Jobs Created/Retained

Narrative of Jobs Created: (Provide a brief description of the types of jobs created) Jobs or positions "Created" means those new positions created and filled, or previously existing unfilled positions that are filled as a result of ARRA Funds.	Number of Jobs Created
Narrative of Jobs Retained: (Provide a brief description of the types of jobs retained) Jobs or positions "Retained" means those previously existing filled positions that are retained as a result of ARRA Funds.	Number of Jobs Retained
1.0 FTE Cook and 0.81 FTE Mealsite Coordinator. Cooks prepare meals, assisted by the Mealsite Coordinators who also deliver and serve meals at the outlying sites. These positions were at risk of reduction in force or reduction in hours due to the economic downturn and resulting loss of local overmatch funding effective July 1, 2010.	1.81



## INSTRUCTIONS

### CSBG ARRA Project Work Plan CSD 920 (New)

Enter the identifying information requested at the top of the report form: contractor's name, contract number, the preparer's name, e-mail address, telephone number and fax number.

### CSBG ARRA Project Work Plan

Complete a work plan for each project funded with CSBG ARRA funds including the project name, service category, project description, expected outcomes, projected number of jobs created, narrative of jobs created, projected number of jobs retained, narrative of jobs retained and project cost.

- Total Project Cost:** Indicate the total CSBG ARRA administrative and program funds allocated to this project.
- Project Name:** Provide a brief descriptive title of the project. (e.g., *Culinary Training Center*)
- Service Category of Project:** Identify the one (1) service category that best describes this project. If project does not fit in one of the categories select "other" and specify the unique category.
- Project Description:** Provide a description of the overall purpose of this project
- Expected Outcomes:** Provide a brief narrative of the expected outcomes and specific deliverables of the project.
- Projected number of jobs created/retained:**
- Jobs Created:** Provide a description of the types of jobs created in terms that provide a general nature of the work. Jobs or positions created means those new positions created and filled, or previously existing unfilled positions that are filled as a result of ARRA funds.
- Jobs Retained:** Provide a description of the types of jobs created in terms that provide a general nature of the work. Jobs or positions retained means those previously existing filled positions that are retained as a result of the ARRA funds.
- Number of Jobs created or Retained:** Specify the number of jobs created or retained. This number shall be expressed as "full time equivalent" (FTE), calculated cumulatively as all hours worked divided by the total number of hours in a full-time schedule, as defined by the recipient. For example, two full-tem employees and one part-time employee working half days would be reported as 2.5 FTE.  
*Note: A single job cannot be reported as both being created and retained. However, one project may both create a new job and retain a current job.*

(2009 CSBG ARRA)

**ARRA EXHIBIT B  
(Standard Agreement)**

ATTACHMENT III

ARRA/CSBG NPI Programs Report (CSD 901)

## CSBG ARRA NPI PROGRAMS REPORT INSTRUCTIONS

### Background:

The CSD 901 (new) report form is used as a Contract form and Quarterly Progress report. This form is used to establish projections and goals over a 15 months period. The Progress Report will capture the agency's success in accomplishing the goals. The goals and National Performance Indicators (NPI) used in the CSD 901 form are National Goals and Indicators, which CSD is required to annually report to the U.S Health and Human Services Agency (HHS), Office of Community Services (OCS), and the National Association of Community Service Programs (NASPCSP).

There are six OCS Goals which CSD is required to track and report outcomes to NASPCSP and OCS. Under each goal are the NPI's that capture outcomes, which contribute to the accomplishment of the OCS goals. The CSD 901 consists of OCS Goals and under each goal is a list of indicators, which pertain to the goals.

### Completing the NPI form:

This report is created in an Excel template and designed to be completed on the PC using Excel. Please download the template at [www.csd.ca.gov](http://www.csd.ca.gov) under "CSD Contractors'>CSBG>Resources" The form can then be saved to your PC hard drive and completed. The CSD 901 is one (1) workbook, that consist of seventeen (17) worksheets. At the bottom of the excel screen will be tabs labeled for each goal (1-6) as well as instructions.

Information in the following fields will automatically populate into all other tabs from the GOAL 1 tab, so please ensure accuracy when completing these fields:

Contract Number,  
Report Interval (e.g. July-September)  
Submission Date,  
Contractor's Name,  
Contact Person and Title,  
Phone Number,  
E-mail, and  
Fax.

Problem Statement: Problem statements identified must be supported in the needs assessment and goal-setting process. Briefly address the following components:

- o What is the Problem?
- o What is the cause of the problem?
- o Who is affected?
- o Location of those affected?

Program Activities and Delivery Strategies: Enter all of the planned activities and delivery strategies necessary to achieve the National Performance Indicators. Include a description of services along with a brief explanation of how those services will be delivered.

National Performance Indicators: The 17 NPI's are about community action, not just the Community Services Block Grant. Outcomes should be counted and reported from all relevant community action programs and activities. Reference the National Performance Indicator Instruction Manual for detailed information, updated (May 2009) and is available on the first tab in the workbook labeled NPI Instruction Manual and at [www.csd.ca.gov](http://www.csd.ca.gov) under "CSD Contractors">CSBG>Resources".

- o Only the first column (1) should be completed for the contract
- o All projections should be numerical.
- o Do not use zeros, percentages, or fractions.
- o If the question or NPI is not applicable to your agency's work, leave the cell blank.
- o Enter data for NPI's that directly relate to your agency's work.
- o All data should reflect the projected outcomes to be tracked during the 15 months ARRA CSBG contract period.
- o Blank rows are used to capture additional indicators that are NOT identified.
- o Submit narrative reports only to describe activities and outcomes that support one or more of the National Performance Indicators in ways that may not be covered by the exact wording of the indicator.

**How to Print:**

To print the CSD 901, go to file, print and in the "print what" section **choose entire workbook**. The entire workbook option will print each page in the workbook for submission.

**The due date:**

The CSD 901 are due the 15th of each quarter.

**Where to submit:**

The CSD 901 are to be emailed to [csbgrecovery@csd.ca.gov](mailto:csbgrecovery@csd.ca.gov)

Contractor Name: El Dorado County Department of Human Services  
 Contact Person and Title: Sarah De Stefano  
 Phone Number: (530) 621-6168 Ext. Number: \_\_\_\_\_  
 E-mail Address: sarahdestefano.edcgov.us Fax Number: (530) 295-2714

**Goal 1: Low-income people become more self-sufficient.**

**NPI 1.1: Employment**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

<b>National Performance Indicator 1.1</b>  <b>Employment</b>  The number and percentage of low-income participants in Community Action employment initiatives who get a job or become self-employed, as measured by one or more of the following:	<b>1</b>  <b>Number of Participants Projected to be Served for Contract Period (#)</b>	<b>2</b>  <b>Number of Participants Enrolled in Program(s) in Reporting Period (#)</b>	<b>3</b>  <b>Number of Participants Expected to Achieve Outcome in Reporting Period (#)</b>	<b>4</b>  <b>Number of Participants Achieving Outcome in Reporting Period (#)</b>	<b>5</b>  <b>Percentage Achieving Outcome in Reporting Period (%)</b>
A. Unemployed and obtained a job					
B. Employed and maintained a job for a least 90 days					
C. Employed and obtained an increase in employment income and/or benefits					
D. Achieved "living wage" employment and/or benefits					

*In the row below, please include any additional indicators for NPI 1.1 that were not captured above.*

--	--	--	--	--	--

**Goal 1: Low-income people become more self-sufficient.**

**NPI 1.2: Employment Supports**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

<p style="text-align: center;"><b>National Performance Indicator 1.2</b></p> <p><b>Employment Supports</b></p> <p>The number of low-income participants for whom barriers to initial or continuous employment are reduced or eliminated through assistance from Community Action, as measured by <u>one or more</u> of the following:</p>	<p style="text-align: center;"><b>1</b></p> <p style="text-align: center;"><b>Number of Participants Projected to be Served for Contract Period (#)</b></p>	<p style="text-align: center;"><b>2</b></p> <p style="text-align: center;"><b>Number of Participants Enrolled in Program(s) in Reporting Period (#)</b></p>	<p style="text-align: center;"><b>3</b></p> <p style="text-align: center;"><b>Number of Participants Achieving Outcome in Reporting Period (#)</b></p>
A. Obtained skills/competencies required for employment			
B. Completed ABE/GED and received certificate or diploma			
C. Completed post-secondary education program and obtained certificate or diploma			
D. Enrolled children in "before" or "after" school programs			
E. Obtained care for child or other dependant			
F. Obtained access to reliable transportation and/or driver's license			
G. Obtained health care services for themselves or family member			
H. Obtained safe and affordable housing			
I. Obtained food assistance			
J. Obtained non-emergency LIHEAP energy assistance			
K. Obtained non-emergency WX energy assistance			
L. Obtained other non-emergency energy assistance (State/local/private energy programs. Do Not Include LIHEAP or WX)			

*In the row below, please include any additional indicators for NPI 1.2 that were not captured above.*

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**Goal 1: Low-income people become more self-sufficient.**  
**NPI 1.3: Economic Asset Enhancement and Utilization**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

National Performance Indicator 1.3	1	2	3	4	5	6
<b>Economic Asset Enhancement and Utilization</b> The number and percentage of low-income households that achieve an increase in financial assets and/or financial skills as a result of Community Action assistance, and the aggregated amount of those assets and resources for all participants achieving the outcome, as measured by <u>one or more</u> of the following:	<b>Number of Participants Projected to be Served for Contract Period (#)</b>	<b>Number of Participants Enrolled in Program(s) in Reporting Period (#)</b>	<b>Number of Participants Expected to Achieve Outcome in Reporting Period (#)</b>	<b>Number of Participants Achieving Outcome in Reporting Period (#)</b>	<b>Percentage Achieving Outcome in Reporting Period (%)</b>	<b>Aggregated Dollar Amounts (Payments, Credits or Savings) (\$)</b>

**A. ENHANCEMENT**

1. Number and percent of participants in tax preparation programs who qualified for any type of Federal or State tax credit and the expected aggregated dollar amount of credits						
2. Number and percent of participants who obtained court-ordered child support payments and the expected annual aggregated dollar amount of payments						
3. Number and percent of participants who were enrolled in telephone lifeline and/or energy discounts with the assistance of the agency and the expected aggregated dollar amount of savings						

*In the row below, please include any additional indicators for NPI 1.3 that were not captured above.*

--	--	--	--	--	--	--

**Goal 1: Low-income people become more self-sufficient.**  
**NPI 1.3: Economic Asset Enhancement and Utilization**

National Performance Indicator 1.3 (Continued)	1	2	3	4	5	6
<b>Economic Asset Enhancement and Utilization</b> The number and percentage of low-income households that achieve an increase in financial assets and/or financial skills as a result of Community Action assistance, and the aggregated amount of those assets and resources for all participants achieving the outcome, as measured by <u>one or more</u> of the following:	Number of Participants Projected to be Served for Contract Period (#)	Number of Participants Enrolled in Program(s) in Reporting Period (#)	Number of Participants Expected to Achieve Outcome in Reporting Period (#)	Number of Participants Achieving Outcome in Reporting Period (#)	Percentage Achieving Outcome in Reporting Period (%)	Aggregated Dollar Amounts (Payments, Credits or Savings) (\$)

**B. UTILIZATION**

1. Number and percent of participants demonstrating ability to complete and maintain a budget for over 90 days						N/A
2. Number and percent of participants opening an Individual Development Account (IDA) or other savings account and increased savings, and the aggregated amount of savings						
3. Number and percent of participants who increased their savings through IDA or other savings accounts and the aggregated amount of savings						

**3. Of participants in a community action asset development program (IDA and others):**

a. Number and percent of participants capitalizing a small business with accumulated savings						
b. Number and percent of participants pursuing post-secondary education with accumulated savings						
c. Number and percent of participants purchasing a home with accumulated savings						
d. Number and percent of participants purchasing other assets with accumulated savings						

*In the row below, please include any additional indicators for NPI 1.3 that were not captured above.*

--	--	--	--	--	--	--



Contractor Name: El Dorado County Department of Human Services  
Contact Person and Title: Sarah De Stefano  
Phone Number: (530) 621-6168 Ext. Number: \_\_\_\_\_  
E-mail Address: sarahdestefano.edcgov.us Fax Number: (530) 295-2714

**Agency Notes and Clarifications on Goal 1:**

*Low-income people become more self-sufficient.*

This space is to record any significant narrative information for national goal 1, as requested by the *NPI Instruction Manual*. We encourage you to provide qualitative information to compliment and augment your statistical outcome data. In addition, this space is available to provide an explanation for not meeting or exceeding your projections.

Contractor Name: El Dorado County Department of Human Services  
 Contact Person and Title: Sarah De Stefano  
 Phone Number: (530) 621-6168 Ext. Number: \_\_\_\_\_  
 E-mail Address: sarahdestefano.edcgov.us Fax Number: (530) 295-2714

**Goal 2: The conditions in which low-income people live are improved.**

**NPI 2.1: Community Improvement and Revitalization**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

National Performance <u>Indicator 2.1</u>	1	2	3
Community Improvement and Revitalization  Increase in, or safeguarding of, threatened opportunities and community resources or services for low-income people in the community as a result of Community Action projects/initiatives or advocacy with other public and private agencies, as measured by <u>one</u> or <u>more</u> of the following:	Number of Projects Projected for Contract Period (#)	Number of Projects or Initiatives in Reporting Period (#)	Number of Opportunities and/or Community Resources Preserved or Increased in Reporting Period (#)
A. Jobs created, or saved, from reduction or elimination in the community			
B. Accessible "living wage" jobs created, or saved, from reduction or elimination in the community.			
C. Safe and affordable housing units created in the community			
D. Safe and affordable housing units in the community preserved or improved through construction, weatherization or rehabilitation achieved by Community Action activity or advocacy			
E. Accessible safe and affordable health care services/facilities for low-income people created, or saved from reduction or elimination			
F. Accessible safe and affordable child care or child development placement opportunities for low-income families created, or saved from reduction or elimination.			
G. Accessible "before school" and "after school" program placement opportunities for low-income families created, or saved from reduction or elimination.			
H. Accessible new or expanded transportation resources, or those that are saved from reduction or elimination, that are available to low-income people, including public or private transportation			
I. Accessible or increased educational and training placement opportunities, or those that are saved from reduction or elimination, that are available for low-income people in the community, including vocational, literacy, and life skill training, ABE/GED, and post-secondary education			

*In the row below, please include any additional indicators for NPI 2.1 that were not captured above.*

--	--	--	--

**Goal 2: The conditions in which low-income people live are improved.**

**NPI 2.2: Community Quality of Life and Assets**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

<p style="text-align: center;"><b>National Performance</b>  <b>Indicator 2.2</b>  <b>Community Quality of Life and Assets</b></p> <p>The quality of life and assets in low-income neighborhoods are improved by Community Action initiative or advocacy, as measured by <u>one or more</u> of the following:</p>	<p style="text-align: center;"><b>1</b>  <b>Number of Programs Projected for Contract Period (#)</b></p>	<p style="text-align: center;"><b>2</b>  <b>Number of Program Initiatives or Advocacy Efforts in Reporting Period (#)</b></p>	<p style="text-align: center;"><b>3</b>  <b>Number of Community Assets, Services or Facilities Preserved or Increased in Reporting Period (#)</b></p>
<p><b>A.</b> Increases in community assets as a result of a change in law, regulation or policy, which results in improvements in quality of life and assets</p>			
<p><b>B.</b> Increase in the availability or preservation of community facilities</p>			
<p><b>C.</b> Increase in the availability or preservation of community services to improve public health and safety</p>			
<p><b>D.</b> Increase in the availability or preservation of commercial services within low-income neighborhoods</p>			
<p><b>E.</b> Increase or preservation of neighborhood quality-of-life resources</p>			

*In the row below, please include any additional indicators for NPI 2.2 that were not captured above.*

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*Goal 2: The conditions in which low-income people live are improved.*

**NPI 2.3: Community Engagement**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

<p style="text-align: center;"><b>National Performance Indicator 2.3</b></p> <p><b>Community Engagement</b>            The number of community members working with Community Action to improve conditions in the community.</p>	<p style="text-align: center;"><b>1 Total Contribution by Community Projected for Contract Period (#)</b></p>	<p style="text-align: center;"><b>2 Total Contribution by Community in Reporting Period (#)</b></p>
<p><b>A.</b> Number of community members mobilized by Community Action that participate in community revitalization and anti-poverty initiatives</p>		
<p><b>B.</b> Number of volunteer hours donated to the agency (This will be ALL volunteer hours)</p>		
<p><i>In the row below, please include any additional indicators for NPI 2.3 that were not captured above.</i></p>		

**Goal 2: The conditions in which low-income people live are improved.**

**NPI 2.4: Employment Growth from ARRA Funds**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

National Performance Indicator 2.4	1	2
Employment Growth from ARRA Funds The total number of jobs created or saved, at least in part by ARRA funds, in the community.	Number of Jobs Projected for Contract Period (#)	Number of Jobs in Reporting Period (#)
A. Jobs created at least in part by ARRA funds		
B. Jobs saved at least in part by ARRA funds		
<i>In the row below, please include any additional indicators for NPI 2.4 that were not captured above.</i>		

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**Agency Notes and Clarifications on Goal 2:**

*The Conditions in which low-income people live are improved.*

This space is to record any significant narrative information for national goal 2, as requested by the *VPI Instruction Manual*. We encourage you to provide qualitative information to compliment and augment your statistical outcome data. In addition, this space is available to provide an explanation for not meeting or exceeding your projections.

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**Goal 3: Low-income people own a stake in their community.**

**NPI 3.1: Community Enhancement through Maximum Feasible Participation**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

National Performance Indicator 3.1	1	2
<b>Community Enhancement through Maximum Feasible Participation</b>  The number of volunteer hours donated to Community Action.	<b>Total Number of Volunteer Hours Projected for Contract Period (#)</b>	<b>Total Number of Volunteer Hours in Reporting Period (#)</b>
The total number of volunteer hours donated by <u>low-income individuals</u> to Community Action. (This is ONLY the number of volunteer hours from individuals who are low-income)		
<i>In the row below, please include any additional indicators for NPI 3.1 that were not captured above.</i>		

**Goal 3: Low-income people own a stake in their community.**

**NPI 3.2: Community Empowerment through Maximum Feasible Participation**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

National Performance <u>Indicator 3.2</u>	1	2
<b>Community Empowerment through Maximum Feasible Participation</b> The number of low-income people mobilized as a direct result of Community Action initiative to engage in activities that support and promote their own well-being and that of their community, as measured by <u>one or more</u> of the following:	<b>Number of Low-Income People Projected for Contract Period (#)</b>	<b>Number of Low-Income People Achieved in Reporting Period (#)</b>
<b>A.</b> Number of low-income people participating in formal community organizations, government, boards or councils that provide input to decision-making and policy-setting through Community Action efforts		
<b>B.</b> Number of low-income people acquiring businesses in their community as a result of Community Action assistance		
<b>C.</b> Number of low-income people purchasing their own home in their community as a result of Community Action assistance		
<b>D.</b> Number of low-income people engaged in non-governance community activities or groups created or supported by Community Action		

*In the row below, please include any additional indicators for NPI 3.2 that were not captured above.*

--	--	--



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**Agency Notes and Clarifications on Goal 3:**

*Low-income people own a stake in their community.*

This space is to record any significant narrative information for national goal 3, as requested by the *NPI Instruction Manual*. We encourage you to provide qualitative information to compliment and augment your statistical outcome data. In addition, this space is available to provide an explanation for not meeting or exceeding your projections.

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**Goal 4: Partnerships among supporters and providers of services to low-income people are achieved.**

**NPI 4.1: Expanding Opportunities through Community-Wide Partnerships**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

<p align="center"><b>National Performance Indicator 4.1</b></p> <p><b>Expanding Opportunities through Community-Wide Partnerships</b></p> <p>The number of organizations, both public and private, that Community Action actively works with to expand resources and opportunities in order to achieve family and community outcomes.</p>	<p align="center"><b>1</b></p> <p align="center"><b>Number of Organizational Partnerships Projected for Contract Period (#)</b></p>	<p align="center"><b>2</b></p> <p align="center"><b>Number of Organizational Partnerships Achieved in Reporting Period (#)</b></p>
A. Non-Profit		
B. Faith Based		
C. Local Government		
D. State Government		
E. Federal Government		
F. For-Profit Business or Corporation		
G. Consortiums/Collaboration		
H. Housing Consortiums/Collaboration		
I. School Districts		
J. Institutions of post secondary education/training		
K. Financial/Banking Institutions		
L. Health Service Institutions		
M. State wide associations or collaborations		
<i>In the row below, please add other types of partners with which your CAA has formed relationships that were not captured above.</i>		
<b>The total number of organizations CAAs work with to promote family and community outcomes</b>		

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**Agency Notes and Clarifications on Goal 4:**

*Partnerships among supporters and providers of services to low-income people are achieved.*

This space is to record any significant narrative information for national goal 4, as requested by the *NPI Instruction Manual*. We encourage you to provide qualitative information to compliment and augment your statistical outcome data. In addition, this space is available to provide an explanation for not meeting or exceeding your projections.

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*Goal 5: Agencies increase their capacity to achieve results.*

**NPI 5.1: Agency Development**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

<b>National Performance Indicator 5.1</b>	<b>1</b>	<b>2</b>
<b>Agency Development</b> The number of human capital resources available to Community Action that increase agency capacity to achieve family and community outcomes, as measured by <u>one or more</u> of the following:	<b>Number of Resources in Agency Projected for Contract Period (#)</b>	<b>Number of Resources in Agency in Reporting Period (#)</b>
<b>A.</b> Number of C-CAPs		
<b>B.</b> Number of ROMA Trainers		
<b>C.</b> Number of Family Development Trainers		
<b>D.</b> Number of Child Development Trainers		
<b>E.</b> Number of staff attending trainings		
<b>F.</b> Number of board members attending trainings		
<b>G.</b> <b>Hours</b> of staff in trainings		
<b>H.</b> <b>Hours</b> of board members in trainings		

*In the row below, please include any additional indicators that were not captured above.*

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**Agency Notes and Clarifications on Goal 5:**

*Agencies increase their capacity to achieve results.*

This space is to record any significant narrative information for national goal 5, as requested by the *NPI Instruction Manual*. We encourage you to provide qualitative information to compliment and augment your statistical outcome data. In addition, this space is available to provide an explanation for not meeting or exceeding your projections.

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**Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.**

**NPI 6.1: Independent Living**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

Many vulnerable senior, low-income and disabled people are unaware of or are unable to access services and programs that are in place in the community that would stabilize and improve quality of life. With appropriate assistance it would be possible for these people to remain safe and independent in their own homes. The demand for services is increasing, and funding for the services has significantly decreased. The community must strengthen its infrastructure and cooperative integration to provide the most effective and beneficial programs and services in a manner that ensures that vulnerable households are not placed in jeopardy due to lack of knowledge, or limited access to, a range of unduplicated support programs. Vulnerable populations are affected throughout El Dorado County. The problem is not limited to a specific area(s).

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

A) and B) The Agency will administer Senior Nutrition sites in outlying areas of the County and the Information and Assistance Program with Care Coordination and Monitoring. Agency staff will continue to network with providers of community resources/services and receive and make appropriate referrals to support and improve each individual's situation while attempting to help them achieve or retain their independence to the extent possible.

<b>National Performance Indicator 6.1</b>  <b>Independent Living</b>  The number of vulnerable individuals receiving services from Community Action who maintain an independent living situation as a result of those services:	<b>1</b>  <b>Number of Vulnerable Individuals Living Independently Projected to be Served for Contract Period (#)</b>	<b>2</b>  <b>Number of Vulnerable Individuals Living Independently in Reporting Period (#)</b>
<b>A. Senior Citizens</b> ( <i>seniors can be reported twice, once under Senior Citizens and again if they are disabled under Individuals with Disabilities, ages 55-over.</i> )	1,500	
<b>B. Individuals with Disabilities</b>	1,015	
<b>Ages a. 0-17</b>	15	
b. 18-54	400	
c. 55-over	500	

*In the row below, please include any additional indicators for NPI 6.1 that were not captured above.*

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*Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.*

**NPI 6.2: Emergency Assistance**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

<p align="center"><b>National Performance Indicator 6.2</b></p> <p align="center"><b>Emergency Assistance</b></p> <p>The number of low-income individuals served by Community Action who sought emergency assistance and the number of those individuals for whom assistance was provided, including such services as:</p>	<p align="center"><b>1</b></p> <p align="center"><b>Number of Individuals Projected to be Served for Contract Period (#)</b></p>	<p align="center"><b>2</b></p> <p align="center"><b>Number of Individuals Seeking Assistance in Reporting Period (#)</b></p>	<p align="center"><b>3</b></p> <p align="center"><b>Number of Individuals Receiving Assistance in Reporting Period (#)</b></p>
A. Emergency Food - for the purposes of this reporting, please provide the number of individuals receiving such assistance, not the number of units of service they received.			
B. Emergency fuel or utility payments funded by LIHEAP or other public and private funding sources			
C. Emergency Rent or Mortgage Assistance			
D. Emergency Car or Home Repair (i.e. structural, appliance, heating systems, etc.)			
E. Emergency Temporary Shelter			
F. Emergency Medical Care			
G. Emergency Protection from Violence			
H. Emergency Legal Assistance			
I. Emergency Transportation			
J. Emergency Disaster Relief			
K. Emergency Clothing			

*In the row below, please include any additional indicators for NPI 6.2 that were not captured above.*

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*Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.*

**NPI 6.3: Child and Family Development**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

<b>National Performance Indicator 6.3</b>  <b>Child and Family Development</b> The number and percentage of all infants, children, youth, parents, and other adults participating in developmental or enrichment programs who achieve program goals, as measured by <u>one or more</u> of the following:	<b>1</b>  <b>Number of Participants Projected to be served for Contract Period (#)</b>	<b>2</b>  <b>Number of participants Enrolled in Program(s) in Reporting Period (#)</b>	<b>3</b>  <b>Number of Participants Expected to Achieve Outcome in Reporting Period (#)</b>	<b>4</b>  <b>Number of Participants Achieving Outcome in Reporting Period (#)</b>	<b>5</b>  <b>Percentage of Participants Achieving Outcome in Reporting Period (%)</b>
<b>A. INFANTS &amp; CHILDREN</b>					
<b>1.</b> Infants and children obtain age appropriate immunizations, medical and dental care					
<b>2.</b> Infant and child health and physical development are improved as a result of adequate nutrition					
<b>3.</b> Children participate in pre-school activities to develop school readiness skills					
<b>4.</b> Children who participate in pre-school activities are developmentally ready to enter Kindergarten or 1st Grade					



*Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.*

**NPI 6.3: Child and Family Development**

<b>National Performance Indicator 6.3 (continued)</b>  <b>Child and Family Development</b>  The number and percentage of all infants, children, youth, parents, and other adults participating in developmental or enrichment programs who achieve program goals, as measured by <u>one or more</u> of the following:	1  Number of Participants Projected to be served for Contract Period (#)	2  Number of participants Enrolled in Program(s) in Reporting Period (#)	3  Number of Participants Expected to Achieve Outcome in Reporting Period (#)	4  Number of Participants Achieving Outcome in Reporting Period (#)	5  Percentage of Participants Achieving Outcome in Reporting Period (%)
<b>B. YOUTH</b>					
1. Youth improve health and physical development					
2. Youth improve social/emotional development					
3. Youth avoid risk-taking behavior for a defined period of time					
4. Youth have reduced involvement with criminal justice system					
5. Youth increase academic, athletic or social skills for school success					
<b>C. PARENTS AND OTHER ADULTS</b>					
1. Parents and other adults learn and exhibit improved parenting skills					
2. Parents and other adults learn and exhibit improved family functioning skills					

*In the rows below, please include any additional indicators for NPI 6.3 that were not captured above.*


*Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.*

**NPI 6.4: Family Supports**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

<b>National Performance            Indicator 6.4            Family Supports (Seniors, Disabled and Caregivers)</b>  Low-income people who are unable to work, especially seniors, adults with disabilities, and caregivers, for whom barriers to family stability are reduced or eliminated, as measured by <u>one or more</u> of the following:	<b>1            Number of            participants            Projected to be            Served for            Contract Period            (#)</b>	<b>2            Number of            participants            Enrolled in            Program(s) in            Reporting            Period            (#)</b>	<b>3            Number of            participants            Achieving Outcome            in Reporting Period            (#)</b>
A. Enrolled children in before or after school programs			
B. Obtained care for child or other dependant			
C. Obtained access to reliable transportation and/or driver's license			
D. Obtained health care services for themselves or family member			
E. Obtained safe and affordable housing			
F. Obtained food assistance			
G. Obtained non-emergency LIHEAP energy assistance			
H. Obtained non-emergency WX energy assistance			
I. Obtained other non-emergency energy assistance (State/local/private energy programs. Do Not Include LIHEAP or WX)			

*In the row below, please include any additional indicators for NPI 6.4 that were not captured above.*

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*Goal 6: Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.*

**NPI 6.5: Service Counts**

**Problem Statement:** (If additional space is needed, please attach a separate sheet.)

**Program Activities and Delivery Strategies:** (If additional space is needed, please attach a separate sheet.)

<p style="text-align: center;"><b>National Performance Indicator 6.5</b></p> <p><b>Service Counts</b></p> <p>The number of services provided to low-income individuals and/or families, as measured by <u>one or more</u> of the following:</p>	<p style="text-align: center;"><b>1 Number of Services Projected to be Served for Contract Period (#)</b></p>	<p style="text-align: center;"><b>2 Number of Services in Reporting Period (#)</b></p>
A. Food Boxes		
B. Pounds of Food		
C. Units of Clothing		
D. Rides Provided		
E. Information and Referral Calls		

*In the row below, please include any additional indicators for NPI 6.5 that were not captured above.*

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**Agency Notes and Clarifications on Goal 6:**

*Low-income people, especially vulnerable populations, achieve their potential by strengthening family and other supportive environments.*

This space is to record any significant narrative information for national goal 6, as requested by the *NPI Instruction Manual*. We encourage you to provide qualitative information to compliment and augment your statistical outcome data. In addition, this space is available to provide an explanation for not meeting or exceeding your projections.

**ARRA EXHIBIT B  
(Standard Agreement)**

ATTACHMENT IV

Summary of Allocated Funds for CSBG ARRA Projects & NPI Program/Activities  
(CSD 923)

### Summary of Allocated Funds for CSBG ARRA Projects & NPI Programs/Activities

Contractor Name: EI Dorado County DHS	Contract Number: 09F-5110	Prepared by: Maki Ganno
E-mail Address: <a href="mailto:maki.ganno@edcgov.us">maki.ganno@edcgov.us</a>	Telephone Number: (530) 642-4893	Fax Number: (530) 621-2518

A CSBG ARRA Project Name and/or NPI Goal and NPI Category	B CSBG ARRA Administrative Funds	C CSBG ARRA Program Funds	D Total CSBG ARRA Funds (B + C=D)	E Total Non-CSBG ARRA Funds to support Project/NPI Goal (FOR INFORMATION ONLY)
Information and Assistance with Care Coordination Project NPI 6.1	\$14,110	\$130,683	\$144,793	\$159,760
Senior Nutrition Program NPI 6.1	\$2,890	\$27,692	\$30,582	\$151,267
<b>The total CSBG ARRA Funds (column D) should not exceed the total CSBG ARRA allocation</b>			<b>\$175,375</b>	<b>\$311,027</b>

## INSTRUCTIONS

### SUMMARY of Allocated Funds for CSBG ARRA Projects & NPI Programs/Activities CSD 923 (NEW)

Enter the identifying information requested at the top of the form: contractor's name, and contract number. Enter the preparer's name, e-mail address, telephone number and fax number.

All projects funded in whole or in part by CSBG ARRA funds must be listed on this form. In addition, include the National Performance Indicator (NPI) programs/activities supported in whole or in part with CSBG ARRA funds. The project must match the project listed on CSBG ARRA Project work plan.

Column A: **CSBG ARRA Project Name or NPI Goal and NPI Category**  
Specify the name of the ARRA funded project or NPI project/activity.

*Example 1: Project Name- Culinary Training Center project*

*Example 2: NPI Goal and Category- Goal 1: Low Income People become more self-sufficient (NPI 1.1: A-Unemployed and obtained a job)*

Column B: **CSBG ARRA Administrative Funds**  
Specify the total CSBG ARRA dollar amount allocated to administrative cost for this project or NPI project/activity.

Column C: **CSBG ARRA Program Funds**  
Specify the total CSBG ARRA dollar amount allocated to program cost for this project.

Column D: **Total CSBG ARRA Funds**  
The sum of the CSBG ARRA Administrative (column B) and Program (column C) funds; this field will automatically calculate.

Column E: **Total Non CSBG ARRA Funds to Support project or NPI Goal**  
Specify the amount of NON CSBG ARRA funds used to support this project or NPI programs/activities. This is for information only.

**Total CSBG ARRA Funds (Sum of Column D)**  
This field is the sum of each project total CSBG ARRA funds and must equal the total CSBG ARRA allocation.

**ARRA EXHIBIT C  
(Standard Agreement)**

**SUPPLEMENTAL ARRA GENERAL TERMS AND CONDITIONS**

\\Cobra\Shared\Contracts\Community Services Block Grant\CSBG American Recovery & Reinvestment Act\ARRA Contract Exhibits\ARRA Exhibit C - 8 13 09.doc



## SUPPLEMENTAL TERMS AND CONDITIONS FOR CONTRACTS USING ARRA FUNDS

1. **ARRA FUNDED PROJECT:** Funding for this contract has been provided through the American Recovery and Reinvestment Act (ARRA) of 2009, Pub. L. 111-5. All contractors, including both prime and subcontractors, are subject to audit by appropriate federal or State of California (State) entities. The State has the right to cancel, terminate, or suspend the contract if any contractor or subcontractor fails to comply with the reporting and operational requirements contained herein.
2. **ENFORCEABILITY:** Contractor agrees that if Contractor or one of its subcontractors fails to comply with all applicable federal and State requirements governing the use of ARRA funds, the State may withhold or suspend, in whole or in part, funds awarded under the program, or recover misspent funds following an audit. This provision is in addition to all other remedies available to the State under all applicable State and federal laws.
3. **PROHIBITION ON USE OF ARRA FUNDS:** Contractor agrees in accordance with ARRA, Section 1604, that none of the funds made available under this contract may be used for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pools.
4. **REQUIRED USE OF AMERICAN IRON, STEEL AND OTHER MANUFACTURED GOODS:** Contractor agrees that in accordance with ARRA, Section 1605, neither Contractor nor its subcontractors will use ARRA funds for a project for the construction, alteration, maintenance, or repair of a public building or public work unless all of the iron, steel and manufactured goods used in the project are produced in the United States in a manner consistent with United States obligations under international agreements. The Contractor understands that this requirement may only be waived by the applicable federal agency in limited situations as set out in ARRA, Section 1605.
5. **WAGE RATE REQUIREMENTS:** In accordance with ARRA, Section 1606, the Contractor assures that it and its subrecipients shall fully comply with said Section and notwithstanding any other provision of law and in a manner consistent with other provisions of ARRA, all laborers and mechanics employed by contractors and subcontractors on projects funded directly by or assisted in whole or in part by and through the federal government pursuant to ARRA shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the United States Secretary of Labor in accordance with Subchapter IV of Chapter 31 of Title 40, United States Code (Davis-Bacon Act). It is understood that the Secretary of Labor has the authority and functions set forth in Reorganization Plan Numbered 14 or 1950 (64 Stat. 1267; 5 U.S.C. App.) and Section 3145 of Title 40, United States Code.
6. **INSPECTION OF RECORDS:** In accordance with ARRA Sections 902, 1514 and 1515, Contractor agrees that it shall permit the State of California, the United States Comptroller General or his representative or the appropriate Inspector General appointed under Section 3 or 8G of the United States Inspector General Act of 1978 or his representative to: (1) examine any records that directly pertain to, and involve transactions relating to, this contract; and (2) interview any officer or employee of Contractor or any of its subcontractors regarding the activities funded with funds appropriated or otherwise made available by the ARRA. Contractor shall include this provision in all of the contractor's agreements with its subcontractors from whom the contractor acquires goods or services in its execution of the ARRA funded work.
7. **WHISTLEBLOWER PROTECTION:**  
Contractor agrees that both it and its subcontractors shall comply with Section 1553 of the ARRA, which prohibits all non-federal Contractors, including the State, and all contractors of the State, from discharging, demoting or otherwise discriminating against an employee for disclosures by the employee that the employee reasonably believes are evidence of: (1) gross mismanagement of a contract relating to ARRA funds; (2) a gross waste of ARRA funds; (3) a substantial and specific danger to public health or safety related to the implementation or use of ARRA funds; (4) an abuse of authority related to implementation or use of ARRA funds; or (5) a violation of law, rule, or regulation related to an agency contract (including the competition for or negotiation of a contract) awarded or issued relating to ARRA funds. Contractor agrees that it and its subcontractors shall post notice of the rights and remedies available to employees under Section 1553 of Title XV of Division A of the ARRA.
8. **FALSE CLAIMS ACT:** Contractor agrees that it shall promptly notify the State and shall refer to an appropriate federal inspector general any credible evidence that a principal, employee, agent, subcontractor or other person has committed a false claim under the False Claims Act or has committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving ARRA funds.
9. **REPORTING REQUIREMENTS:** Pursuant to Section 1512 of the ARRA, in order for state agencies receiving ARRA funds to prepare the required reports, Contractor agrees to provide the awarding state agency with the following information on a monthly (quarterly) basis:
  - a. The total amount of ARRA funds received by Contractor during the Reporting Period;
  - b. The amount of ARRA funds that were expended or obligated during the Reporting Period;
  - c. A detailed list of all projects or activities for which ARRA funds were expending or obligated, including:

08/10/09

09-1228.C.57

**SUPPLEMENTAL TERMS AND CONDITIONS FOR  
CONTRACTS USING ARRA FUNDS**

- (i.) The name of the project or activity;
- (ii.) A description of the project or activity;
- (iii.) An evaluation of the completion status of the project or activity; and
- (iv.) An estimate of the number of jobs created and /or retained by the project or activity;

d. For any contracts equal to or greater than \$25,000:

- (i.) The name of the entity receiving the contract;
- (ii.) The amount of the contract;
- (iii.) The transaction type;
- (iv.) The North American Industry Classification System (NAICS) code or Catalog of Federal Domestic Assistance (CFDA) number;
- (v.) The Program source;
- (vi.) An award title descriptive of the purpose of each funding action;
- (vii.) The location of the entity receiving the contract;
- (viii.) The primary location of the contract, including the city, state, congressional district and country;
- (ix.) The DUNS number, or name and zip code for the entity headquarters;
- (x.) A unique identifier of the entity receiving the contract and the parent entity of Contractor, should the entity be owned by another; and
- (xi.) The names and total compensation of the five most highly compensated officers of the company if it received: 1) 80% or more of its annual gross revenues in Federal awards; 2) \$25M or more in annual gross revenue from Federal awards and; 3) if the public does not have access to information about the compensation of senior executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 or section 6104 of Internal Revenue Code of 1986.;

e. For any contracts of less than \$25,000 or to individuals, the information required above may be reported in the aggregate and requires the certification of an authorized officer of Contractor that the information contained in the report is accurate.

***Any other information reasonably requested by the State of California or required by state or federal law or regulation.***

Standard data elements and federal instructions for use in complying with reporting requirements under Section 1512 of the ARRA, are pending review by the federal government, and were published in the Federal Register on April 1, 2009 [74 FR 14824], and are to be provided online at [www.FederalReporting.gov](http://www.FederalReporting.gov). The additional requirements will be added to this contract(s).

08/10/09

09-1228.C.58

**ARRA EXHIBIT D  
(Standard Agreement)**

**SPECIAL TERMS AND CONDITIONS PROVISIONS: AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009**

1. AUDITING STANDARDS AND REPORTS

Contractors falling below the federal funding threshold that mandates a single agency-wide audit in accordance with OMB Circular -133 shall:

- A. submit an annual program-specific audit within nine months of the end of the Contractor's fiscal year, and
- B. be subject to an audit and/or other fiscal or program-specific review conducted by CSD or its agents, upon reasonable written notice.

2. CSBG RECOVERY ACT LOCAL PLAN

As a condition of receiving ARRA funds, existing CSBG Eligible entities were required to submit a CSBG Recovery Act Local Plan which describes the projects and activities funded in whole or in part by the ARRA funds under this agreement.

3. SCHEDULE OF ATTACHMENT

The following attachment to this exhibit is hereby attached and incorporated by this reference:

ATTACHMENT I 2009 AUDIT GUIDE



2. The closeout report on CSD contracts requires an inventory listing on all items purchased with CSD contract funds.
3. Inventories listed on the balance sheet and on the CSD closeout reports must be verified that they physically exist, are owned (not leased), and are in operable condition.
4. Inventory listings must be accurately compiled in the inventory accounts. Inventories are to be properly stated at cost (except when the market rate is lower).

#### **Subcontracts (All Contracts)**

1. Subcontracts must be arms-length agreements and free of actual or apparent conflicts of interest. Validate and report to CSD. CSD-funded agencies should be aware that contracting with wholly owned subsidiaries might not be considered arms-length agreements. This is especially true where both boards have similar members.
2. Contractors are required to substantiate that all costs expended under subcontracts are allowable and allocable to the particular program pursuant to the same standards as the costs expended directly by the Contractor under the specific CSD contract. Document the Contractor's system of ensuring this level of accountability, and report to CSD.

#### **Weatherization Crew Hours (LIHEAP and DOE Contracts)**

Document the methodology the Contractor uses to capture the actual hours each weatherization worker spends on each house, specific work performed and address. If this data is maintained in an automated system, obtain and review system documentation.

1. Verify that the monthly report summaries used to report weatherization crew hours provide accurate information by selecting and testing a representative sample.
2. Trace the monthly closeout report totals for weatherization labor hours to the Contractor's monthly report summaries and reconcile this to the supporting source documents.

#### **Prohibition on Lobbying**

The independent auditor shall verify that no CSD contract funds were used to influence or attempt to influence an officer or employee of a state or federal government agency, or a member of Congress or the State Legislature, in connection with the awarding of any contract, grant, loan, or cooperative agreement.

### **System of Internal Control**

Audits must include an examination of the systems of internal control. Internal control systems must be established to ensure compliance with laws and regulations affecting the expenditure of State and/or Federal funds, financial transactions and accounts, and the agency's process for submission of Contractor billings submitted to CSD for the performance of the contract.

The Contractor's accounting system must provide for accumulating and recording of expenditures by cost category (budget line items) shown in the approved budget. The independent auditor or CPA firm must give an opinion on the internal controls of the Contractor being reviewed.

### **Administrative Cost Cap**

CSD contracts have an administrative cost cap. Administrative costs charged to each CSD contract must not exceed this cost. In addition, other Federal funds must not be used to exceed the total administrative cost cap charged to the CSD contract, unless specifically allowed by Federal statute.

### **Use of Indirect Cost Rates or Other Indirect Cost Methodology**

1. A Federally Approved Indirect Cost Allocation Rate may be used for selected items of costs up to the maximum allowed by the CSD contract's administrative cost rate. Costs claimed for a specific line item in the budget cannot be reported as direct costs and also as indirect costs.
2. Validate the indirect cost rate or methodology and the application of the rate used by the Contractor.
3. Ensure compliance with OMB Circulars A-87 and A-122.

### **Basis for Allocation of Costs**

1. The independent auditor or CPA firm must identify the Contractor's basis for allocating costs to CSD contracts. Costs charged to CSD contracts must be allocable, allowable, and based on actual expenses incurred by the Contractor for the CSD contract. Costs charged to the CSD contract must also have an approved contract budget line item.
2. Ensure Compliance with OMB Circulars A-87 and A-122.

### **Going Concern and Subsequent Events**

The independent auditor or CPA firm must provide a "positive assurance" statement that any (significant) subsequent events, related directly or indirectly, that occurred after the final closeout report and single agency-wide audit are submitted to CSD do not materially affect the closeout report, as submitted by the Contractor. Additionally, the independent auditor or CPA firm must provide "positive assurance" whether or not the Contractor will continue as a going concern. Some examples are litigation settlement, bankruptcy, mergers, large loans, cash flow problems, etc.

### **Representation Letter**

A Representation Letter between the independent auditor or CPA firm and the Contractor must be forwarded to CSD. The Representation Letter must be signed by the Contractor's controller (or equivalent) and either the Chair of the Audit Committee if it exists or the Executive Director.

### **Engagement Letter**

In the event a Contractor is more than one month late in submitting the required independent audit report, the Contractor shall submit one copy of the finalized, signed Engagement letter between the Contractor and the Contractor's independent auditor or CPA firm.

### **Supplemental Statements**

Beginning with the 1994 program year, CSD contract provisions have required the financial and compliance audit to include supplemental statements. These supplemental statements must be included as part of the package submitted to CSD with the single agency-wide audit for each fiscal year. CSD uses the above information to reconcile the audited costs to the costs reported by the Contractor.

The supplemental statements should be based on the budget line items contained in the contract. The supplemental statement must include the contract budget line items, expenditures for each budget line item by fiscal year, total audited costs and total reported expenses by budget line item. Please refer to Attachment Nos. 1 and 2 for examples of the format to use for the required supplemental statements.

### **Auditing Standards and Reports**

The financial and compliance audit report shall contain the following supplemental financial information: a combined statement of revenue and expenditures for each contract that presents, by budget line item, revenue and expenditures for the audit period and a description of the methodology used to allocate and claim indirect costs and any administrative cost pools.

### **Testing of Transactions**

A sufficient number of items should be selected for review that represent all material costs categories. The audit should determine whether:

- a. Contractor's internal control over the contract is effective and working as intended;
- b. Reported program expenditures are allowable and allocable;
- c. Reported expenditures conform to funding or program limitations or exclusions;
- d. Reported expenditures are not charged to, or reimbursed by, other programs or funding sources;
- e. Transactions are properly approved, reported, and supported by source documents;
- f. Reported expenditures were incurred within the appropriate contract term; and
- g. Contractor complied with applicable laws, regulations, and contract requirements.

#### **Identify American Recovery and Reinvestment Act of 2009 (ARRA) Funds**

Contractors covered under the Single Audit Act and OMB circular A-133 must specifically identify ARRA funds on the SEFA by CFDA number, contract number, and by attaching the prefix "ARRA-" to the Federal program name. This information may be used by CSD to monitor the Contractor's expenditures of ARRA funds. In addition, the Contractor should maintain documentation to identify sub-award and project funded through the ARRA.



**ARRA EXHIBIT E  
(Standard Agreement)**

**ADDITIONAL PROVISIONS: AMERICAN RECOVERY AND REINVESTMENT ACT  
OF 2009**

1. ADMINISTRATIVE REQUIREMENTS

For all recipients, administrative requirements of the awards will be governed by Section 1512 of the American Recovery and Reinvestment Act of 2009.

2. FEDERAL POVERTY LEVEL PROVISIONS

The ARRA Act increases the eligibility level from one-hundred twenty-five percent (125%) to two-hundred percent (200%) of the Federal poverty level for authorized services under the CSBG Act. Under this Agreement Contractor's may serve clients up to two-hundred percent (200%) of the federal poverty level exclusively for the terms of this agreement and use of ARRA funds.

3. COST SHARING OR MATCHING CONTRIBUTIONS

In accordance with 45 CFR § 74.23, Contractor may not use funds for purposes of cost sharing or as matching contributions when such funds are paid by the Federal Government under another award

4. CENTRAL CONTRACTOR REGISTRATION (CCR)

- A. As required under the Recovery Act, Contractor must have a Dun and Bradstreet Universal Numbering System (DUNS) number ([www.dnb.com](http://www.dnb.com)) (or update its existing DUNS record), and register with the Central Contractor Registration (CCR; [www.ccr.gov](http://www.ccr.gov)) no later than July 10, 2009. (ARRA § 1512, ARRA § 1609)
- B. Contractor must maintain active and current registrations in the Central Contractor Registration ([www.ccr.gov](http://www.ccr.gov)) at all times during which it has active federal awards funded with Recovery Act funds.

5. BUY AMERICAN

- A. None of the funds provided under this Agreement derived from the American Recovery and Reinvestment Act, Pub. L. 111-5, may be used for a project for the construction, alteration, maintenance, or repair of a public building or public work unless all of the iron, steel, and manufactured goods used in the project are produced in the United States.
- B. Subsection A. shall not apply in any case or category of cases in which the head of the Federal department or agency (grantor) finds that –
  - 1) applying subsection A. would be inconsistent with the public interest;

**ARRA EXHIBIT E  
(Standard Agreement)**

- 2) iron, steel, and the relevant manufactured goods are not produced in the United States in sufficient and reasonably available quantities and of a satisfactory quality; or
  - 3) inclusion of iron, steel and manufactured goods produced in the United States will increase the cost of the overall project by more than 25 percent.
- C. If the head of a Federal department or agency determines that it is necessary to waive the application of subsection A. based on a finding under subsection B., the head of the department or agency shall publish in the Federal Register a detailed written justification as to why the provision is being waived.
- D. This section shall be applied in a manner consistent with United States obligations under international agreements.
- E. Implementation of this provision should follow the forthcoming requirements in the Federal Acquisition Regulation or as otherwise identified by the Contracting Officer.

6. PROCUREMENT

All funds under this Agreement expended through a subcontract for personal services or goods shall be fully subject to open and free competition as directed by OMB Circulars A-102 and A-110. Contractor may not rely on prior contractual relationships with a subcontractor as the sole justification of a subcontract awarded with ARRA funds. Contractor must fully implement all procurement procedures and requirements pursuant to CSBG Exhibit E 2 page E1 .

7. INFORMATION IN SUPPORT OF RECOVERY ACT REPORTING

Contractor is responsible to maintain and may be required to submit backup documentation for all expenditures of funds under the Recovery Act including such items as timecards and invoices. Contractor shall provide copies of backup documentation at the request of the CSD or designee.

**EXHIBIT A**  
**(Standard Agreement)**

**SCOPE OF WORK:**

1. **COMPLIANCE**

All services and activities are to be provided in accordance with all applicable federal and state laws and regulations and as those laws and regulations may be amended from time to time, including but not limited to, pursuant to the following:

- a. The Community Services Block Grant Act, 42 U.S.C. §§ 9901 et seq., and 45 Code of Federal Regulation (CFR) Part 96;
- b. The California Community Services Block Grant Program, Government Code §§ 12725 et seq., and Title 22, California Code of Regulations (CCR), §§ 100601 et seq.; and
- c. The Single Audit Act, 31 U.S.C. §§ 7301 et seq., and Office of Management and Budget (OMB)

2. **REQUIREMENTS, STANDARDS AND GUIDELINES**

Even though the federal Community Services Block Grant Act exempts Contractor and its subcontractors from many federal administrative requirements and standards to promote State and local efficiency, the federal government directs the State to establish fiscal control and fund accounting procedures regarding CSBG funds. Federal law also directs the State to ensure that the cost and accounting standards of the Office of Management Budget (OMB) apply to recipients of CSBG funds. Therefore, Contractor agrees to apply all of the requirements, standards and guidelines contained in the following authorities, as they may be amended from time to time, to all of the procurement, administrative and other costs claimed under this Agreement, including those costs under subcontracts to this Agreement, notwithstanding any language contained in the following authorities that might otherwise exempt Contractor from their applicability. To the extent that the requirements, standards or guidelines directly conflict with any State law or regulation at Government Code §§ 12725 et seq., or 22 CCR §§ 100601 et seq., or any specific provision of this Agreement, then that law or regulation or provision shall apply instead:

- A. OMB Circular A-102 (Common Rule for State and Local Governments), as codified by the Department of Health and Human Services (HHS) at 45 CFR Part 92;
- B. OMB Circular A-110 (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and other Non-Profit Organizations), as codified by HHS at 45 CFR Part 74;
- C. OMB Circular A-87 (Cost Principles for State, Local and Indian Tribal Governments);
- D. OMB Circular A-122 (Cost Principles for Non-Profit Organizations)

**EXHIBIT A  
(Standard Agreement)**

3. FEDERAL CATALOG DOMESTIC ASSISTANCE NUMBER

The Community Services Block Grant Stimulus, American Recovery and Reinvestment Act, Catalog of Federal Domestic Assistance number is 93.710. The award is made available through the United States Department of Health and Human Services.

4. SERVICE AREA

The services shall be performed in the following service area:

**El Dorado County**

5. ADDRESSES

Send all correspondence to:

State Agency:	Department of Community Services and Development
Section/Unit:	Field Operations Services
Mailing Address:	Post Office Box 1947 Sacramento, CA 95812-1947
Address:	700 North 10 <sup>th</sup> Street, Room D215 Sacramento, CA 95811-0336
Phone:	(916) 341-4200
Fax:	(916) 327-3153

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**EXHIBIT B**  
**(Standard Agreement)**

**TERM AND AMOUNT OF AGREEMENT, BUDGET DETAIL, AND PAYMENT PROVISIONS**

1. ADMINISTRATIVE EXPENSES

For the purpose of administrative expenditures, Contractor shall use funds allocated under this Agreement in an amount not to exceed twelve percent (12%) of the total operating funds of its community action program(s). Contractor shall not use funds provided under this Agreement to cover administrative costs incurred in the Low-Income Home Energy Assistance Program (LIHEAP) in excess of the LIHEAP contractual limitations.

2. BUDGET CONTINGENCIES

A. State Budget Contingency

- 1) It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event, the State shall have no liability to pay any funds whatsoever to Contractor or to furnish any other considerations under this Agreement and Contractor shall not be obligated to perform any provisions of this Agreement.
- 2) If funding for any fiscal year is reduced or deleted by the Budget Act for purposes of this program, the State shall have the option to either cancel this Agreement with no liability occurring to the State, or offer an agreement amendment to Contractor to reflect the reduced amount.

B. Federal Budget Contingency

- 1) It is mutually understood that this Agreement may have been written before ascertaining the availability of Congressional appropriation of funds, for the mutual benefit of both Parties, in order to avoid program and fiscal delays that would occur if this Agreement were executed after that determination was made.
- 2) This Agreement is valid and enforceable only if sufficient funds are made available to the State by the United States Government for the purposes of this Agreement. In addition, this Agreement is subject to any additional restrictions, limitations, or conditions enacted by the Congress or any statute enacted by the Congress that may affect the provisions terms, or funding of this Agreement in any manner.

**EXHIBIT B**  
**(Standard Agreement)**

- 3) It is mutually agreed that if the Congress does not appropriate sufficient funds for this Program, this Agreement shall be amended to reflect any such reduction in funds.
- 4) The State has the option to invalidate this Agreement under the 30-day cancellation clause or to amend this Agreement to reflect any such reduction in funds.

**EXHIBIT C**  
**(Standard Agreement)**

**GENERAL TERMS AND CONDITIONS**

PLEASE NOTE: This Exhibit is not included with the final Agreement. The General Terms and Conditions are included in the Agreement by reference to Internet site: [www.csd.ca.gov](http://www.csd.ca.gov).

**EXHIBIT C**  
**(Standard Agreement)**

**GENERAL TERMS AND CONDITIONS**

1. Approval

This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required.

2. Amendment

No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.

3. Assignment

This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.

4. Audit

Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).

5. Indemnification

Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.



**EXHIBIT C  
(Standard Agreement)**

6. Disputes

Contractor shall continue with the responsibilities under this Agreement during any dispute.

7. Termination for Cause

The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.

8. Independent Contractor

Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.

9. Non-Discrimination Clause

During the performance of this Agreement, Contractor and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (cancer), age (over 40), marital status, and denial of family care leave. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Government Code Section 12990 (a-f) et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

**EXHIBIT C  
(Standard Agreement)**

10. Certification Clauses

The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 307 are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.

11. Timeliness

Time is of the essence in this Agreement.

12. Compensation

The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.

13. Governing Law

This contract is governed by and shall be interpreted in accordance with the laws of the State of California.

14. Child Support Compliance Act

For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:

- a) The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- b) The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.”

15. Unenforceable Provision

In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

**EXHIBIT C**  
**(Standard Agreement)**

16. Priority Hiring Considerations

If this Contract includes services in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code §10353.

This version of the GTCs (307) has been modified for use with CSD's subvention agreements

**EXHIBIT D**  
**(Standard Agreement)**

**SPECIAL TERMS AND CONDITIONS**

1. **TRAVEL/PER DIEM**

- A. Contractor's total travel for in-state and/or out-of-state and per diem costs shall be included in the contract Budget(s). Out-of-state travel costs that exceed the budgeted amount shall not be reimbursed without prior written authorization from CSD.
- B. Contractor's employee travel costs and per diem reimbursement rates shall be reimbursed as allowed pursuant to OMB Circular A-87 Section 43 or OMB Circular A-122 section 51 as applicable, and based on the Contractor's acceptable, written travel policy, or, in the absence of such policy, not to exceed Federal per diem requirements.
- C. In absence of a travel policy, Contractor shall defer to the rules and regulations established in the California Code of Regulations Section 599.615 through 599.638 and be reimbursed in accordance with the definitions, terms and provisions contained therein.

2. **CERTIFICATIONS**

- A. Contractor's signature affixed hereon shall constitute a certification that to the best of its ability and knowledge it will, unless exempted, comply with the provisions set forth in the following:
  - 1) Drug-Free Workplace Requirements Contract Certification Clause (CCC-307)
  - 2) National Labor Relations Board Certification (CCC-307)
  - 3) Expatriate Corporations (CCC-307)
  - 4) Domestic Partners (CCC-307)
  - 5) Amendment for Change of Agency Name (CCC-307)
  - 6) Resolution (CCC-307)
  - 7) Air and Water Pollution Violation (CCC-307)
  - 8) Information Integrity and Security (Department of Finance, Budget Letter 04-35)

**EXHIBIT D**  
**(Standard Agreement)**

9) Safeguarding Against and Responding to a Breach of Security Involving Personal Information (Department of General Services, Management Memo 08-11)

B. The above documents are hereby incorporated by reference into this Agreement. To access these documents, please visit [www.csd.ca.gov](http://www.csd.ca.gov)

3. INTERNAL CONTROL CERTIFICATION

Contractor shall ensure the establishment and maintenance of a system of internal accounting and administrative control. This responsibility includes documenting the system, communicating system requirements to employees, and assuring that the system is functioning as prescribed and is modified, as appropriate, for changes in conditions. The system of internal accounting and administrative control shall be attested to within the Contractor's independent audit conducted pursuant to this Agreement, and include:

- A. Segregation of duties appropriate to safeguard state assets.
- B. Limited access to agency assets to authorized personnel who require these assets in the performance of their assigned duties.
- C. Authorization and recordkeeping procedures adequate to provide effective accounting controls over assets, liabilities, revenues, and expenditures.
- D. Practices to be followed in performance of duties and functions.
- E. Personnel of a quality commensurate with their responsibilities.
- F. Effective internal review.

4. CONFLICT OF INTEREST

- A. Contractor certifies that its employees and the officers of its governing body shall avoid any actual or potential conflicts of interest and that no officer or employee who exercises any functions or responsibilities in connection with this Agreement shall have any personal financial interest or benefit that either directly or indirectly arises from this Agreement.
- B. Contractor shall establish safeguards to prohibit its employees or its officers from using their positions for a purpose that could result in private gain or that gives the appearance of being motivated for private gain for themselves or others, particularly those with whom they have family, business, or other ties.

**EXHIBIT D**  
**(Standard Agreement)**

5. CODES OF CONDUCT

- A. Contractor shall maintain written standards of conduct governing the performance of its employees engaged in the award and administration of contracts or subcontracts. No employee, officer, or agent of the Contractor shall participate in the selection, award, or administration of a subcontract supported by Federal funds if a real or apparent conflict of interest would be involved. Such a conflict would arise when the employee, officer, or agent, any member of his or her immediate family, his or her partner, or an organization that employs or is about to employ any of the parties indicated herein, has a financial or other interest in the firm selected for an award. The officers, employees, and agents of the Contractor shall neither solicit nor accept gratuities, favors, or anything of monetary value from subcontractors or parties to subagreements. The standards of conduct shall provide for disciplinary actions to be applied for violations of such standards by officers, employees, or agents of the recipients.
- B. Contractor shall not pay Federal funds received from CSD to any entity in which it (or one of its employees, officers, or agent, any member of his or her immediate family, his or her partner, or an organization that employs or is about to employ any of the parties indicated herein) has an interest. As ownership constitutes a financial interest, Contractor shall not subcontract with a subsidiary. Similarly, Contractor shall not subcontract with an entity that employs or is about to employ any person described in 45 CFR Part 92 (for states and local governments) and 45 CFR Part 74 (for nonprofit organizations) (Office of Management and Budget Circular A-110, section 42).

6. EFFECTIVENESS ASSESSMENT TOOL

CSD developed and made available an effectiveness assessment tool with the cooperation of all CSBG Contractors in California. The tool describes performance guidelines in the areas of Leadership, Governance, Administration, and Programs.

- A. The effectiveness assessment tool is a resource for Contractors to assess the viability of their organization.
- B. If Contractor chooses to complete the effectiveness assessment tool, the results do not have to be shared with CSD.
- C. CSD does encourage the use of this tool. The document may be accessed at [www.csd.ca.gov](http://www.csd.ca.gov).

**EXHIBIT D**  
**(Standard Agreement)**

7. COMPLIANCE MONITORING

- A. As the recipient of federal CSBG Block Grant funds under this Agreement, Contractor is responsible for substantiating that all costs claimed under this Agreement are allowable and allocable under all applicable federal and state laws, and provisions, for tracing all costs to the level of expenditure.
- B. As the administrator for the CSBG Block Grant for the State, CSD is required to ensure the funds allocated to Contractor are expended for the purposes identified in, federal and state CSBG law, and for allowable and allocable costs under the applicable rules of the Office of Management and Budget.
- C. CSD is required to conduct onsite and follow-up monitoring of Contractor to ensure that Contractor meets the performance goals, administrative standards, financial management requirements, and other requirements of the federal and State CSBG program.
- D. CSD shall provide Contractor reasonable advance notice in writing of on-site monitoring reviews of Contractor's program or fiscal performance.

Contractor shall cooperate with CSD program and audit staff and other representatives, and provide access to all programs, records, documents, resources, personnel, inventory and other things reasonably related to the administration and implementation of the services and activities funded directly or indirectly by this Agreement.

- E. In the event, CSD determines that Contractor is in non-compliance of material or other legal requirements of this Agreement, CSD shall provide the observations, recommendations or findings in writing, along with a specific action plan for correcting the non-compliance.

8. SPECIAL CONDITIONS FOR ENTITIES NOT MEETING TERMS OF THE AGREEMENT

- A. In addition to the State's authority to terminate or suspend funding or deny refunding under federal and State law and regulation, the State has authority to set fiscal control and fund accounting procedures to fulfill the State's oversight responsibilities and ensure that CSBG Block Grant funds are appropriately expended. Thus, notwithstanding the express exception in 45 CFR Part 92 as applied to the CSBG Program, the State hereby incorporates by reference 45 CFR Part 92.12 and may impose special conditions according to that section on Contractor for unsatisfactory performance of the requirements, standards, and guidelines of this Agreement.

**EXHIBIT D**  
**(Standard Agreement)**

- B. Contractor shall ensure that requirements set forth in this Agreement are met, that all required documentation is submitted in a timely manner, and that any corrective action plans are fulfilled. In the event that prescribed timelines are not met or corrective action is not taken, it shall be deemed a material breach of this Agreement, and CSD shall take appropriate action, including but not limited to withholding of advance payments and initiation of the suspension and termination procedures provided by State and federal CSBG law.

9. BOARD ROSTER, BYLAWS, RESOLUTION AND MINUTES

- A. Upon execution of this Agreement, Contractors shall submit to CSD a current roster of the Executive Committee of the tripartite board, including contact information for each Committee member at a location other than the office of the eligible entity, and the most recent version of the organizational bylaws. Contractor is responsible to notify CSD of any changes to the Executive Committee within thirty (30) days of such occurrence.
- B. Contractor shall submit to CSD a current tripartite board roster, including the name and sector (i.e., low-income, public, private) of each board member.
- C. Contractor's current governing board must authorize the execution of this Agreement. Contractor has the option of demonstrating such authority by direct signature by a board member, or by any lawful delegation of such authority that is consistent with Contractor's bylaws.
- D. Where Contractor elects to delegate the signing authority to the chief executive officer, CSD will accept either a resolution specific to this Agreement or a resolution approved by the current governing board that is more generally applicable to any CSD program contract or amendment. Where Contractor provides a general resolution, Contractor shall maintain documentation that the chief executive officer provided timely and effective communication of the execution and terms of this Agreement to the board. Either a specific or current general resolution must be on file with CSD prior to CSD's finally executing this Agreement.
- E. Contractors shall submit to CSD the tripartite board minutes from regularly scheduled meetings no later than 30 days after the minutes are approved. Regularly scheduled meetings shall be in accordance with the tripartite board's bylaws.
- F. For those Contractors whose tripartite board is advisory to the elected members governing a local government, the Contractor shall submit to CSD the minutes from any meeting of the elected officials where matters relating to this Agreement are heard, including but not limited to discussions about or decisions affecting the



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community action program. Such minutes shall be submitted to CSD no later than 30 days after the minutes are approved.

10. AUDITING STANDARDS AND REPORTS

A. Auditing Standards

Contractor must follow all audit requirements as set forth in OMB Circular A-133 and the 2009 CSD Supplemental Audit Guide. The 2009 Supplemental Audit Guide is attached herein as ARRA Exhibit D Attachment I.

B. Audit Reports

- 1) Funds provided under this Agreement shall be included in an audit conducted in accordance with the provisions of OMB Circular A-133 for nonprofit and public agencies, standards promulgated by the American Institute of Certified Public Accountants (AICPA), and those standards included in "Government Auditing Standards, 2007 Revision, as amended.
- 2) The financial and compliance audit report shall contain the following supplementary financial information: a combined statement of revenue and expenditures for each contract that presents, by budget line item, revenue and expenditures for the audit period and a description of the methodology used to allocate and claim indirect costs and any administrative cost pools.
- 3) The audit report must specifically mention that a review for compliance with OMB Circulars A-87 and A-122 was conducted.
- 4) Contractors shall submit to CSD one printed copy and one electronic copy of the required audit report(s) and any management letter if issued by the accountant, within nine months of the end of the Contractor's fiscal year, accompanied by a copy of the signed, final engagement letter between Contractor and the independent auditor. Upon written request by the Contractor's independent auditor, which includes an explanation of why the audit cannot be submitted within nine months of the end of the Contractor's fiscal year, an extension may be granted by CSD Audit Services Unit for submittal of the audit report not to exceed an additional 30 calendar days from the original due date. The audit reports are to be submitted to the following addresses:

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Printed copy:  
Department of Community Services and Development  
Attention: Audit Services Unit  
P.O. Box 1947  
Sacramento, CA 95812-1947

Electronic copy:  
[audits@csd.ca.gov](mailto:audits@csd.ca.gov)

Local governmental agencies also shall submit the required number of copies of the audit report in accordance with the guidelines set by the Division of Audits of the State Controller's Office. Said reports are to be submitted to the following address:

State Controller's Office  
Division of Audits  
300 Capitol Mall, Fifth Floor  
Sacramento, CA 95814

- 5) Where services or funds under this Agreement are provided to, for, or by a wholly owned, or wholly controlled subsidiary of Contractor, Contractor hereby provides assurance that an audit shall be performed of this subsidiary organization in accordance with this Section. Said required audit report shall be made available to the State upon request.

11. SUBCONTRACTS

Contractor may enter into subcontract(s) to perform applicable provisions of this Agreement. The Contractor is responsible for ensuring that all activities delegated to subcontractors are in support of this Agreement. As defined in federal CSBG law, subcontractors are not considered eligible entities and thus not subject to tripartite board or other specific requirements unique to eligible entities.

- A. Contractor shall submit notification to the State within 60 calendar days of execution of each subcontractor agreement with the name of the subcontractor entity, its address, telephone number, contact person, contract amount, and program/administrative description of each subcontractor activity to be performed under this Agreement.
- B. Contractor remains responsible to substantiate the allowable and allocable use of all funds under this Agreement. Contractor shall ensure that any subcontracts under this Agreement contain all provisions necessary to ensure adequate substantiation and controls of the expenditure of such funds. Contractor shall be responsible for conducting periodic monitoring of subcontractor's program

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activities and fiscal accountability. Contractor shall also ensure that any subcontracts under this Agreement contain the right of reasonable access to the subcontractors' books and records by CSD staff and representatives and by Contractor's independent auditors for purposes of ensuring the allowability of expenditures of the funds under this Agreement.

Contractor shall immediately notify all of its subcontractor(s) in writing within five days of such action in the event the State suspends, terminates, and/or makes changes to the services to be performed under this Agreement.

- D. Contractor is the responsible party and shall remain liable for the performance of the terms, conditions, assurances, and certifications of this Agreement, without recourse to the State, regarding the settlement and satisfaction of all contractual and administrative issues arising out of subcontract agreement(s) entered into in support of this Agreement, including disputes, claims, or other matters of a contractual nature as well as civil liability arising out of negligence or intentional misconduct of the subcontractor(s).
- E. Nothing contained in this Agreement shall create any contractual relation between the State and any subcontractors, and no subcontract shall relieve the Contractor of its responsibilities and obligations hereunder. Contractor agrees to be as fully responsible to the State for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the Contractor. The Contractor's obligation to pay its subcontractors is an independent obligation from the State's obligation to make payments to the Contractor. As a result, the State shall have no obligation to pay or to enforce the payment of any moneys to any subcontractor.

12. INSURANCE AND FIDELITY BOND

- A. General Requirements
- 1) By execution of this Agreement, Contractor agrees that the below-required insurance policies and bond shall be in effect at all times during the term of this Agreement.
  - 2) Contractor shall provide the State with written notice at least 30 calendar days prior to cancellation or reduction of insurance coverage to an amount less than that required in this Agreement.
  - 3) In the event said insurance coverage expires at any time or times during the term of this Agreement, Contractor agrees to provide within 30 calendar days prior to said expiration date a new Certificate of Insurance (ACORD 25) evidencing insurance coverage as provided for herein for not

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less than the remainder of the term of this Agreement. The Certificate shall identify and name the State as the Certificate Holder.

- 4) New Certificates of Insurance will be reviewed for content and form by CSD.
- 5) In the event Contractor fails to keep in effect at all times the specified insurance and bond coverage as herein provided, the State may, in addition to any other remedies it may have, suspend this Agreement.
- 6) With the exception of workers' compensation and fidelity bond, the State shall be named as additional insured on all Certificates of Insurance required under this Agreement.
- 7) The issuance of other CSD contracts, to include any cash advances, and reimbursement payments, to the Contractor shall be contingent upon required current insurance coverage being on file at CSD for this Agreement.

**B Self-Insurance**

- 1) When Contractor is a self-insured governmental entity, the State, upon satisfactory proof, may waive the appropriate insurance requirements. To qualify for a waiver, an appropriate county or city risk manager shall sign a certification that shall contain assurance of the adequacy of the governmental entity's ability to cover any potential losses under this Agreement.
- 2) Contractor shall specify in writing a list of which coverage(s) will be self-insured under this Agreement and shall list all applicable policy numbers, expiration dates, and coverage amount.
- 3) In the case that the Contractor's self-insurance coverage does not contain any changes from the prior year, CSD will accept a certified letter signed by authorized personnel stating that no changes have occurred from last year. This letter is due at the time of contract execution or within 30 days of expiration of insurance.
- 4) Should Contractor utilize a subcontractor(s) to provide services under this Agreement, Contractor shall indemnify and hold the State harmless against any liability incurred by that subcontractor(s).

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C. Workers' Compensation Insurance

- 1) Contractor shall have and maintain for the term of this Agreement workers' compensation insurance issued by an insurance carrier licensed to underwrite workers' compensation insurance in the State of California.
- 2) Contractor shall submit either an applicable Certificate of Insurance or a Certificate of Consent to Self-Insure issued by the Director of the Department of Industrial Relations to the State as evidence of compliance with the workers' compensation insurance requirement prior to issuance of an initial cash advance.

D. Fidelity Bond

- 1) Contractor shall maintain a fidelity bond in the minimum amount of four percent of the total amount of consideration set forth under this Agreement.
- 2) Contractor shall submit an applicable Certificate of Insurance to the State as evidence of compliance with the fidelity bond requirement prior to issuance of an initial cash advance.

E. General Liability Insurance

- 1) Contractor shall have and maintain for the term of this Agreement general liability and property damage insurance for a combined single limit of not less than \$500,000 per occurrence.
- 2) Contractor shall submit an applicable Certificate of Insurance naming CSD as an additional insured, to the State as evidence of compliance with general liability insurance requirements prior to issuance of an initial cash advance.

F. Vehicle Insurance

- 1) Contractor shall have and maintain for the term of this Agreement vehicle insurance in the amount of \$500,000 for each person and each accident for bodily injury and in the amount of \$500,000 for each person and each accident for property damage.
- 2) When employees use their own vehicles to perform duties within the scope of their employment, Contractor shall have and maintain for the term of this Agreement nonowned and hired-auto liability insurance in the amount of \$500,000 for each person and each accident for bodily injury

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and \$500,000 for each person and each accident for property damage.  
(Driving to and from work is not within the scope of employment.)

- 3) Contractor shall submit an applicable Certificate of Insurance, designating CSD as an additional insured, to the State as evidence of compliance with said vehicle insurance requirements prior to issuance of an initial cash advance.

13. AGREEMENT CHANGES

A. Amendment

Formal Amendments are required for changes to the term, total cost or Maximum Amount of this Agreement, scope of work, and formal name changes. No amendment to this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties. CSD 425b, Justification for Contract Amendment/ Modification, must be completed by Contractor's request to make changes to Attachment I or Attachment II.

B. Modification

- 1) Changes involving alterations such as changes to program activities and/or delivery strategies will be considered modifications. A CSD 425b, Justification for Contract Amendment/Modification, must be completed by Contractor's request to make changes to Attachment I or Attachment II.
- 2) Please note that modifications to projected outcomes on the CSD 901 forms will not be allowed. In the event that projections will not be met, please provide a description of the circumstances. For further instruction please contact your Field Services Representative.
- 3) A modification to the projected budget is not required as long as the maximum amount payable does not change. Any increase to out-of-state travel costs or changes to major equipment purchases will require a modification.
- 4) If a Contractor intends to request a contract modification to modify Attachment I or Attachment II, justification shall be submitted on CSD 425b, Justification for Contract Amendment/Modification, no later than 15 calendar days prior to the expiration date of this Agreement.

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14. SYSTEM SECURITY REQUIREMENTS

Contractor shall, in cooperation with CSD, institute measures, procedures, and protocols designed to ensure the security of data and to protect information in accordance with California State Administrative Manual (SAM) Section 5310, Item 4, and such other State and Federal laws and regulations as may apply. The parties hereto agree to the following requirements, obligations, and standards:

A. General Information/Data Description

The interconnection between CSD and Contractor is a two-way data exchange. The purpose of the data exchange or direct input is to deliver application records for payment processing or contract activity reimbursement.

B. Services Offered

Data exchange between CSD and Contractor shall be handled through two methods: 1) a Contractor user must authenticate to upload data files in a secure socket layer connection; or 2) a secure user interface that is only available to Contractor users with a unique software authentication to see the login window and also secure tunnel between CSD and the Contractor user.

C. Data Sensitivity

- 1) The sensitivity of data exchanged between CSD and Contractor may vary from sensitive to personal or confidential because of personal data such as social security numbers to private data, e.g., family income level, family member name, etc. No personal financial information, i.e., credit card, bank account numbers, shall be stored or exchanged in the data exchange sessions.
- 2) Appropriate levels of confidentiality for the data shall be based on established data classification (see SAM Section 5320.5).

D. Information Exchange Security

- 1) The security of the information being passed on this primary two-way connection shall be protected through the use of encryption software. The connections at each end shall be secured plus the physical location the application systems shall be within a controlled access facilities. Individual users may not have access to the data except through their systems security software that is logged in detail or controlled. All access will be controlled by authentication methods to validate the approved users.

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- 2) Standards for secure transmission may be accomplished through such means as certificates, secure socket layer, etc., and storage of the data with encryption, if applicable.
- 3) Both CSD and Contractor shall maintain security patches and anti-virus software updates.

E. Trusted Behavior Expectations

CSD's application system and users shall protect Contractor's application system/data, and the Contractor's application system and users shall protect CSD's application system/data, in accordance with the Privacy Act and Trade Secrets Act (18 U.S. Code 1905) and the Unauthorized Access Act (18 U.S. Code 2701 and 2710).

F. Formal Security Guidelines

CSD's Computer Security Policy and Contractor's policy and procedures for internal controls shall conform to the standards and obligations for the protection of data established herein and shall ensure their implementation.

G. Incident Reporting

Any party discovering a security incident shall report it in accordance with its incident reporting procedures. Contractor shall within 24 hours of discovery report to CSD any security incident contemplated herein. Policy governing the reporting of Security Incidents is detailed in section D 2 – L of the SAM Management Memorandum entitled, "Safeguarding Against and Responding to a Breach of Security Involving Personal Information."

H. Audit Trail Responsibilities

Both parties are responsible for auditing application processes and user activities involving the interconnection. Activities that will be recorded include event type, date and time of event, user identification, workstation identification, success or failure of access attempts, and security actions taken by system administrators.

I. Data Sharing Responsibilities

All primary and delegated secondary organization that share, exchange, or use personal, sensitive, or confidential data shall adhere to all CSD's policies and SAM guidelines. If data sharing is accomplished via interconnectivity of an application system, then data sharing must be certified to be secure by both parties.



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**ADDITIONAL PROVISIONS:**

1. **FEDERAL CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND RELATED MATTERS**

Contractor hereby certifies to the best of its knowledge that it or any of its officers:

- A. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency.
- B. Have not within a three-year period preceding this Agreement been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.
- C. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in paragraph 2 of this certification.
- D. Have not within a three-year period preceding this Agreement had one or more public (federal, state, or local) transactions terminated for cause or default.
- E. If any of the above conditions are true for the Contractor or any of its officers, Contractor shall describe such condition and include it as an attachment to this Exhibit D. Based on the description, CSD in its discretion may decline to execute this Agreement, or set further conditions of this Agreement. In the event any of the above conditions are true and not disclosed by Contractor, it shall be deemed a material breach of this Agreement, and CSD may terminate this Agreement for cause immediately pursuant to the termination provisions of State and federal law governing the CSBG program.

2. **PROCUREMENT**

- A. Contract Administration
  - 1) Contractors shall administer this Agreement in accordance with all federal and state rules and regulations and Recovery Act provisions governing CSBG pertaining to procurement, including Office of Management and Budget (OMB) Circulars and amendments thereto, consistent with the general OMB compliance requirement in Exhibit B to this Agreement.

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Contractors shall establish, maintain, and follow written procurement procedures consistent with the procurement standards in OMB Circulars A-102 and A-110 and all additional provisions in this Agreement, including but not limited to a code of conduct for the award and administration of contracts and a procedure that provides, to the maximum extent practical, open and free competition.

- 2) Contractor shall not permit any organizational conflicts of interest or noncompetitive practices that may restrict or eliminate competition or otherwise restrain trade. In order to ensure objective subcontractor performance and eliminate unfair competitive advantage, individuals, or firms that develop or draft specifications, requirements, statements of work, invitations for bids, and/or requests for proposals shall be excluded from competing for such procurements. Contractor shall award any subcontract to the bidder or offeror whose bid or offer is responsive to the solicitation and is most advantageous to Contractor when considering price, quality, and other factors. Contractor's solicitations shall clearly set forth all requirements that the bidder or offeror shall fulfill in order for the bid or offer to be evaluated by the recipient.
- 3) Contractor assures that all supplies, materials, equipment, or services purchased or leased with funds provided by this Agreement shall be used solely for the activities allowed under this Agreement, unless a fair market value for such use is charged to the benefiting program and credited to this Agreement.
- 4) In addition to adhering to all OMB requirements and the Contractor's established procedures for all procurement transactions of any amount, for each purchase, lease, or subcontract for any articles, supplies, equipment, or services obtained from vendors or subcontractors where the per-unit cost exceeds \$5,000, three competitive quotations shall be obtained or adequate justification documented and maintained as to the absence of bidding. In cases of a bona fide emergency where awarding a subcontract is necessary for the immediate preservation of public health, welfare, or safety, documentation of the emergency will be sufficient in lieu of the three-bid process.
- 5) To ensure that significant procurement transactions are conducted in an open and freely competitive manner, Contractor shall comply with the following requirement:
  - a. Contractor shall prepare and submit a Request for Purchase/Lease Pre-Approval (CSD 558) to CSD at least fifteen (15) calendar days

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prior to executing the subcontract for each of the following procurement transactions:

- i. Any articles, supplies, equipment or services having a per-unit cost in excess of \$5,000; or
  - ii. Any articles, supplies or equipment where the total contract amount exceeds \$100,000.
- 6) Noncompliance with any of the provisions in this Section 2. shall result in a disallowance of the costs of the procurement transaction.
- 7) Contractor assures that it shall exercise due care in the use, maintenance, protection, and preservation of state-owned property in Contractor's possession or any other property or equipment procured by Contractor with State funds. Such care shall include, but is not limited to, the following:
- a. Maintaining insurance coverage against loss or damage to such property or equipment.
  - b. Ensuring that the legal ownership of any motor vehicle or trailer is in the name of the Contractor.
- B. Limitation on Use of Funds

Contractor shall assure that funds received under this Agreement shall not be used for the purchase or improvement of land or for the purchase, construction, or permanent improvement of any building or other facility other than low-income weatherization or energy-related home repairs.

3. AFFIRMATIVE ACTION COMPLIANCE

- A. Each Contractor or subcontractor with 50 or more employees and an agreement of \$50,000 or more shall be required to develop a written Affirmative Action Compliance Program.
- B. The written program shall follow the guidelines set forth in Title 41 CFR Section 60-1.40, Sections 60-2.10 through 60-2.32, Sections 60-250.1 through 60-250.33, and Sections 60-741.4 through 60-741.32.
- C. Each Contractor or subcontractor with less than 50 employees shall comply with Section 202 of Part II of Executive Order 11246, as amended by Executive

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Order 11375. Contractor shall ensure that subcontractors falling within the scope of this provision shall comply in full with the requirements thereof.

4. NONDISCRIMINATION COMPLIANCE

- A. Contractor's signature affixed hereon shall constitute a certification that to the best of its ability and knowledge will, unless exempted, comply with the nondiscrimination program requirements set forth in this section.
- B. Contractor hereby certifies compliance with the following:
- 1) Federal Executive Order 11246, as amended by Executive Order 11375, relating to equal employment opportunity.
  - 2) Title VI and Title VII of the Civil Rights Act of 1964, as amended.
  - 3) Rehabilitation Act of 1973, as amended.
  - 4) Vietnam Era Veterans Readjustment Assistance Act of 1972, as amended.
  - 5) Title 41, Code of Federal Regulations (CFR), Chapter 60, Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor, as amended.
  - 6) Public Law 101-336, Americans with Disabilities Act of 1990, as amended.

5. SPECIFIC ASSURANCES

- A. Pro-Children Act of 1994
- 1) This Agreement incorporates by reference all provisions set forth in Public Law 103-227, Part C - Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994 (Act).
  - 2) Contractor further agrees that the above language will be included in any subcontracts that contain provisions for children's services and that all subcontractors shall certify compliance accordingly. For detailed explanation, see [www.csd.ca.gov](http://www.csd.ca.gov).
  - 3) This Agreement incorporates by reference all provisions set forth in the Child Support Services and Referrals (Section 678 (b) 1998 CSBG Reauthorization Act)." For detailed explanation, see [www.csd.ca.gov](http://www.csd.ca.gov).

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B. American-Made Equipment/Products

Contractor shall assure, pursuant to Public Law 103-333, Section 507, to the extent practicable, that all equipment and products purchased with funds made available under this Agreement shall be American made.

C. Federal and State Occupational Safety and Health Statutes

Contractor assures that it shall be in compliance with the provisions as set forth in Federal and State Occupational Safety and Health Statutes; the California Safe Drinking Water and Toxic Enforcement Act of 1986; Universal Waste Rule (Hazardous Waste Management System: Modification of the Hazardous Waste Recycling Regulatory Program); Final Rule; and Workers' Compensation laws.

D. Political Activities

- 1) Contractor shall refrain from all political activities if such activities involve the use of any funds that are the subject of this Agreement.
- 2) Contractor is prohibited from any activity that is designed to provide voters or prospective voters with transportation to the polls or to provide similar assistance in connection with an election if such activities involve the use of any funds that are subject to this Agreement.

E. Lobbying Activities

- 1) Contractor shall refrain from all lobbying activities if such activities involve the use of any funds that are the subject of this Agreement or any other fund, programs, projects, or activities that flow from this Agreement.
- 2) If Contractor engages in lobbying activities, Contractor shall complete, sign and date the CERTIFICATION REGARDING LOBBYING/DISCLOSURE OF LOBBYING ACTIVITIES, EXHIBIT G, as required by the U.S. Department of Health and Human Services under 45 CFR Part 93.

6. RIGHT TO MONITOR, AUDIT, AND INVESTIGATE

- A. Any duly authorized representative of the federal or state government, which includes but is not limited to the State Auditor, CSD Staff, and any entity selected by CSD to perform inspections, shall have the right to monitor and audit Contractor and all subcontractors providing services under this Agreement through on-site inspections, audits, and other applicable means the State determines necessary.

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- B. Contractor shall make available all reasonable information necessary to substantiate that expenditures under this agreement are allowable and allocable, including, but not limited to books, documents, papers, and records. Contractor shall agree to make such information available to the federal government, the state, or any of their duly authorized representatives including representatives of the entity selected by CSD to perform inspections, for examination, copying, or mechanical reproduction, on or off the premises of the appropriate entity upon a reasonable request therefor.
- C. Any duly authorized representative of the federal or state government shall have the right to undertake investigations in accordance with Public Law 97-35, as amended.
- D. All agreements entered into by Contractor with audit firms for purposes of conducting independent audits under this Agreement shall contain a clause permitting any duly authorized representative of the federal or state government access to the working papers of said audit firm(s).

7. RECORD-KEEPING

- A. All records maintained by Contractor shall meet the OMB requirements contained in the following Circulars: A-102, Subpart C, ("Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments") or A-110, Subpart C, Nonprofit Organizations, whichever is applicable.
- B. Contractor shall maintain all records pertaining to this Agreement for a minimum period of three years after submission of the final report. However, Contractor shall maintain all such records until resolution of all audit and monitoring findings are completed.
- C. Contractor assures that employee and applicant records shall be maintained in a confidential manner to assure compliance with the Information Practices Act of 1977, as amended, and the Federal Privacy Act of 1974, as amended.

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8. ADMINISTRATIVE HEARING FOR DENIAL OF CLIENT BENEFITS BY CONTRACTOR

- A. Contractor has read and agrees to strictly comply with Title 22 of the California Code of Regulations, Section 100751, as amended, which sets forth elements to be included in client benefit denial appeal procedures and shall advise individuals who have been denied assistance of their twenty (20) day right to appeal to the State for an administrative hearing pursuant to 42 USC 8624(b)(13), as amended.
- B. Within five (5) working days of receipt of an appeal from a client, CSD's Fair Hearings Officer shall schedule an administrative hearing to be conducted no later than thirty (30) calendar days from the receipt of the request.
- C. The client may withdraw request for appeal for administrative hearing at any time during the appeal process by rendering written or oral notice to the State. Where oral notice is given, such notice shall be confirmed in writing by the Parties.

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**DEFINITIONS**

All terms used in this Agreement shall be those as defined in applicable federal and state law (see 42 U.S.C. § 9902 and Govt. Code § 12730) and regulation (see 45 C.F.R. Part 96 and 22 C.C.R. § 100601), or as more specifically defined as:

Agreement: The complete contents of this contract entered into by and between the CSD and Contractor, including all rights, duties, and obligations whether expressed or implied required toward the legal performance of the terms hereof, and including all documents expressly incorporated by reference.

Amendment: A formal change to the Agreement of a material nature including but not limited to the term, scope of work, or name change of one of the Parties, or a change of the maximum amount of this Agreement.

American Reinvestment And Recovery Act of 2009: The act signed February 17, 2009 by President Obama to create jobs, stimulate the economy, and make significant improvements to the nation's infrastructure.

Authorized Agent: The duly authorized representative of the Board of Directors of Contractor, and the duly elected or appointed, qualified, and acting officer of the State. In the case of Contractor, the State shall be in receipt of a board resolution affirming the agent's representative capacity to bind Contractor to the terms of this Agreement.

Board of Directors: For the purposes of a private nonprofit Community Action Agency, Board of Directors refers to the tripartite board as mandated by 42 U.S.C. § 9910 and Government Code § 12751. For the purposes of a publicly governed Community Action Agency, Board of Directors refers to the tripartite advisory/administering board that is mandated by 42 U.S.C. § 9910 and California Government Code § 12752.1 and established by the political subdivision or local government.

Community Action Agency: A public, or private nonprofit, agency that fulfills all requirements of Government Code § 12750.

Contractor: The entity (partnership, corporation, association, agency, or individual) designated on the face sheet (STD 213) of this Agreement.



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- CSD: The State of California Department of Community Services and Development.
- Final Allocation: The actual amount of funds available to Contractor under this Agreement, as calculated pursuant to Government Code § 12759 after CSD receives the notice of grant award for the full allocation based on the appropriation by Congress for the related federal fiscal year, and as publicly announced by CSD's Director or designee, subsequent to the execution of this Agreement.
- Maximum Amount: The dollar amount reflected on line 3 of the face sheet (STD 213) of this Agreement.
- Modification: An immaterial change to this Agreement that does not require an Amendment.
- Parties: CSD on behalf of the State of California, and the Contractor.
- Program: The Community Services Block Grant (CSBG) Program, 42 USC §§ 9901 et seq., as amended.
- State: The State of California Department of Community Services and Development.
- Subcontractor: An entity (partnership, tribe, corporation, association, agency, or individual) that enters into a separate contract or agreement with Contractor to fulfill direct program or administrative tasks in support of this Agreement.
- Subcontract: A separate contract or agreement entered into by and between Contractor and Subcontractor to fulfill direct program or administrative tasks in support of this Agreement.

EXHIBIT G



**CERTIFICATION REGARDING LOBBYING**

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
FAMILY SUPPORT ADMINISTRATION

PROGRAM: Community Services Block Grant/ARRA

PERIOD: July 1, 2009 through September 30, 2010

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award document for subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Chairman, El Dorado County  
Board of Supervisors

\_\_\_\_\_  
Title

\_\_\_\_\_  
Signature

El Dorado County

\_\_\_\_\_  
Agency/Organization

\_\_\_\_\_  
Date



**DISCLOSURE OF LOBBYING ACTIVITIES**  
CONTINUATION SHEET

Approved by OMB  
0348-0046

Reporting Entity: \_\_\_\_\_ Page \_\_\_\_\_ of \_\_\_\_\_

## INSTRUCTION FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and ZIP Code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in Item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full name of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLL-A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budgets. Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.