

EL DORADO COUNTY
DEPARTMENT OF MENTAL HEALTH
ADMINISTRATIVE OFFICE



John Bachman, PhD, Interim Director
Barry Wasserman, LCSW, Acting Deputy Director
344 Placerville Drive, Suite 20
Placerville, CA 95667
Phone: (530) 621-6200
Fax: (530) 622-3278

October 30, 2006

Board of Supervisors
330 Fair Lane
Placerville, CA 95667

Subject: Retroactive Agreement with California Department of Mental Health for SAMHSA Grant

RECOMMENDATIONS: The Mental Health Department is recommending the Board retroactively approve and authorize the Interim Director of the Mental Health Department to sign the SAMHSA Grant application with the California Department of Mental Health for the term of July 1, 2006 through June 30, 2007.

REASONS FOR RECOMMENDATIONS: El Dorado County Mental Health has utilized Substance Abuse and Mental Health Services Administration (SAMHSA) funding since 1997 in a collaborative manner with other public and private organizations to address the needs of children and adults diagnosed as either Severely Emotionally Disturbed or Seriously Mentally Ill. Many of these individuals have a concurrent identified substance abuse diagnosis.

The SAMHSA Grant application packet was not received from the State until July 2006, which is after the effective date of the grant. The Department's fiscal manager who prepares the annual application was not able to complete the application until mid-September due to other higher priorities, such as completing the fiscal year-end close, completing the annual federal financial participation report and working with staff to assure that other needed contracts were put into place. Once time allowed, the application was prepared and routed through County Counsel and Risk Management.

County Counsel and Risk Management have approved this Agreement and a copy is on file in the Board Clerk's Office.

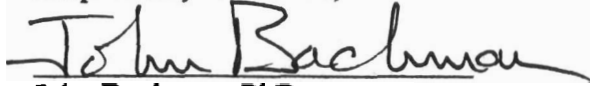
The County officer or employee with responsibility for administering this Agreement is Thomas Michaelson, Department Analyst, Department of Mental Health.

FISCAL IMPACT: The total amount of this revenue generating agreement is \$134,705.

ACTION TO BE TAKEN FOLLOWING APPROVAL:

Board Clerk's Office will forward executed Agreement to Mental Health Department for further processing.

Respectfully submitted,

A handwritten signature in black ink that reads "John Bachman". The signature is written in a cursive style with a horizontal line underneath the name.

John Bachman, PhD
Interim Director

Contract #: _____

CONTRACT ROUTING SHEET

PROCESSING DEPARTMENT:

Department: Mental Health
Dept. Contact: Tom Michaelson
Phone #: 6203
Department Head
Signature: [Signature]

CONTRACTOR:

Name: CA Dept of Mental Health
Address: 1600 9th Street
Sacto, CA 95814
Phone: 916-654-2378

Special Release
EL DORADO COUNTY COUNSEL
2:06 SEP 21 PM 3:38

CONTRACTING DEPARTMENT:

Compliance with Human Resources requirements? Yes: _____ No: _____
Compliance verified by: _____

COUNTY COUNSEL: (Must approve all contracts and MOU's)

Approved: Disapproved: _____ Date: 9-22-06 By: [Signature]
Approved: _____ Disapproved: _____ Date: _____ By: _____

ASSIGNMENT	DATE	ATTORNEY	DEPT. INDEX NO.
	<u>9/22/2006</u>	<u>ED Kump</u>	<u>418100</u>
			<u>[Signature]</u>

RISK MANAGEMENT: (All contracts and MOU's except boilerplate grant funding agreements)

Approved: Disapproved: _____ Date: 9/26/06 By: [Signature]
Approved: _____ Disapproved: _____ Date: _____ By: _____

SEP 25 2006

OTHER APPROVAL (Specify department(s) participating or directly affected by this contract). Department(s): _____

Approved: _____ Disapproved: _____ Date: _____ By: _____
Approved: _____ Disapproved: _____ Date: _____ By: _____



C A L I F O R N I A D E P A R T M E N T O F
Mental Health

1600 9th Street, Sacramento, CA 95814
(916) 654-2378

July 5, 2006

SAMHSA LETTER NO.: 06-02

**TO: COUNTY MENTAL HEALTH DIRECTORS
COUNTY MENTAL HEALTH PROGRAM CHIEFS
COUNTY MENTAL HEALTH ADMINISTRATORS
COUNTY MENTAL HEALTH FISCAL OFFICERS
(SAMHSA Block Grant Counties Only)**

**SUBJECT: STATE FISCAL YEAR 2006-07 PLANNING ESTIMATE AND RENEWAL
APPLICATION FOR THE SUBSTANCE ABUSE AND MENTAL HEALTH
SERVICES ADMINISTRATION, CENTER FOR MENTAL HEALTH
SERVICES BLOCK GRANT FUNDS**

This letter transmits the State Fiscal Year (SFY) 2006-07 Planning Estimate Worksheet (Enclosure I) and the renewal application instructions for the Substance Abuse and Mental Health Services Administration (SAMHSA), Community Mental Health Services Block Grant (Enclosure II). The SAMHSA funds are pending approval of the SFY 2006-07 Budget Act.

The Department of Mental Health (DMH) allocates the Block Grant to counties to establish or expand community-based systems of care for providing mental health services for adults with serious mental illness (SMI) and children with serious emotional disturbances (SED). In order for the Department to allocate the Block Grant for these purposes, all counties receiving funds must abide by specific conditions of Title XIX Part B of the Public Health Service Act, as well as those conditions established by other federal and State laws, regulations, policies, and guidelines. Counties are required to submit an application prepared in accordance with the SAMHSA Planning Estimate and Renewal Application Instructions (Enclosure II). The application must address all programs funded with the Block Grant.

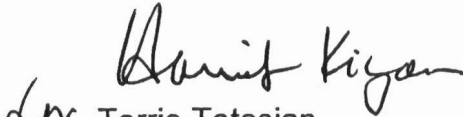
The fiscal requirements are outlined in the Accounting Guidelines (Enclosure III). Please note that quarterly reports are due 20 days after the end of each quarter, and the Salary Rate Cap has been increased to \$183,500 per full-time equivalent, not including benefits. The quarterly reports may be submitted by email to: Teri.Newby@dmh.ca.gov. However, the cost report must be mailed, as we need the originals with signatures.

Counties will be allowed to roll over unexpended funds from SFY 2005-06. Allocation Worksheets will be distributed after county rollover funds have been identified, upon Cost Report settlement.

Applications with the required documentation are due by August 25, 2006. The Planning Estimate Worksheet (Enclosure I) must be signed and returned with the application. Payments will not be made until all required documents are received and applications have been approved by DMH.

If you have any questions, or would like information on specific program issues, please contact Linda Brophy at (916) 654-7357. For general policy questions contact Ron Bettencourt at (916) 654-4432, or for fiscal issues contact Teri Newby at (916) 654-3254 or Kimberly Wimberly at (916) 653-7968.

Sincerely,

A handwritten signature in black ink, appearing to read "Terrie Tatosian". To the left of the signature is a small, stylized handwritten mark that looks like "for".

Terrie Tatosian
Deputy Director
Administrative Services

Enclosures

cc: Accounting
Audits
Adult & Older Adult Policy
Budgets
County Operations

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION (SAMHSA) BLOCK GRANT
SFY 2006-07 PLANNING ESTIMATE

COUNTY: EL DORADO

ISSUE DATE: June 8, 2006

PROPOSED ALLOCATION \$ 134,705

Base Allocation	\$96,628
Dual Diagnosis Set-Aside	\$38,077

The County Department of Mental Health requests continuation of the Substance Abuse and Mental Health Services Administration (SAMHSA), Community Mental Health Services Block Grant. These funds will be used in accordance with 42 U.S.C.A., Sections 300x through 300x-13, as it read on January 1, 1992, and amended by Public Law (PL) 106-310, and will be used as stated in the enclosed Assurance of Compliance with Federal Requirements on Use of Allotments, and the Certification Statements.

The amount is the proposed total expenditure level for SFY 2006-07. The amount identified above is subject to change based on the level of appropriation approved in the State Budget Act of 2006. In addition, this amount is subject to adjustments for a net reimbursable amount to the county. The adjustments include, but are not limited to, Gramm-Rudmann-Hollings (Federal Deficit Reduction Act) reductions, prior year audit recoveries, federal legislative mandates applicable to categorical funding, augmentations, etc. The net amount reimbursable will be reflected in future allocations and/or advance payments as the specific dollar amounts of adjustments become known for each county.

The county should use this amount for planning purposes and to build the county's SFY 2006-07 base budget for SAMHSA Block Grant funded mental health programs.

County Mental Health Director

Date

SAMHSA MENTAL HEALTH BLOCK GRANT RENEWAL APPLICATION INSTRUCTIONS FOR SFY 2006-07

The application must address all programs funded with the Block Grant. The seven Children's System of Care (CSOC) programs in Humboldt, Los Angeles, Merced, Monterey, Placer, San Luis Obispo, and Stanislaus Counties do not have to submit the narrative portion of the application. They must, however, provide Program Data Sheets and Detailed Provider Budgets. The CSOC program must also adhere to their approved proposal/application and may be subject to additional scope of work plan requirements.

The application must include the following:

1. Signed Assurance of Compliance (Attachment 1);
2. Signed Certifications (Attachments 2 & 3);
3. Completed Program Data Sheet (Attachment 4), one per program;
4. Federal Grant Detailed Provider Budget (MH 1779, Attachment 5), one per program;
5. Program Narrative is required for all programs funded with the base allocation, dual diagnosis set-aside and the two ISA programs funded in Los Angeles and Stanislaus counties. The dual diagnosis set-aside funds and the two ISA programs must be identified on a separate budgets and program descriptions. If you have used any of your base allocation and/or dual diagnosis set-aside funds to enhance or expand your CSOC program you must provide a narrative describing how those funds will be used. The narrative should be no longer than 10 pages and must include the following:
 - a) Statement of Purpose reflects the principles on which the program is being implemented. SAMHSA programs are required to implement the principles of integrated systems of care. Specify how the program works with other departments and agencies that serve the same population;
 - b) Program Description specifies what is actually being paid for by the block grant funds. The description must include services to be offered, type of setting, planned community outreach, as applicable. The budget line items must be explained within the program description;

- c) Target Population describes the population that your block grant funded programs are serving. Federal statutes require that the target population include adults and older adults with a serious mental illness (SMI) and/or children with a serious emotional disturbance (SED). The federal definition, as published in the Federal Register in 1992, has been enclosed (Attachment 6). There may be discrete programs serving specific sub-populations such as dually diagnosed, homeless, forensic, minorities, consumer operated, transitional age youth, etc. The application must include a brief description of the target population including any sub-population served with the block grant funds.

The dual diagnosis set-aside must continue to be used for individuals with a dual diagnosis and must be addressed in your description;

- d) Staffing Chart that specifies (1) position title; (2) total full time equivalency (FTEs) for the program and the total FTEs (or percentage) paid for by the block grant funds. **SAMHSA positions must match the submitted budgets;**
- e) Designated Peer Review Representative who will participate as a member of the State Department of Mental Health (DMH) Review Team, in a neighboring county. The County Mental Health Director is to designate one person to represent the county (not one person per program);
- f) Implementation Plan specifies dates by which each phase of the program will be implemented or states that the program is fully implemented; and
- g) Program Evaluation Plan for monitoring progress toward meeting the program's objectives, including frequency and type of internal review, data collection and analysis, identification of problems or barriers encountered for ongoing programs, and a plan for monitoring, correcting, and resolving identified problems. Although no longer mandatory, the application may also include any measurable outcome objectives that demonstrate progress toward the stated purpose or goal(s) of the program along with a statement reflecting the progress made toward achieving last year's objectives;

Applications with the required documentation and original signatures, along with one copy, are to be submitted to the DMH by August 25, 2006. Payments will not be made until all necessary documents are received and applications have been approved.

Submit the application and supporting documentation to:

Teri Newby/Kimberly Wimberly
Department of Mental Health
County Financial Program Support
1600 9th Street, Room 120
Sacramento, CA 95814

SAMHSA funds may not be used as county matching funds, nor are any matching funds required.

Counties that want to make revisions to their application after the initial submission must submit a revised program narrative and/or budget to the above address or by email. Implementation of any changes is contingent upon approval by the State DMH. However, counties are allowed to move 10% or less of the budgeted amount for a program between line items in that budget without prior approval.

If you have any questions, or would like information on specific program issues, please contact your Linda Brophy (916) 654-7357. For general policy questions contact Ron Bettencourt at (916) 654-4432 or for fiscal issues contact Teri Newby at (916) 654-3254 or Kimberly Wimberly at (916) 653-7968.

ACCOUNTING GUIDELINES

The Block Grant cost report will be completed and records maintained in a manner consistent with the following regulations, laws, guidelines and policies listed in the order of precedence:

- Public Health Service Act as amended by Public Law (P.L.) 102-321 and P.L. 106-310 (Children's Health Act of 2000), and the related Code of Federal Regulations.
- Office of Management Budget Circular (OMB) A-110, "Uniform Requirements for Grants to State and Local Governments."
- State Administrative Manual (SAM) applicable provisions for Federal Block Grant reporting.
- Applicable provisions of law, primarily provisions of Welfare and Institutions (W&I) Code and regulations related to reporting for Block Grant funded mental health services.
- Department of Mental Health policies with respect to Federal Block Grant costs allowability and applicability in policy letters and directives. Many of these policies provide additional guidance and clarification regarding allowable costs set forth by state or federal statute, regulations, or guidelines. However, the DMH policies may deal with costs not considered by these statutes or regulations or that may differ from guidelines such as Federal Medicare Provider Reimbursement Manual (HCFA 15-1) or OMB Circular A-87. To the extent that the policies of the Department of Mental Health differ from the provisions of guidelines such as HCFA 15-1, or OMB Circular A-87, the Department's policies shall prevail.
- Provisions of the HCFA 15-1, Federal Medicare Provider Reimbursement Manual. This manual is utilized to determine the allowability of costs where allowability is not governed by the specific and general Block Grant provisions, State law, regulations, or Department of Mental Health (policy) letters. Some of these provisions provide additional guidance and clarification regarding allowable Block Grant costs.
- Provisions of the Federal OMB Circular A-87 regarding allowable county overhead costs. The pro rata County Overhead Plan approved by the State Controller will be acceptable for budget and cost report purposes, but for audit purposes will be adjusted in accordance with State statute to actual cost reimbursement. Where there are other differences, such as self-insurance allowable cost, the State Department of Mental Health policies shall prevail.
- "Federal First Dollar" policy -- refer to DMH Letter No. 90-07.

ASSURANCE OF COMPLIANCE WITH TITLE XIX OF THE PUBLIC HEALTH
SERVICE ACT
REQUIREMENTS ON USE OF ALLOTMENTS
As amended by Public Law (P.L) 102-321 and P.L. 106-310

The county, as recipient of grant funds, acknowledges and agrees to the following:

Section 1911(b) PURPOSE OF GRANTS

- (b) Purpose of Grants - A funding agreement for a grant under subsection (a) is that, subject to Section 1916, the State involved will expend the grant only for the purpose of--
 - (b)(1) carrying out the plan submitted under Section 1912(a) by the state for the fiscal year involved;
 - (b)(2) evaluating programs and services carried out under the plan; and
 - (b)(3) planning, administration, and educational activities related to providing services under the plan.

Section 1912 STATE PLAN FOR COMPREHENSIVE COMMUNITY MENTAL
HEALTH SERVICES FOR CERTAIN INDIVIDUALS

- (a) In General - The Secretary may make a grant under Section 1911 only if--
 - (a)(1) the state involved submits to the Secretary a plan for providing comprehensive community mental health services to adults with a serious mental illness and to children with a serious emotional disturbance;
 - (a)(2) the plan meets the criteria specified in subsection (b); and
 - (a)(3) the plan is approved by the Secretary.

Section 1913(c) CRITERIA FOR MENTAL HEALTH CENTERS

- (c) Criteria for Mental Health Centers - The criteria referred to in subsection (b)(2) regarding community mental health centers are as follows--
 - (c)(1) With respect to mental health services, the centers provide services as follows;
 - (c)(1)(A) Services principally to individuals residing in a defined geographic area (hereafter in the subsection referred to as a "service area");

- (c)(1)(B) Outpatient services, including specialized outpatient services for children, the elderly, individuals with a serious mental illness, and residents of the service areas of the centers who have been discharged from inpatient treatment at a mental health facility;
- (c)(1)(C) 24-hour-a-day emergency care services;
- (c)(1)(D) Day treatment or other partial hospitalization services, or psychosocial rehabilitation services;
- (c)(1)(E) Screening for patients being considered for admission to state mental health facilities to determine the appropriateness of such admission;
- (c)(2) The mental health services of the centers are provided, within the limits of the capacities of the centers, to any individual residing or employed within the service area of the center regardless of ability to pay for such services;
- (c)(3) The mental health services of the centers are available and accessible promptly, as appropriate and in a manner which preserves human dignity and assures continuity and high quality care;

Section 1916 RESTRICTIONS ON USE OF PAYMENTS

- (a) In General - A funding agreement for a grant under Section 1911 is that the state involved will not expend the grant--
 - (a)(1) to provide inpatient services;
 - (a)(2) to make cash payments to intended recipients of health services;
 - (a)(3) to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment;
 - (a)(4) to satisfy any requirement for the expenditure of non-federal funds as a condition for the receipt of federal funds; or
 - (a)(5) to provide financial assistance to any entity other than a public or nonprofit private entity.
- (b) Limitation on Administrative Expenses - A funding agreement for a grant under Section 1911 is that the state involved will not expend more than five percent of the grant for administrative expenses with respect to the grant.

Counties have a ten percent administrative cap (see MH 1779)

Section 1946 PROHIBITIONS REGARDING RECEIPT OF FUNDS

(a) Establishment -

(a)(1) Certain false statements and representation - A person shall not knowingly and willfully make or cause to be made any false statement or representation of a material fact in connection with the furnishing of items or services for which payments may be made by a state from a grant made to the state under Section 1911 or 1921.

(a)(2) Concealing or failing to disclose certain events - A person with knowledge of the occurrence of any event affecting the initial or continued right of the person to receive any payments from a grant made to a state under Section 1911 or 1921 shall not conceal or fail to disclose any such event with an intent fraudulently to secure such payment either in a greater amount than is due or when no such amount is due.

(b) Criminal Penalty for Violation of Prohibition - Any person who violates any prohibition established in subsection 9a) shall for each violation be fined in accordance with Title 18, United States Code, or imprisoned for not more than five years, or both.

Section 1947 NONDISCRIMINATION

(a) In General -

(a)(1) Rule of construction regarding certain civil rights laws - For the purpose of applying the prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975, on the basis of handicap under Section 504 of the Rehabilitation Act of 1973, on the basis of sex under Title IX of the Education Amendments of 1972, or on the basis of race, color, or national origin under Title VI of the Civil Rights Act of 1964, programs and activities funded in whole or in part with funds made available under Section 1911 or 1921 shall be considered to be programs and activities receiving federal financial assistance.

(a)(2) Prohibition - No person shall on the grounds of sex (including, in the case of a woman, on the grounds that the woman is pregnant), or on the grounds of religion, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity funded in whole or in part with funds made available under Section 1911 or 1921.

(b) Enforcement -

(b)(1) Referrals to Attorney General after notice: Whenever the Secretary finds that a state, or an entity that has received a payment pursuant to Section 1911 or 1921, has failed to comply with a provision of law referred to in subsection (a)(1), with subsection (a)(2), or with and applicable regulation (including one prescribed to carry out subsection (a)(2), the Secretary shall notify the Chief Executive Officer of the state and shall request the Chief Executive Officer to secure compliance. If within a reasonable period of time, not to exceed 60 days, the Chief Executive Officer fails or refuses to secure compliance, the Secretary may--

(b)(1)(A) refer the matter to the Attorney General with a recommendation that an appropriate civil action be instituted;

(b)(1)(B) exercise the powers and functions provided by the Age Discrimination Act of 1975, Section 504 of the Rehabilitation Act of 1973, Title IX of the Education Amendment of 1972, or Title VI of the Civil Rights Act of 1964, as may be applicable; or

(b)(1)(C) take such other actions as may be authorized by law.

(b)(2) Authority of Attorney General - When a matter is referred to the Attorney General pursuant to paragraph (b)(1)(A), or whenever the Attorney General has reason to believe that a state or an entity is engaged in a pattern or practice in violation of a provision of law referred to in subsection (a)(1) or in violation of subsection (a)(2), the Attorney General may bring a civil action in any appropriate district court of the United States for such relief as may be appropriate, including injunctive relief.

Signature of Official Authorized
to Sign Application

Date

CERTIFICATIONS

CERTIFICATION REGARDING LOBBYING

- 1) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person influencing or attempting to influence an officer or employee of any agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- 2) If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000 for each such failure.

SALARY CAP

The undersigned certifies that no grant funds will be used to pay an individual salary at a rate in excess of \$183,500 per year, not including benefits.

DRUG FREE WORK ENVIRONMENT

The undersigned certifies that reasonable efforts are made to maintain a drug-free work place in all programs supported by the Block Grant funds, in accordance with 45 CFR Part 76.

CERTIFICATION REGARDING DEBARMENT, SUSPENSION INELIGIBILITY
AND VOLUNTARY EXCLUSION - LOWER TIER COVERED TRANSACTIONS

- 1) The prospective lower tier participant certified, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal/application.

Signature of Official Authorized
to Sign Application

Date

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated or maintained with such federal funds. The law does not apply to children's services provided in private residences; portions of facilities used for inpatient drug or alcohol treatment; service providers whose sole source of applicable Federal funds is Medicare or Medicaid; or facilities where WIC coupons are redeemed. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing this certification, the offer or/contractor (for acquisitions) or applicant/grantee (for grants) certifies that the submitting organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The submitting organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

Signature of Official Authorized
to Sign Application

Date

**2006-2007
SAMHSA MENTAL HEALTH BLOCK GRANT
PROGRAM DATA SHEET**

Complete one sheet for each SAMHSA funded program (as budgeted).

COUNTY: _____

PROGRAM TITLE: _____

PROGRAM CONTACT/TITLE: _____

Phone #: _____ FAX: _____

E-Mail: _____

SAMHSA FUNDING LEVEL: (MH 1779, Line 38, Net Cost) \$ _____

TARGET POPULATION(S): (ESTIMATED NUMBER OF CONSUMERS TO BE SERVED IN THE YEAR WITH SAMHSA FUNDS)

SMI ADULT _____ # SMI OLDER ADULT _____ # SED CHILD _____

TYPES OF SERVICE(S) PROVIDED: (CHECK ALL THAT ARE APPLICABLE)

ASSESSMENT	_____	CASE MANAGEMENT	_____
COLLATERAL SERVICES	_____	CRISIS INTERVENTION	_____
CRISIS STABILIZATION	_____	DAY CARE REHABILITATIVE	_____
GROUP THERAPY	_____	INDIVIDUAL THERAPY	_____
IN-HOME SERVICES	_____	INTENSIVE DAY TREATMENT	_____
MEDICATION SUPPORT	_____	OUTREACH	_____
PEER COUNSELING	_____	SOCIALIZATION	_____
SUBSTANCE ABUSE COUNSELING	_____	VOCATIONAL	_____
OTHER: _____	_____		

Please complete the following table if in the above program you have discrete sub-programs serving any of the specific sub-populations listed below or a consumer operated program:

	DUAL DIAGNOSIS	HOMELESS	FORENSIC	MINORITIES	CONSUMER OPERATED	TRANSITIONAL AGE YOUTH
ESTIMATED NUMBER OF SMI ADULT						
FUNDING LEVEL	\$	\$	\$	\$	\$	\$
ESTIMATED NUMBER OF SMI OLDER ADULT						
FUNDING LEVEL	\$	\$	\$	\$	\$	\$
ESTIMATED NUMBER OF SED CHILDREN						
FUNDING LEVEL	\$	\$	\$	\$	\$	\$

ADDITIONAL COMMENTS:

FEDERAL GRANT DETAILED PROGRAM BUDGET
MH 1779 REV(04/04)

STATE FISCAL YEAR: _____

TYPE OF GRANT (Check One Only): SAMHSA _____

PATH _____

COUNTY: _____

SUBMISSION DATE: _____

FISCAL CONTACT: _____

TELEPHONE NUMBER: _____

PROGRAM NAME: _____

E-MAIL ADDRESS: _____

STAFFING			1	2	3
TITLE OF POSITION	ANNUAL SALARY	GRANT FTE	LAST APPROVED BUDGET	REQUEST OR CHANGE	TOTAL
1					\$ -
2					\$ -
3					\$ -
4					\$ -
5					\$ -
6					\$ -
7					\$ -
8					\$ -
9					\$ -
10					\$ -
11					\$ -
12	TOTAL STAFF EXPENSES (sum lines 1 thru 11)	\$ 0.00	\$ -	\$ -	\$ -
13	Consultant Costs (Itemize):				\$ -
14					\$ -
15					\$ -
16					\$ -
17	Equipment (Where feasible lease or rent) (Itemize):				\$ -
18					\$ -
19					\$ -
20					\$ -
21					\$ -
22	Supplies (Itemize):				\$ -
23					\$ -
24					\$ -
25					\$ -
26					\$ -
27					\$ -
28	Travel -Per diem, Mileage, & Vehicle Rental/Lease				\$ -
29					\$ -
30	Other Expenses (Itemize):				\$ -
31					\$ -
32					\$ -
33					\$ -
34					\$ -
35					\$ -
36					\$ -
37	COUNTY ADMINISTRATIVE COSTS (2% PATH/10% SAMHSA)				\$ -
38	NET PROGRAM EXPENSES (sum lines 12 thru 37)		\$ -	\$ -	\$ -
39	OTHER FUNDING SOURCES: Federal Funds				
40	Non-Federal Funds				
41	TOTAL OTHER FUNDING SOURCES (sum lines 39 & 40)		\$ -	\$ -	\$ -
42	GROSS COST OF PROGRAM (sum lines 38 and 41)		\$ -	\$ -	\$ -

DMH APPROVAL BY:
TELEPHONE:
DATE:

**CENTER FOR MENTAL HEALTH SERVICES DEFINITIONS OF
"ADULTS WITH A SERIOUS MENTAL ILLNESS"
AND
"CHILDREN WITH A SERIOUS EMOTIONAL DISTURBANCE"**

The Center for Mental Health Services (CMHS) established final definitions in 1993 for the target populations that would be serviced by funds from the Community Mental Health Services Block Grant.

These definitions will apply directly to those county programs using these funds. Funds may be used only to provide services to mental health consumers who meet the following definitions. The Department is responsible to assure the CMHS that these funds are appropriately used.

Definitions of Children & Adolescents with Severe Emotional Disturbance

Pursuant to Section 1911(c) of the Public Health Service Act "children with a serious emotional disturbance" are (1) from birth up to age 18 and (2) who currently have, or at any time during the last year, had a diagnosable mental, behavioral or emotional disorder of sufficient duration to meet diagnostic criteria specified within DSM-III-R that resulted in functional impairment which substantially interferes with or limits the child's role or functioning in family, school, or community activities.

Federal Register Volume 58 No. 96 published Thursday May 20, 1993 pages 29422 through 29425.

Definition of Adults with a Serious Mental Illness

Pursuant to Section 1912(c) of the Public Health Service Act, as amended by Public Law 102-321, "adults with a serious mental illness" are persons: (1) age 18 and over and (2) who currently have, or at any time during the past year, had a diagnosable mental, behavioral, or emotional disorder of sufficient duration to meet diagnostic criteria specified within DSM-IV or their ICD-9-CM equivalent (and subsequent revisions) with the exception of DSM-IV "V" codes, substance use disorders, and developmental disorders which are excluded, unless they co-occur with another diagnosable serious mental illness. (3) That has resulted in functional impairment, which substantially interferes with or limits one or more major life activities.

Federal Register Volume 58 No. 96 published Thursday May 20, 1993, pages 29422 through 29425.

EL DORADO COUNTY
DEPARTMENT OF MENTAL HEALTH
ADMINISTRATIVE OFFICE



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SAMHSA BLOCK GRANT
FY 2006/07
Program Narrative

A. STATEMENT OF PURPOSE

The County of El Dorado seeks continued funding of the Substance Abuse and Mental Health Services Administration (SAMHSA), Community Mental Health Services Block Grant for Fiscal Year 2006/07. Since 1997 these funds have been utilized in a collaborative manner with other public and private organizations to address the needs of both children and adults diagnosed as either Emotionally Disturbed (ED), or Seriously Mentally Ill (SMI). However, in Fiscal Year 2006/2007, only the Juvenile Hall program for the ED children will be funded with SAMHSA block grant funds. The Peer-to-Peer program for SMI adults will be funded with MHSA dollars as the SAMHSA funding is no longer sufficient to fund both programs.

These services are provided through an established Children System of Care which include such other agencies as the Department of Probation, Education and Social Services.

B. PROGRAM DESCRIPTION

El Dorado County has two population centers, one in Placerville and the other in South Lake Tahoe. SAMHSA funds will be utilized in both locations to provide distinct programming.

Juvenile Hall Program

Consistent with national trends, El Dorado County continues to realize an increase in the Emotionally Disturbed children and adolescents population. A number of these youth also abuse alcohol and other drugs. The most troubled of these youth are often arrested and incarcerated in the juvenile hall. El Dorado County Mental Health desires to continue to direct SAMHSA funds to this population as it has for several years.

Juvenile Hall Budget:

Funding allocated to the juvenile hall program is increased to \$134,705.

.55 Mental Health Clinician – Placerville (\$46,337): This position will provide mental health services to the children in custody at the Placerville Juvenile Hall. This is a 0.05 FTE increase from the prior year's allocation.

.50 Mental Health Clinician – South Lake Tahoe (\$34,511): This position will provide mental health services to the children in custody at the South Lake Tahoe Juvenile Treatment Center. This is the same FTE allocation as the prior fiscal year.

.05 Psychiatrist (\$12,077): This position will provide psychiatric medication services at the Placerville Juvenile Hall. This is the same FTE allocation as the prior fiscal year. The MH 1979 form shows the annual salary for this position as \$241,550; however, this figure includes both salary and benefits. The salary for this position is \$174,242 which is less than the Salary Rate Cap.

.01 Nurse Practitioner (\$1,105): This position, working under the direct supervision of a psychiatrist, will provide medication services at the Placerville Juvenile Hall. This is a 0.04 FTE decrease from the prior year's allocation.

Psychiatric Medications (\$28,430): Grant funds are needed to pay for psychiatric medications for uninsured youth in juvenile hall. Incarcerated youth are not eligible for Medi-Cal.

Administrative Costs (\$12,245)

C. TARGET POPULATION

The target populations for this program are: "Children with a Emotional Disturbance". Special attention is given to dually diagnosed individuals within both populations.

D. STAFFING

<u>Position Title</u>	<u>FTE</u>	<u>Grant Funded</u>
Juvenile Hall:		
MH Clinician - Placerville	.55	.55
MH Clinician - Tahoe	1.00	.50
Psychiatrist	.05	.05
Nurse Practitioner	.01	.01

E. DESIGNATED PEER REVIEW REPRESENTATIVE

The El Dorado County Mental Health Utilization Review Coordinator, Laura Eakin, MFT, will participate as a member of the State Department of Mental Health (DMH) Review Team in a neighboring county.

F. IMPLEMENTATION PLAN

1. Treatment services at the juvenile hall in Placerville and the juvenile treatment center in South Lake Tahoe are already in existence. SAMHSA funds have supported these services in Placerville since 1997 and in South Lake Tahoe since 2004.

G. PROGRAM EVALUATION PLAN

Each program will be monitored on a quarterly basis by a Review Team consisting of the Director and Fiscal Administrative Manager, or their designees. This team will visit program sites and review program objectives with program staff and, where possible, with clients. Expenditures will be compared to the budget. A plan of correction will be formulated for any identified problem or barrier, and this plan of correction will be reviewed at the next quarterly review.

2005-2006 SAMHSA MENTAL HEALTH BLOCK GRANT PROGRAM DATA SHEET
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Complete one sheet for each SAMHSA funded program (as budgeted).

COUNTY: El Dorado

PROGRAM TITLE: Juvenile Hall

PROGRAM CONTACT/TITLE: Matthew Le Pore, Fiscal Administration Manager

Phone #: (530) 621-6202 FAX: (530) 622-3278

E-Mail: mlepore@co.el-dorado.ca.us

SAMHSA FUNDING LEVEL: (MH 1779, Line 38, Net Cost) \$134,705

TARGET POPULATION(S): (ESTIMATED NUMBER OF CONSUMERS TO BE SERVED IN THE YEAR WITH SAMHSA FUNDS)

SMI ADULT _____ # SMI OLDER ADULT _____ # SED CHILD 110

TYPES OF SERVICE(S) PROVIDED: (CHECK ALL THAT ARE APPLICABLE)

ASSESSMENT	<input checked="" type="checkbox"/>	CASE MANAGEMENT	<input checked="" type="checkbox"/>
COLLATERAL SERVICES	<input checked="" type="checkbox"/>	CRISIS INTERVENTION	<input checked="" type="checkbox"/>
CRISIS STABILIZATION	<input checked="" type="checkbox"/>	DAY CARE REHABILITATIVE	<input type="checkbox"/>
GROUP THERAPY	<input checked="" type="checkbox"/>	INDIVIDUAL THERAPY	<input type="checkbox"/>
IN-HOME SERVICES	<input type="checkbox"/>	INTENSIVE DAY TREATMENT	<input type="checkbox"/>
MEDICATION SUPPORT	<input checked="" type="checkbox"/>	OUTREACH	<input type="checkbox"/>
PEER COUNSELING	<input type="checkbox"/>	SOCIALIZATION	<input type="checkbox"/>
SUBSTANCE ABUSE COUNSELING	<input checked="" type="checkbox"/>	VOCATIONAL	<input type="checkbox"/>
OTHER: _____	<input type="checkbox"/>		

Please complete the following table if in the above program you have discrete sub-programs serving any of the specific sub-populations listed below or a consumer operated program:

	DUAL DIAGNOSIS	HOMELESS	FORENSIC	MINORITIES	CONSUMER OPERATED	TRANSITIONAL AGE YOUTH
ESTIMATED NUMBER OF SMI ADULT						
FUNDING LEVEL	\$	\$	\$	\$	\$	\$
ESTIMATED NUMBER OF SMI OLDER ADULT						
FUNDING LEVEL	\$	\$	\$	\$	\$	\$
ESTIMATED NUMBER OF SED CHILDREN						110
FUNDING LEVEL	\$	\$	\$	\$	\$	\$134,705

ADDITIONAL COMMENTS:

FEDERAL GRANT DETAILED PROGRAM BUDGET
MH 1779 REV(4/04)

STATE FISCAL YEAR 2006-07

TYPE OF GRANT (Check one only)

SAMHSA PATH

COUNTY: El Dorado

SUBMISSION DATE September 18, 2006

FISCAL CONTACT: Matthew Le Pore

TELEPHONE NUMBER: (530) 621-6202

PROGRAM NAME: Juvenile Hall

E-MAIL ADDRESS: mlepore@co.el-dorado.ca.us

STAFFING			1	2	3	
TITLE OF POSITION		ANNUAL SALARY	GRANT FTE	LAST APPROVED BUDGET	REQUEST OR CHANGE	TOTAL
1	Psychiatrist	\$ 241,550	0.05	\$ 12,181	\$ (104)	\$ 12,077
2	Nurse Practitioner	\$ 110,471	0.01	\$ 5,439	\$ (4,334)	\$ 1,105
3	MH Clinician - Placerville	\$ 84,248	0.55	\$ 36,012	\$ 10,325	\$ 46,337
4	MH Clinician - South Lake Tahoe	\$ 69,023	0.50	\$ 37,284	\$ (2,773)	\$ 34,511
5						\$ -
6						\$ -
7	Note: Annual salary includes benefits					\$ -
8						\$ -
9						\$ -
10						\$ -
11						\$ -
12	TOTAL STAFF EXPENSES (sum lines 1 thru 11)	\$ 505,292	1.11	\$ 90,916	\$ 3,114	\$ 94,030
13	Consultant Costs (Itemize):					\$ -
14						\$ -
15						\$ -
16						\$ -
17	Equipment (Where feasible lease or rent) (Itemize):					\$ -
18						\$ -
19						\$ -
20						\$ -
21						\$ -
22	Supplies (Itemize):					\$ -
23	Psychiatric Medications			\$ 25,000	\$ 3,430	\$ 28,430
24						\$ -
25						\$ -
26						\$ -
27						\$ -
28	Travel -Per diem, Mileage, & Vehicle Rental/Lease					\$ -
29						\$ -
30	Other Expenses (Itemize):					\$ -
31						\$ -
32						\$ -
33						\$ -
34						\$ -
35						\$ -
36						\$ -
37	COUNTY ADMINISTRATIVE COSTS (2%PATH/10%SAMHSA)			\$ 4,182	\$ 8,063	\$ 12,245
38	NET PROGRAM EXPENSES (sum lines 12 thru 37)			\$ 120,098	\$ 14,607	\$ 134,705
39	OTHER FUNDING SOURCES: Federal Funds					
40	Non-Federal Funds					
41	TOTAL OTHER FUNDING SOURCES (sum lines 39 & 40)			\$ -	\$ -	\$ -
42	GROSS COST OF PROGRAM (sum lines 38 and 41)			\$ 120,098	\$ 14,607	\$ 134,705

DMH APPROVAL BY:
TELEPHONE:
DATE:

FEDERAL GRANT DETAILED PROGRAM BUDGET

MH 1779 REV(4/04)

STATE FISCAL YEAR 2006-07

TYPE OF GRANT (Check one only)

SAMHSA X PATH _____

COUNTY: El Dorado

SUBMISSION DATE September 11, 2006

FISCAL CONTACT: Matthew Le Pore

TELEPHONE NUMBER: (530) 621-6202

PROGRAM NAME: Peer-to-Peer

E-MAIL ADDRESS: mlepore@co.el-dorado.ca.us

STAFFING			1	2	3
TITLE OF POSITION	ANNUAL SALARY	GRANT FTE	LAST APPROVED BUDGET	REQUEST OR CHANGE	TOTAL
1 MH Program Coordinator	\$ -	0.00	\$ 26,299	\$ (26,299)	\$ -
2 MH Aide	\$ -	0.00	\$ 32,919	\$ (32,919)	\$ -
3					\$ -
4					\$ -
5					\$ -
6					\$ -
7					\$ -
8					\$ -
9					\$ -
10					\$ -
11					\$ -
12 TOTAL STAFF EXPENSES (sum lines 1 thru 11)	\$ -	0.00	\$ 59,218	\$ (59,218)	\$ -
13 Consultant Costs (Itemize):					\$ -
14					\$ -
15					\$ -
16					\$ -
17 Equipment (Where feasible lease or rent) (Itemize):					\$ -
18					\$ -
19					\$ -
20					\$ -
21					\$ -
22 Supplies (Itemize):					\$ -
23 Self-help/books/materials			\$ 500	\$ (500)	\$ -
24					\$ -
25					\$ -
26					\$ -
27					\$ -
28 Travel -Per diem, Mileage, & Vehicle Rental/Lease			\$ 1,000	\$ (1,000)	\$ -
29					\$ -
30 Other Expenses (Itemize):					\$ -
31					\$ -
32					\$ -
33					\$ -
34					\$ -
35					\$ -
36					\$ -
37 COUNTY ADMINISTRATIVE COSTS (2%PATH/10%SAMHSA)			\$ 6,071	\$ (6,071)	\$ -
38 NET PROGRAM EXPENSES (sum lines 12 thru 37)			\$ 66,789	\$ (66,789)	\$ -
39 OTHER FUNDING SOURCES: Federal Funds					\$ -
40 Non-Federal Funds					\$ -
41 TOTAL OTHER FUNDING SOURCES (sum lines 39 & 40)			\$ -	\$ -	\$ -
42 GROSS COST OF PROGRAM (sum lines 38 and 41)			\$ 66,789	\$ (66,789)	\$ -

DMH APPROVAL BY:
TELEPHONE:
DATE: