



**Application Cover Sheet**

**RFP PROCESS**

**PANDEMIC UNEMPLOYMENT ASSISTANCE AND UNEMPLOYMENT  
INSURANCE ANTI-FRAUD GRANT (PG) PROGRAM**

Submitted by:  
EL DORADO COUNTY DISTRICT ATTORNEY  
778 Pacific Street  
Placerville, California 95667  
(530) 621-6472



OFFICE OF THE  
**DISTRICT ATTORNEY**  
EL DORADO COUNTY, CALIFORNIA

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**VERN PIERSON, DISTRICT ATTORNEY**

June 28, 2023

Nancy Ward, Director  
California Governor's Office of Emergency Services  
3650 Schriever Ave  
Mather, CA 95655

RE: Pandemic Unemployment assistance and Unemployment Insurance  
Anti-Fraud Grant (PG) Program

Dear Ms. Ward,

Please accept this letter and the included application for consideration for the Pandemic Unemployment assistance and Unemployment Insurance Anti-Fraud Grant (PG) Program Request for Application. El Dorado County Policy (A-6) (included) authorizes department heads to execute all documents required to apply for grants. This same policy designates the sole authority for accepting grant awards and approving grant agreements to the Board of Supervisors. As a result, the CalOES Grant Subaward Face Sheets, the Certificate of Assurance of Compliance forms and the Subrecipient Grants Management Assessment required as part of the Pandemic Unemployment assistance and Unemployment Insurance Anti-Fraud Grant (PG) Program Request for Application, are included but not signed. It is the intent of this Agency to comply with all terms and conditions set forth in the certification if awarded. However, until funding has been allocated or awarded and accepted by the County Board of Supervisors, these documents cannot be signed.

It is the intent of the Agency to prepare a Board item presenting the award notification to the Board of Supervisors, requesting the award be accepted. Once approved, the documents can be executed.

**PLEASE REPLY TO:**

If you have any questions, please do not hesitate to contact me.

Sincerely,

Vern Pierson (Jul 12, 2023 10:54 PDT)

Vern R. Pierson  
District Attorney

\*\* 778 Pacific Street  
Placerville, CA. 95667  
(530) 621-6474  
Fax (530) 621-1280

1360 Johnson Blvd. Ste.105  
South Lake Tahoe, CA 96151  
(530) 573-3100  
Fax (530) 544-6413

WEB SITE:  
<https://www.eldoradoda.com/>



# COUNTY OF EL DORADO, CALIFORNIA

## BOARD OF SUPERVISORS POLICY

Subject:  GRANT APPLICATIONS	Policy Number:  A-6	Page Number:  1 of 2
	Date Adopted: 12/22/1987	Effective Date: 12/22/1987

### I. PURPOSE

The purpose of this policy is to ensure flexibility and efficiency in the grant application process while maintaining the Board of Supervisors discretion over the acceptance of grant funding and any related obligations.

### II. POLICY

The County receives financial assistance in the form of grants. For the purposes of this policy, grants shall be defined as cash or in-kind assistance awarded by a government or other organization (called the grantor), excluding unsolicited donations, for specified purposes to an eligible recipient (called the grantee). Grants are usually conditional upon certain qualifications as to the use, maintenance of specified standards, and/or a proportional contribution by the grantee or other grantor(s). The grant process may consist of several steps including a notice of intent to apply, application, acceptance of award, and execution of grant agreement and related documents.

A. The Board of Supervisors is the sole authority for:

1. Accepting grant awards and approving grant agreements in the amount of more than \$10,000 or in-kind awards valued at more than \$10,000;
2. Accepting grants for which any requirements for funds, matching or otherwise, or other resources are required for funding disbursement; and
3. Delegating authority to execute the grant agreement and other grant related documents after acceptance of a grant award and approval of the grant agreement.

B. County department heads are authorized to:

1. Execute all documents required to apply for grants.
  - i. Department heads are expected to exercise good judgment when determining to spend staff time applying for a grant.
  - ii. The grant should be directly related to the mission and vision of the department.
  - iii. Any county match requirements must be feasible and reasonable.
2. Accept grant awards that meet all of the following criteria:



# COUNTY OF EL DORADO, CALIFORNIA

## BOARD OF SUPERVISORS POLICY

Subject:  <b>GRANT APPLICATIONS</b>	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Policy Number:</td> <td style="width: 40%;">Page Number:</td> </tr> <tr> <td>A-6</td> <td style="text-align: right;">2 of 2</td> </tr> <tr> <td>Date Adopted:</td> <td>Effective Date:</td> </tr> <tr> <td>12/22/1987</td> <td style="text-align: right;">12/22/1987</td> </tr> </table>	Policy Number:	Page Number:	A-6	2 of 2	Date Adopted:	Effective Date:	12/22/1987	12/22/1987
Policy Number:	Page Number:								
A-6	2 of 2								
Date Adopted:	Effective Date:								
12/22/1987	12/22/1987								

- i. Are in a cash amount not to exceed \$10,000 or in-kind awards valued at not more than \$10,000; and
  - ii. Do not include any requirement for County funds; and
  - iii. Relate directly to the mission of the department and directives of the Board.
  - iv. No less than three business days prior to accepting the grant, the department head will provide the Board and the Chief Administrative Office (CAO) a written report demonstrating that the grant meets criteria 2.i through 2.iii and notifying the Board of the intent to accept the grant. If no member of the Board or the CAO express concerns during this three-day period, the department head may accept the grant. If any member of the Board or the CAO objects, the department head shall bring the decision to accept the grant before a regularly scheduled meeting of the Board.
3. Department heads are required to communicate, document, and coordinate with any other county departments that may be involved with or affected by the grant program or project.
  4. Department heads are responsible for determining whether they are authorized to exercise the authority provided herein under the grantor’s guidelines for each grant. If all criteria are met, the Department Head has the delegated authority to accept the grant and sign the grant agreement.
  5. Department heads are encouraged to seek assistance and guidance from the Chief Administrative Office in fulfilling the responsibilities listed above.

**III. RESPONSIBLE DEPARTMENT**

Chief Administrative Office

**IV. DATES ISSUED AND REVISED; SUNSET DATES:**

<b>Issue Date:</b>	12/22/1987	<b>Sunset Review Date:</b>	n/a
<b>Revision Date:</b>	08/15/2017	<b>Sunset Review Date:</b>	08/15/2021
<b>Revision Date:</b>	01/25/2022	<b>Sunset Review Date:</b>	01/25/2026

Cal OES #		FIPS #		VS#		Subaward #	
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## CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES GRANT SUBAWARD FACE SHEET

The California Governor's Office of Emergency Services (Cal OES) hereby makes a Grant Subaward of funds to the following:

**1. Subrecipient:** County of El Dorado **1a. UEI#:** DW8SM9A6YWR3

**2. Implementing Agency:** District Attorney's Office **2a. UEI#:** DW8SM9A6YWR3

**3. Implementing Agency Address:** 778 Pacific Street Placerville 95667-6481  
(Street) (City) (Zip+4)

**4. Location of Project:** Placerville El Dorado 95667-6481  
(City) (County) (Zip+4)

**5. Disaster/Program Title:** PG - Pandemic Unemployment Assistance and Unemployment Insurance Anti-Fraud Grant Program

**6. Performance/Budget Period:** 7/1/2023 **to** 3/31/2025  
(Start Date) (End Date)

**7. Indirect Cost Rate:** 10% de minimis **Federally Approved ICR (if applicable):** \_\_\_\_\_ %

Item Number	Grant Year	Fund Source	A. State	B. Federal	C. Total	D. Cash Match	E. In-Kind Match	F. Total Match	G. Total Cost
8.	2022	PAND	\$247,677						\$247,677
9.	Select	Select							
10.	Select	Select							
11.	Select	Select							
12.	Select	Select							
<b>Total</b>	<b>Project</b>	<b>Cost</b>	\$247,677		\$247,677				\$247,677

**13. Certification** - This Grant Subaward consists of this title page, the application for the grant, which is attached and made a part hereof, and the Assurances/Certifications. I hereby certify I am vested with the authority to enter into this Grant Subaward, and have the approval of the City/County Financial Officer, City Manager, County Administrator, Governing Board Chair, or other Approving Body. The Subrecipient certifies that all funds received pursuant to this agreement will be spent exclusively on the purposes specified in the Grant Subaward. The Subrecipient accepts this Grant Subaward and agrees to administer the grant project in accordance with the Grant Subaward as well as all applicable state and federal laws, audit requirements, federal program guidelines, and Cal OES policy and program guidance. The Subrecipient further agrees that the allocation of funds may be contingent on the enactment of the State Budget.

**14. CA Public Records Act** - Grant applications are subject to the California Public Records Act, Government Code section 6250 et seq. Do not put any personally identifiable information or private information on this application. If you believe that any of the information you are putting on this application is exempt from the Public Records Act, please attach a statement that indicates what portions of the application and the basis for the exemption. Your statement that the information is not subject to the Public Records Act will not guarantee that the information will not be disclosed.

**15. Official Authorized to Sign for Subrecipient:**

Name: Vern R. Pierson Title: District Attorney

Payment Mailing Address: 778 Pacific Street City: Placerville Zip Code+4: 95667-6481

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**16. Federal Employer ID Number:** 946000511

**(FOR Cal OES USE ONLY)**

I hereby certify upon my personal knowledge that budgeted funds are available for the period and purposes of this expenditure stated above.

\_\_\_\_\_  
(Cal OES Fiscal Officer) (Date) (Cal OES Director or Designee) (Date)



## Grant Subaward Contact Information

Grant Subaward #: PG22 01 0090

Subrecipient: El Dorado County

1. **Grant Subaward Director:**

Name: Vern R. Pierson Title: District Attorney

Telephone #: 530-621-6474 Email Address: vern.pierson@edcda.us

Address/City/ Zip Code (9-digit): 778 Pacific Street, Placerville, CA 95667-6481

2. **Financial Officer:**

Name: Kerri Williams-Horn Title: Agency Chief Fiscal Officer

Telephone #: 530-621-5309 Email Address: kerri.williams-horn@edcgov.us

Address/City/ Zip Code (9-digit): 330 Fair Lane, Placerville, CA 95667-6481

3. **Programmatic Point of Contact:**

Name: Richard Pesce Title: Assistant Chief Investigator

Telephone #: 530-621-6474 Email Address: richard.pesce@edcda.us

Address/City/ Zip Code (9-digit): 778 Pacific Street, Placerville, CA 95667-6481

4. **Financial Point of Contact:**

Name: Justene Cline Title: Administrative Analyst

Telephone #: 530-621-5640 Email Address: justene.cline@edcgov.us

Address/City/ Zip Code (9-digit): 330 Fair Lane, Placerville, CA 95667-6481

5. **Executive Director** of a Non-Governmental Organization or the **Chief Executive Officer** (i.e., chief of police, superintendent of schools) of the implementing agency:

Name: Vern R. Pierson Title: District Attorney

Telephone #: 530-621-6474 Email Address: vern.pierson@edcda.us

Address/City/ Zip Code (9-digit): 778 Pacific Street, Placerville, CA 95667-6481

6. **Official Designee**, as stated in Section 15 of the Grant Subaward Face Sheet:

Name: Vern R. Pierson Title: District Attorney

Telephone #: 530-621-6474 Email Address: vern.pierson@edcda.us

Address/City/ Zip Code (9-digit): 778 Pacific Street, Placerville, CA 95667-6481

7. **Chair** of the **Governing Body** of the Subrecipient:

Name: Wendy Thomas Title: Chair, District Three Supervisor

Telephone #: 530-621-5390 Email Address: bosthree@edcgov.us

Address/City/ Zip Code (9-digit): 330 Fair Lane, Placerville, CA 95667-6481



## Grant Subaward Signature Authorization

Grant Subaward #: PG22 01 0090


Subrecipient: El Dorado County

Implementing Agency: District Attorney's Office

The **Grant Subaward Director** and **Financial Officer** are **REQUIRED** to sign this form.

### Grant Subaward Director:

Printed Name: Vern R. Pierson

Signature:   
Vern Pierson (Jul 12, 2023 10:54 PDT)

Date: \_\_\_\_\_


### Financial Officer:

Printed Name: Kerri Williams-Horn

Signature: 

Date: \_\_\_\_\_

The following persons are authorized to sign for the **Grant Subaward Director**:

Signature:   
Joe Alexander (Jul 12, 2023 10:44 PDT)

Printed Name: Joe Alexander

Signature: 

Printed Name: James Clinchard

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

The following persons are authorized to sign for the **Financial Officer**:

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_



## Grant Subaward Certification of Assurance of Compliance

Subrecipient: Count of El Dorado

	Cal OES Program Name	Grant Subaward #:	Grant Subaward Performance Period
1	PANDEMIC UNEMPLOYMENT ASSISTANCE AND UNEMPLOYMENT INSURANCE ANTI-FRAUD GRANT (PG) PROGRAM	PG22 01 0090	7/1/2023-3/31/2025
2			
3			
4			
5			
6			

I, Vern R. Pierson (Official Designee; same person as Section 15 of the Grant Subaward Face Sheet) hereby certify that the above Subrecipient is responsible for reviewing the Subrecipient Handbook (SRH) and adhering to all of the Grant Subaward requirements as directed by Cal OES including, but not limited to, the following areas:

**I. Proof of Authority – SRH 1.055**

The Subrecipient certifies they have written authority by the governing board (e.g., County Board of Supervisors, City Council, or Governing Board) granting authority for the Subrecipient/Official Designee (see Section 3.030) to enter into a specific Grant Subaward (indicated by the Cal OES Program name and initial Grant Subaward performance period) and applicable Grant Subaward Amendments with Cal OES. The authorization includes naming of an Official Designee (e.g., Executive Director, District Attorney, Police Chief) for the agency/organization who is granted permission to sign Grant Subaward documents on behalf of the Subrecipient. Written proof of authority includes one of the following: signed Board Resolution or approved Board Meeting minutes.

**II. Civil Rights Compliance – SRH Section 2.020**

The Subrecipient acknowledges awareness of, and the responsibility to comply with all state and federal civil rights laws. The Subrecipient certifies it will not discriminate in the delivery of services or benefits based on any protected class and will comply with all requirements of this section of the SRH.

**III. Equal Employment Opportunity – SRH Section 2.025**

The Subrecipient certifies it will promote Equal Employment Opportunity by prohibiting discrimination or harassment in employment because of any status protected by state or federal law and will comply with all requirements of this section of the SRH.





**IV. Drug-Free Workplace Act of 1990 – SRH Section 2.030**

The Subrecipient certifies it will comply with the Drug-Free Workplace Act of 1990 and all other requirements of this section of the SRH.

**V. California Environmental Quality Act (CEQA) – SRH Section 2.035**

The Subrecipient certifies that, if the activities of the Grant Subaward meet the definition of a “project” pursuant to the CEQA, Section 20165, it will comply with all requirements of CEQA and this section of the SRH.

**VI. Lobbying – SRH Sections 2.040 and 4.105**

The Subrecipient certifies it will not use Grant Subaward funds, property, or funded positions for any lobbying activities and will comply with all requirements of this section of the SRH.

**All appropriate documentation must be maintained on file by the Subrecipient and available for Cal OES upon request. Failure to comply with these requirements may result in suspension of payments under the Grant Subaward(s), termination of the Grant Subaward(s), and/or ineligibility for future Grant Subawards if Cal OES determines that any of the following has occurred: (1) the Subrecipient has made false certification, or (2) the Subrecipient violated the certification by failing to carry out the requirements as noted above.**

<b>CERTIFICATION</b>	
I, the official named below, am the same individual authorized to sign the Grant Subaward [Section 15 on Grant Subaward Face Sheet], and hereby affirm that I am duly authorized legally to bind the Subrecipient to the above-described certification. I am fully aware that this certification, executed on the date, is made under penalty of perjury under the laws of the State of California.	
Official Designee's Signature:	_____
Official Designee's Typed Name:	Vern R. Pierson _____
Official Designee's Title:	District Attorney _____
Date Executed:	_____
<b>AUTHORIZED BY:</b>	
I grant authority for the Subrecipient/Official Designee to enter into the specific Grant Subaward(s) (indicated by the Cal OES Program name and initial Grant Subaward performance period identified above) and applicable Grant Subaward Amendments with Cal OES.	
<input type="checkbox"/> City Financial Officer	<input type="checkbox"/> County Financial Officer
<input type="checkbox"/> City Manager	<input type="checkbox"/> County Manager
<input checked="" type="checkbox"/> Governing Board Chair	
Signature:	_____
Typed Name:	Wendy Thomas _____
Title:	Chair, District Three Supervisor _____
Date Executed:	_____



**Grant Subaward Budget Pages**  
Single Fund Source

Subrecipient: County of El Dorado	Grant Subaward #: PG22 01 0090
<b>A. Personnel Costs</b> - Line-item description and calculation	<b>Total Amount Allocated</b>
<p><b>District Attorney Investigator - (Extra Help) 0.46 FTE/ (max 960 hours annually)</b></p> <p><b>Salary</b> - \$54.16 x 1680 hours = \$90,989</p> <p><b>Benefits:</b></p> <p>Medicare (1.45% of charged salary) \$1,319</p> <p><i>Duties include, but are not limited to, reviewing all referrals from EDD, assessing initial case information, briefing the assigned attorney, and investigating by monitoring jail calls and mail correspondence.</i></p> <p><i>Benefits for an extra-help employee are limited to medicare costs.</i></p> <p><b>Deputy District Attorney - 0.20 FTE</b></p> <p><b>Salary</b> - \$10,439.25 x 21 Mo x .20 FTE = \$43,845</p> <p><b>Benefits:</b></p> <p>Retirement/PERS (28.22% of charged salary) \$12,373</p> <p>Medicare (1.45% of charged salary) \$636</p> <p>Health Insurance (22.65% of charged salary) \$9,931</p> <p>Unemployment Ins (0.12% of charged salary) \$53</p> <p>Disability (0.25% of charged salary) \$110</p> <p>Workers Compensation (1.40% of charged salary amount) \$614</p> <p><i>Duties include, but are not limited to, providing legal research, case advice, search warrant review, trial preparation, and prosecution of violations.</i></p> <p><i>Benefits include: health, dental, vision, retirement, medicare, unemployment, and disability.</i></p>	<p>\$90,989</p> <p>\$1,319</p> <p>\$43,845</p> <p>\$12,373</p> <p>\$636</p> <p>\$9,931</p> <p>\$53</p> <p>\$110</p> <p>\$614</p>
<b>PERSONNEL COSTS CATEGORY TOTAL</b>	<b>\$159,870</b>



**Grant Subaward Budget Pages**  
Single Fund Source

Subrecipient: County of El Dorado	Grant Subaward #: PG22 01 0090
B. Operating Costs - Line-item description and calculation	Total Amount Allocated
<p><b>Training/Travel-Related Expenses:</b></p> <p>As-Needed Fraud Related Trainings for Investigator and Attorney            3 Trainings for Investigator &amp; 1 Training for Attorney            Registration Est - \$250/ea x 4 = \$1,000            Hotel &amp; Fees Est - \$90/night x 4 nights x 4 trips = \$1,440            Meals/Per Diem Est - \$46/day x 5 days x 4 trips = \$920            Airfare (Roundtrip) Est - \$300/ea x 4 = \$1,200            Ground Transportation/Parking - \$100/ea x 4 trips = \$400            Mileage(Current Federal Rate \$0.655) 100 miles x \$0.655 x 4 trips= \$262</p>	<p>\$1,000 \$1,440 \$920 \$1,200 \$400 \$262</p>
<p><b>Miscellaneous Office Supplies &amp; Law Enforcement Equipment Expense</b>            Specific items to be determined upon the hiring of Investigator.</p>	<p>\$5,524</p>
<p><b>Computer Equipment and Monitors</b>            (1) Laptop w/Briefcase for Investigator = \$1,500            (2) Monitors = \$550</p>	<p>\$1,500 \$550</p>
<p><b>Financial Forensic Specialist</b>            Contracted forensic specialist services to trace money, identify suspects, and provide expert reports that will aid in prosecution.            Estimated hourly rate = \$81/hr.            Estimated number of hours = 556 x \$81/hr. = \$44,955</p>	<p>\$45,036</p>
<p><b>Transcription Services (County-wide agreement)</b>            As-needed transcription services related to casework.            County maintains an active agreement with a transcription services provider.            Based on turnaround timeframe requested, rates vary from \$3.00 to \$5.00 per page.            Estimated total number of pages = 5,000 x average service rate of \$4.00/page = \$20,000</p>	<p>\$20,000</p>
<p><b>Leo Technologies Fee</b>            Monthly fee for the use of Leo Technologies, a service that allows for the transcription of jail calls, using key words or phrases to identify EDD Fraud.            Estimated monthly fee for service = \$475/mo. X 21 months = \$9,975</p>	<p>\$9,975</p>
<b>OPERATING COSTS CATEGORY TOTAL</b>	<b>\$87,807</b>





## Grant Subaward Budget Narrative

Grant Subaward #: PG22 01 0090

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Subrecipient: County of El Dorado

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The El Dorado County District Attorney's Office (EDCDAO) 2023 Pandemic Unemployment Assistance and Unemployment Insurance Anti-Fraud Grant (PG) Program budget details the Agency's plans to support the objectives and activities of the project through the investigation and prosecution of Unemployment Insurance Fraud violations, which spiked during the COVID-19 pandemic emergencies.

The California Governor's Office of Emergency Services (CalOES) has allocated \$247,677 in 2022 PAND funds.

### **Personal Services – Employee Salaries/Benefits:**

Employee salary and benefits represent 65% of the 2023 PG Program budget. The program will fund one District Attorney Investigator (Extra-Help) and 20% of a Deputy District Attorney. The Extra-Help Investigator will be 100% assigned to the program, with a maximum of 960 hours of annual services.

The project-funded duties provided by the investigator will include reviewing all referrals from EDD, assessing initial case information, briefing the assigned attorney, and investigating by monitoring jail calls and mail correspondence. The investigator will also attend Fraud-related training opportunities and provide in-house training to other investigators regarding the elements of EDD fraud and to recognize EDD fraud violations.



## Grant Subaward Budget Narrative

Grant Subaward #: PG22 01 0090

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Subrecipient: County of El Dorado

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The project-funded duties of the assigned attorney will include conducting legal research, providing case advice, reviewing search warrants, preparing for trial, and prosecuting violations of California Unemployment Insurance Penal Code §§ 2101 and 2114; Cal. Penal Code §§ 487, 496, and 530.5; and any fraud offenses relating to COVID-19 pandemic-related insurance programs: administered by EDD, or the U.S. Department of Labor. See Cal. Penal Code § 186.2(a)(34)(B).

Both the investigator and attorney will be housed at the EDCDAO Placerville location and maintain active communication regarding program objectives. All positions maintain functional, detailed time sheets that are submitted on a bi-weekly basis. There are no mid-year salary range adjustments required and no shared costs. Salaries have been calculated over a twelve-month period.

### **Operating Expenses:**

The budgeted operating expenses include training/travel related costs, miscellaneous office supplies and law enforcement equipment expenses, the purchase of one computer workstation setup, a contracted agreement with a Financial Forensic Specialist, transcription services, and the annual maintenance fee for Leo Technologies software.



## Grant Subaward Budget Narrative

Grant Subaward #: PG22 01 0090

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Subrecipient: County of El Dorado

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Due to the financial component of the pandemic-related insurance program, expert services will be needed via a Financial Forensic Specialist. It is anticipated the specialist will trace EDD funds, identify suspects, and provide reports that will aid in prosecution. Additionally, specialized software know as Leo Technologies will allow the District Attorney's Office to utilize artificial intelligence when listening to significant hours of jail calls, searching for key words related to EDD fraud.

In the efforts of minimizing costs towards the program, administrative and overhead expenses are not being billed. These costs include indirect costs such as postage, data processing, utilities, program management support, and administrative support from the Central Fiscal Division, which includes grant financial and administrative management.

The are no subcontracts or unusual expenses at this time.

### **Equipment:**

There are not funds budgeted for equipment at this time.



## Grant Subaward Programmatic Narrative

Grant Subaward #: PG22 01 0090

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Subrecipient: County of El Dorado

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A. Problem Statement – not required.

B. Plan

The El Dorado County District Attorney's Office (EDCDAO) is dedicated to aggressively investigating and prosecuting unemployment assistance and unemployment insurance fraud. Under the Pandemic Unemployment Assistance and Unemployment Insurance Anti-Fraud Grant (PG) Program, EDCDAO plans to hire one District Attorney Investigator (Extra-Help) with specialized fraud training and investigative experience. This Investigator will field all referrals from Employment Development Department (EDD) and generate their own cases based upon EDD data and trend analysis. This Investigator will assess the initial case information, brief the assigned attorney, and investigate by monitoring jail calls and mail correspondence, following leads, coordinating and liaising with EDD, gather evidence of fraud, interviewing suspects and witnesses, write reports, make arrests, and assist with prosecution of violations of California Unemployment Insurance Code §§ 2101 and 2114; California Penal Code §§ 487, 496, 530.5, and any fraud offenses relating to COVID-19 pandemic-related insurance programs administered by EDD or the U.S. Department of Labor (see Cal. Penal Code § 186.2(a)(34)(B)). The investigator will be assigned fulltime (up to 960 hours per





## Grant Subaward Programmatic Narrative

Grant Subaward #: PG22 01 0090

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Subrecipient: County of El Dorado

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fiscal year) toward the program and will be supplemented with other Investigators on an as needed basis based upon workload and case complexity.

We have found people who commit EDD fraud are also likely to be involved in other criminal activity. Therefore, our EDD Investigator will provide in-house training on the elements of EDD fraud and how to recognize EDD fraud. Our dedicated EDD investigator will also work with our Special Prosecutions Unit fraud prosecutors and investigators to assist in fully investigating and prosecuting all fraud revealed by our EDD fraud investigations. Within this collaboration, we will be able to have our investigators and prosecutors on the lookout for suspected fraud of any kind, and be able to fully hold defendants accountable for all the crimes they may commit.

The EDD Fraud Investigator will be supervised by a Supervising Investigator, who will review case recommendations, monitor progress, and ensure the program is successful.

The El Dorado County District Attorney Office anticipates billing for 20% of one Deputy District Attorney who will provide legal research, case advice, search warrant review, trial prep, and prosecution of violations of Cal. Unempl. Ins. Code §§ 2101 and 2114; Cal. Penal Code §§ 487, 496, 530.5, and any fraud offenses



## Grant Subaward Programmatic Narrative

Grant Subaward #: PG22 01 0090

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Subrecipient: County of El Dorado

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relating to COVID-19 pandemic-related insurance programs: administered by EDD, or the U.S. Department of Labor. See Cal. Penal Code § 186.2(a)(34)(B).

The El Dorado County District Attorney Office understands that violations of Cal. Unempl. Ins. Code §§ 2101 and 2114; Cal. Penal Code §§ 487, 496, 530.5, and/or any fraud offenses relating to COVID-19 pandemic-related insurance programs, administered by EDD can have a significant and complex financial component where the use of a forensic auditor may be required. This forensic auditor will assist both in the investigation and potential prosecution of EDD Fraud by tracing money, identifying suspects, and providing reports that will aid in more effective investigations and prosecutions.

One trend the County has observed in previous years is a significant number of offenders being incarcerated at the time they applied for and received EDD benefits. This results in spending significant time listening to jail calls. Using *Leo Technologies* (a process/product that allows more efficient and effective way to monitor correctional facility communications) staff are able to utilize Artificial Intelligence which significantly reduced the man hours manually listening to jail calls, allowing for voice identification, Artificial Intelligence search for trending and relevant keywords and phrases related to EDD fraud. We are budgeting for the monthly cost for *LEO Technologies* to be used as an aid to



## Grant Subaward Programmatic Narrative

Grant Subaward #: PG22 01 0090

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Subrecipient: County of El Dorado

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investigate violations of Cal. Unempl. Ins. Code §§ 2101 and 2114; Cal. Penal Code §§ 487, 496, 530.5, and any fraud offenses relating to COVID-19 pandemic-related insurance programs administered by EDD.

Additionally, our EDD investigator, along with members of the Special Prosecutions Unit, will continue to identify and attend any fraud-related trainings provided by CDAIA (California District Attorney Investigators' Association), CDAA (California District Attorneys Association), Department of Insurance (DOI), the insurance industry, and the Anti-Fraud Alliance in order to facilitate more effective investigations and prosecutions of our fraud cases.



## Grant Subaward Service Area Information

Grant Subaward #: PG22 01 0090

Subrecipient: El Dorado County

1. County or Counties Served:  
El Dorado County

County where principal office is located: El Dorado County

2. U.S. Congressional District(s) Served:  
5th District  
Represented by Congressman Tom McClintock

U.S. Congressional District where principal office is located: 5th District

3. State Assembly District(s) Served:  
State Assembly Districts are split between the 6th State Assembly District and the 5th State Assembly District  
5th State Assembly District represented by Joe Patterson  
6th State Assembly District represented by Kevin Kiley

State Assembly District where principal office is located: 5th and 6th State Assembly Districts

4. State Senate District(s) Served:  
1st State Senate District  
Represented by Brian Dahle

State Senate District where principal office is located: 1st State Senate District

5. Population of Service Area: 193,221 (2021)

**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES  
SUBRECIPIENT GRANTS MANAGEMENT ASSESSMENT**

<b>Subrecipient:</b> El Dorado County	<b>UEI #</b> DW8SM9A6YWR3	<b>FIPS #:</b>
<b>Grant Disaster/Program Title:</b> PANDEMIC UNEMPLOYMENT ASSISTANCE AND UNEMPLOYMENT INSURANCE ANTI-FRAUD GRANT (PG) PROGRAM		
<b>Performance Period:</b> 07/01/23	<b>to</b> 03/31/25	<b>Subaward Amount Requested:</b> \$ 247,677
<b>Type of Non-Federal Entity</b> (Check Applicable Box)	<input type="checkbox"/> State Govt <input checked="" type="checkbox"/> Local Govt <input type="checkbox"/> JPA <input type="checkbox"/> Non-Profit <input type="checkbox"/> Tribe	

Per Title 2 CFR § 200.332, Cal OES is required to evaluate the risk of noncompliance with federal statutes, regulations and grant terms and conditions posed by each subrecipient of pass-through funding. This assessment is made in order to determine and provide an appropriate level of technical assistance, training, and grant oversight to subrecipients for the award referenced above.

The following are questions related to your organization's experience in the management of federal grant awards. This questionnaire must be completed and returned with your grant application materials.

For purposes of completing this questionnaire, *grant manager* is the individual who has primary responsibility for day-to-day administration of the grant, *bookkeeper/accounting staff* means the individual who has responsibility for reviewing and determining expenditures to be charged to the grant award, and *organization* refers to the subrecipient applying for the award, and/or the governmental implementing agency, as applicable.

Assessment Factors	Response
1. How many years of experience does your current grant manager have managing grants?	>5 years
2. How many years of experience does your current bookkeeper/accounting staff have managing grants?	>5 years
3. How many grants does your organization currently receive?	>10 grant
4. What is the approximate total dollar amount of all grants your organization receives?	\$ 2,731,000
5. Are individual staff members assigned to work on multiple grants?	Yes
6. Do you use timesheets to track the time staff spend working on specific activities/projects?	Yes
7. How often does your organization have a financial audit?	Annually
8. Has your organization received any audit findings in the last three years?	Yes
9. Do you have a written plan to charge costs to grants?	Yes
10. Do you have written procurement policies?	Yes
11. Do you get multiple quotes or bids when buying items or services?	Sometimes
12. How many years do you maintain receipts, deposits, cancelled checks, invoices?	>5 years
13. Do you have procedures to monitor grant funds passed through to other entities?	N/A

**Certification:** This is to certify that, to the best of our knowledge and belief, the data furnished above is accurate, complete and current.

<b>Signature: (Authorized Agent)</b>	<b>Date:</b>
<b>Print Name and Title:</b> Vern R. Pierson, District Attorney	<b>Phone Number:</b> 530-621-6474
<i>Cal OES Staff Only: SUBAWARD #</i>	