

Jurisdiction

Type	Name
County of	El Dorado

Application Summary Contents	Required or "Select"	Documentation Location (page #)
Tab 2: Jurisdiction Information	Required	x
- Joint Powers Agreement/MOU (If applicable)	N/A	N/A
Tab 3: Legislative Representative Information	Required	x
Tab 4: Requested Funding - ED and CD	Required	x
Tab 5: Requested Funding - Native Am. & Colonia	Required	N/A
Tab 6: Activites Funding Sources	Required	x
Tab 7: Section 504 Self Evaluation	Required	x
Threshold Documentation	Required or "Select"	Documentation Location (page #)
Contents		
Tab 8: Threshold Requirement Certification	Required	x
- Non-Debarment Documentation	Required	x
- Citizen Participation Documentation	Required	x
- Original Resolution(s) of the Governing Body	Required	x
Statement of Assurances	Required or "Select"	Documentation Location (page #)
Contents		
Tab 9: Statement of Assurances Form	Required	x
Individual Activities (populates from Requested Funding tabs)	Required or "Select"	Documentation Location (page #)
Activities (CD, ED, PTA)		
1 13 Homeownership Direct	Required	x
2 18C Micro-Enterprise Assistance	Required	x
3 18A Ed Assistance to For Profits	Required	x
4	Required	
5	Required	
6	Required	
7	Required	
8	Required	
Other Activities (Colonia and Native American)		
1	Required	
2	Required	
3	Required	
4	Required	
5	Required	
6	Required	
7	Required	
8	Required	

A. Application Information

Jurisdiction:	County of El Dorado	Duns #:	965067382
Address:	3057 Briw Road, Ste. A		EIN/TIN#: 94-6000511
City:	Placerville	State: CA	Zip Code: 95667

Is this application being submitted on behalf of more than one jurisdiction?

<input type="radio"/> Select No	Complete the following. (Please note the inclusion of a Joint Powers Agreement or Memorandum of Understanding in the application is required).
Second Jurisdiction's Name: _____	
Address: _____	
City: _____	State: _____ Zip Code: _____

B. Authorized Representative Information (per the Resolution)

Name:	Daniel Nielson, M.P.A.	Title:	Director Health and Human Serv
Phone:	530-642-7300	Ext:	Fax: 530-642-7262
Email:	daniel.nielson@edcgov.us		
<input checked="" type="checkbox"/> Check here if address information is the same as above; if not, fill in information below.			
Address: _____			
City:	State:	Zip Code:	
Signature:	Date:		

(Blue Ink)

C. Jurisdictional Contact Information

<input type="checkbox"/>	Check here if address and contact person is the same as the Authorized Representative information above; if not, fill in information below.		
Name:	Cynthia (C.J.) Freeland <small>(must be jurisdiction staff)</small>	Title:	Acting Administrative Services Officer
Agency:	El Dorado County Health and Human Services Agency/HCED Programs		
Address:	3057 Briw Road, Suite A		
City:	Placerville	State: CA	Zip Code: 95667
Phone:	530-642-4863	E-mail:	cynthia.freeland@edcgov.us Fax: 530-642-7262

Jurisdiction: County of El Dorado

D. Legislative Representative Information

	District #	First Name	Last Name
1	Assembly 4	Beth	Gaines
	Senate 1	Ted	Gaines
	Congress 4	Tom	McClintock
2	Assembly		
	Senate		
	Congress		
3	Assembly		
	Senate		
	Congress		
4	Assembly		
	Senate		
	Congress		

Jurisdiction: County of El Dorado

1 Activity

13 Homeownership Direct

Result of Previously-Funded PTA Activity?		PTA Contract #	
No			

Requested Amounts				Beneficiaries		
Select AD %	a. Total	b. Gen Admin (a - (a / 1.075))	c. Activity Delivery AD% of (a - b)	d. Net Activity (a - b - c)	Proposed #	Target ID #'(s)
8%	\$600,000	\$41,860	\$41,344	\$516,796	20	7

2 Activity

18C Micro-Enterprise Assistance

Result of Previously-Funded PTA Activity?		PTA Contract #	
No			

Requested Amounts				Beneficiaries		
Select AD %	a. Total	b. Gen Admin (a - (a / 1.075))	c. Activity Delivery (a - b) / AD%	d. Net Activity (a - b - c)	Proposed #	Target ID #'(s)
15%	\$160,000	\$11,163	\$19,414	\$129,424	21	18

3 Activity

18A Ed Assistance to For Profits

Result of Previously-Funded PTA Activity?		PTA Contract #	
No			

Requested Amounts				Beneficiaries		
Select AD %	a. Total	b. Gen Admin (a - (a / 1.075))	c. Activity Delivery	d. Net Activity (a - b - c)	Proposed #	Target ID #'(s)
15%	\$300,000	\$20,930	\$36,400	\$242,669	6	18

Jurisdiction: County of El Dorado

7 Activity						
				Result of Previously-Funded PTA Activity?	PTA Contract #	
Requested Amounts				Beneficiaries		
Select AD %	a. Total	b. Gen Admin (a - (a / 1.075))	c. Activity Delivery AD% of (a - b)	d. Net Activity (a - b - c)	Proposed #	
		\$0	\$0	\$0		

8 Activity						
				Result of Previously-Funded PTA Activity?	PTA Contract #	
Requested Amounts				Beneficiaries		
Select AD %	a. Total	b. Gen Admin (a - (a / 1.075))	c. Activity Delivery AD% of (a - b)	d. Net Activity (a - b - c)	Proposed #	Target ID #'(s)
		\$0	\$0	\$0		

	Requested for Activity(ies)	General Administrative
CD & ED Total	\$1,060,000	\$73,953

Target ID	Enter the primary number(s) that correspond to the target population(s) each activity will specifically address. For example, a homeless shelter will most likely serve many target populations shown, but the primary target population will be the homeless.		
	1. Physically Disabled 2. Persons with AIDS 3. Youths 4. Single Adults 5. Single Men 6. Single Women	7. Families 8. Farmworkers 9. Seniors 10. Mentally ill 11. Veterans 12. Substance Abusers	13. Victims of Domestic Violence 14. Duly Diagnosed 15. Prevent Homelessness 16. Help the Homeless 17. Help those with HIV/AIDS 18. Other

2013 CDBG Application Summary

Activity Funding Sources

Jurisdiction: County of El Dorado

Program Income On Hand

\$251,284

Activity	CDBG	Program Income (PI)	Federal	State	Local	Private	Total
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Community Development & Economic Development (CD & ED) Funding

General Administration	\$73,953				\$3,000		\$76,953
1 13 Homeownership Direct	\$558,140	\$8,500				\$500,000	\$1,066,640
2 18C Micro-Enterprise Assistance	\$148,837	\$25,000					\$173,837
3 18A Ed Assistance to For Profits	\$279,070	\$128,769				\$25,000	\$432,839
4							\$0
5							\$0
6							\$0
7							\$0
8							\$0
CD & ED Total (Activity + GA)	\$1,060,000	\$162,269	\$0	\$0	\$3,000	\$525,000	\$1,750,269

Colonia & Native American (Col. & NA) Funding

General Administration	\$0						\$0
1							\$0
2							\$0
3							\$0
4							\$0
5							\$0
6							\$0
7							\$0
8							\$0
Col & NA Total (Activity + GA)	\$0	\$0	\$0	\$0	\$0	\$0	\$0

Funding Request Summary (CDBG only)			Total
CD & ED	Col & NA	General Admin.	
\$986,047	\$0	\$73,953	\$1,060,000

Jurisdiction: County of El Dorado

1) HUD requires jurisdictions to have documented their compliance with Section 504.
 2) Applicants must attach a **Section 504 Self-Certification Form** with their Application Package. It is important to note that the form itself does not constitute the jurisdiction's efforts to meet Section 504 requirements. The jurisdiction should have performed an analysis and evaluation of each factor and prepared a Section 504 Plan.
 3) The following self-certification form should be used with this application to certify that the jurisdiction has performed this analysis and evaluation and to record areas of compliance or problems. Please complete this form, sign and date it, and include it as part of this application.
 >>See the **CDBG Grant Management Manual Chapter 4** for additional information<<

Communications (Program Publicity)	Y/N	Problems
Public Notices & Newspaper Ads	Yes	
Public Service Announcements	No	
Posters/ Flyers	Yes	
Letters to Homeowners in the Area	Yes	
Informational Public Meetings	Yes	
Interpreters/ Readers/ TDD Available on Request	Yes	
Equal Opportunity Statements in Ads, Flyers and/ or Letters	Yes	
Modifications Made		

Employment	Y/N	Problems
City Makes Reasonable Accommodation to Known Physical/ Mental Limitations of Qualified Applicant/ Employees with Handicaps.	Yes	
Pre-Employment Inquiries and Test Do Not Screen Out Handicapped Persons.	Yes	
Modifications Made		

Program Accessibility	Y/N	Problems
Are City County Facilities Accessible to and Useable by Individuals with Handicaps (i.e. Ramps, Space at Meetings.	Yes	
Handicap Modifications Offered in Rehabilitation Program.	Yes	
Handicapped Individuals with Limited Mobility Assisted with Applications in Their Homes.	Yes	
Modifications Made		

Jurisdiction: County of El Dorado

Enforcement (How policies meet 504 requirements)	Y/N	Problems
Statement of Assurances in Grant Applications.	Yes	
Non-Discrimination Clause in Deed-of-Trust	Yes	
Names of Advisors on Handicapped Issues		
1		
2		
City/ County has Procedures for Complaints	Yes	
Is a Log Maintained of any Complaints.	Yes	
Modifications Made 		

Section 504 Coordinator

Name: Russ Fackrell

Date: 3/13/13

Signature: 
(Blue Ink)

Jurisdiction: County of El Dorado

The department will review each application to determine whether the application meets all of the eligibility threshold criteria.

A DebarmentSelect
No

Is the applicant jurisdiction on the Federal Excluded parties List (<https://www.sam.gov>)
If "Yes" - applicant is **not** eligible to receive Federal Funding.

Copy of the search is on page(s): X-X

B Housing Element ComplianceSelect
Yes

Does the applicant have a Housing Element in compliance with CDBG requirements as of the application
submittal deadline?

If "No" - applicant is **not** eligible to receive Federal Funding.

The Department will verify CDBG compliance with HPD as of the application due date.

C Growth ControlSelect
No

Has the applicant's jurisdiction enacted limitations on residential construction, which includes limitations
other than: establishing agricultural preserves, limitations imposed by another agency or limitations not
based on health and safety needs?

If "No" - skip to next section

Select

Do these limitations meet any of the exceptions found in State Statute at Health and Safety Code 50830?
If "Yes" - applicant includes a copy of the limitation with the application.

Copy of the limitation is on page(s):

If "No" - applicant is **not** eligible to receive Federal Funding.**D Statement of Assurances**Select
Yes

Applicant has printed and included the Excel version of the Statement of Assurances (last tab in this
workbook) signed in **blue ink** by the **Authorized Representative** of the applicant jurisdiction as listed in the
Authorizing Resolution.

E OMB Circular A-133Select
Yes

Per OMB Circular A-133 .315(e) - Applicant asserts that the State Controller's Office (SCO) was in receipt of
the complete Single Audit Package by the NOFA application due date.

The Department will verify compliance with SCO.

F Citizen ParticipationSelect
Yes

Applicant has met all Public Hearing/ Citizen Participation requirements such as:

- 1 A) Public notices published in a local newspaper announcing the Public hearings and containing the required information as stated in the CDBG Grant Management Manual.
- 2 B) At least one public hearing was held during the program design phase of the application.
 - Design hearing was published/ posted on: **07/13/12** ; and,
 - Design hearing was held on: **07/27/12** ; and,
- 3 C) At least one public hearing was held during to approve submittal of the application.
 - Application Submittal hearing published/ posted on: **03/29/13** ; and,
 - Application Submittal was held on: **04/09/13** ; and,
- 4 D) Sign-in sheets and all documentation are in the public information file available for review and monitoring AND
- 5 E) Written comments received during the public hearing process are included with the application along with any responses.

Jurisdiction: **County of El Dorado**

The department will review each application to determine whether the application meets all of the eligibility threshold criteria.

G Resolution(s) of the Governing BodySelect
Yes

Applicant has included a Resolution (sample in Appendix E) that:

- 1 Is an original certified copy; AND,
- 2 Authorizes submission of the application; AND,
- 3 Approves the application's contents (funding requested, activities, committed funding other than CDBG Program Income, etc...); AND,
- 4 Authorizes the execution of a grant agreement and any amendments thereto (if funded); AND,
- 5 Designates a person (by title) authorized to enter into an agreement (if funded); AND,
- 6 Designates persons (by title) authorized sign all reports, Funds Requests and other program-supporting documentation (if funded).

After the application due date, the Department will not consider unsolicited information from an applicant. However, the Department may contact an applicant to clarify an item in the application related to the above threshold questions and any other eligible application issue. **Applicants should note that the Department will not seek clarification of items or responses that improve the substantive quality of the applicant's response to any eligibility or selection criterion.**

Authorized Representative (per the Resolution):

I certify on behalf of **County of El Dorado** that the Threshold information provided is true and accurate.

Date: _____

Signature: _____

(Blue Ink)

Name: Daniel Nielson, M.P.A.Title: Director, Health and Human Services Agency

By checking the boxes, the certifier assures the statements are true.

The **County of El Dorado** hereby assures and certifies that:

Select
Yes

Legal Authority - It possesses legal authority to apply for the grant and to execute the proposed program.

Select
Yes

Application Authorization - Its governing body has duly adopted or passed as an official act or resolution, motion, or similar action authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the applicant's chief executive officer or other designee to act in connection with the application and to provide such additional information as may be required.

Select
Yes

Citizen Participation - It has or will comply with all citizen participation requirements, which include, at a minimum, the following components:

A Provides for and encourages citizen participation, with particular emphasis on participation by persons of low and moderate income who are residents of slum and blight areas and of areas in which CDBG funds are proposed to be used, and provides for participation of residents in low- and moderate-income neighborhoods as defined by the local jurisdiction - **AND**

B Provides citizens with reasonable and timely access to local meetings, information, and records relating to the grantee's proposed use of funds, as required by CDBG regulations, and relating to the actual use of funds under this title - **AND**

C Provides for technical assistance to groups representative of persons of low and moderate income that request such assistance in developing proposals with the level and type of assistance to be determined by the grantee - **AND**

D Provides for public hearings to obtain citizen views and to respond to proposals and questions at all stages of the community development program. These include at least the development of needs, the review of proposed activities, and review of program performance, which hearings shall be held after adequate notice, at times and locations convenient to potential or actual beneficiaries and with accommodation for the handicapped. This shall include one public meeting during the program design, annual performance report preparation, and formal amendments. A public hearing shall be conducted prior to application submittal - **AND**

E Solicits and provides for a timely written answer to written complaints and grievances, within 15 working days where practicable - **AND**

F Identifies how the needs of non-English speaking residents will be met in the case of public hearings where a significant number of non-English speaking residents can reasonably be expected to participate.

Select
Yes

National Objective - It has developed its CDBG Program so as to primarily benefit targeted income persons and households, and each activity in the program meets one of the three national objectives: benefit to low- and moderate-income persons, elimination of slums and blight, or meets an urgent community need certified by the grantee as such.

Select
Yes

NEPA Environmental Review – It consents to assume the responsibilities for environmental review and decision-making in order to ensure compliance with NEPA by following the procedures for recipients of block grant funds as set forth in 24 CFR, Part 58, titled "Environmental Review Procedures for Title I Community Development Block Grant Programs." Also included in this requirement is compliance with Executive Order 11988 relating to the evaluation of flood hazards, and Section 102(a) of the Flood Disaster Protection Act of 1973 (Public Law 93-234) regarding purchase of flood insurance, and the National Historic Preservation Act of 1966 (16 USC 470) and implementing regulations (36 CFR 800.8).

Select
Yes

Audit/Performance Findings – It has resolved or is currently working with the Department to resolve any audit findings or CDBG performance problems.

By checking the boxes, the certifier assures the statements are true.

The **County of El Dorado** hereby assures and certifies that:

Select
Yes

Growth Control - There is no plan, ordinance, or other measure in effect which directly limits, by number, the building permits that may be issued for residential construction or the buildable lots which may be developed for residential purposes; or if such a plan, ordinance, or measure is in effect, it will either be rescinded before receiving funds, or it need not be rescinded because it:

- A** Imposes a moratorium on residential construction, to protect the health and safety, for a specified period of time which will end when the public health and safety is no longer jeopardized; or,
- B** Creates agricultural preserves under Chapter 7 (commencing with Section 51200) of Part 2 of Division 1 of Title 5 of the Government Code; or,
- C** Was adopted pursuant to a specific requirement of a State or multi-State board, agency, department, or commission; or,
- D** The applicant has an adopted housing element which the Department has found to be in compliance, unless a final order has been used by a court in which the court determined that it is not in compliance with Article 10.6 of Chapter 3 of Division 1 of Title 7 of the Government Code; or,
- D** The use of the funds applied for in this application is restricted for housing for Low/ Mod Income persons.

Select
Yes

Uniform Administrative Requirements – It will comply with the regulations, policies, guidelines, and requirements of OMB Circular Numbers A-87, A-133, A-122, and 24 CFR Part 85, where appropriate, and the State CDBG regulations.

Select
Yes

Nondiscrimination – It shall comply with the following regarding nondiscrimination laws and practices:

- A** Title VI of the Civil Rights Act of 1964 (Public Law 88-352).
- B** Title VIII of the Civil Rights Act of 1968 (Public Law 90-284) as amended; and will administer all programs and activities related to housing and community development in a manner affirmatively furthering fair housing.
- C** Section 109 of the Housing and Community Development Act of 1974, as amended.
- D** Section 3 of the Housing and Urban Development Act of 1968, as amended.
- E** Executive Order 11246, as amended by Executive Orders 11375 and 12086.
- F** Executive Order 11063, as amended by Executive Order 12259.
- G** Section 504 of the Rehabilitation Act of 1973 (Public Law 93-112), as amended, and implementing regulations.
- H** The Age Discrimination Act of 1975 (Public Law 94-135).

Select
Yes

Anti-Displacement/Relocation – It will comply with the Federal Relocation Act (42 U.S.C. 4601 et seq.) and certifies that it will follow the state's residential anti-displacement and relocation plan located in Appendix E of the State's 2011-2012 Annual Plan.

The plan can be found at: [Annual Plan Update 2012-2013](#)

Select
Yes

Labor Standards – It will comply with the following regarding labor standards:

- A** Section 110 of the Housing and Community Development Act of 1974, as amended.
- B** Section 1720 et seq. of the California Labor Code regarding public works labor standards.
- C** Davis-Bacon Act as amended (40 USC. 276a) regarding prevailing wage rates.
- D** Contract Work Hours and Safety Standards Act (40 USC 3702) regarding overtime compensation.
- E** Anti-Kickback Act of 1934 (41 USC 51-58) prohibiting "kickbacks" of wages in federally assisted construction activities.

Select
Yes

Architectural Barriers – It will comply with the Architectural Barriers Act of 1968 (42 USC 4151-4157) and implementing regulations (24 CFR Part 40-41).

By checking the boxes, the certifier assures the statements are true.

The **County of El Dorado** hereby assures and certifies that:

Select
Yes

Conflict of Interest – It will enforce standards for conflicts of interest which govern the performance of their officers, employees, or agents engaged in the award and administration, in whole or in part, of State CDBG grant funds (Section 7126 of the State regulations).

Select
Yes

Limitations on Political Activities – It will comply with the Hatch Act (5 USC 1501 et seq.) regarding political activity of employees.

Select
Yes

Lead-Base Paint – It will comply with the Lead-Based Paint Regulations (24 CFR Part 35) which prohibits the use of lead-based paint on projects funded by the program.

Select
Yes

Debarred Contractors - The applicant or its staff are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in federal assistance programs, in any proposal submitted in connection with the CDBG program, per the Excluded Party List System (<https://www.sam.gov>). In addition, the applicant will not award contracts to or otherwise engage the services of any contractor while that contractor (or its principals) is debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation from the covered transaction, in any proposal submitted in connection with the CDBG program under the provisions of 24 CFR part 24.

Select
Yes

Inspection of Grant Activities – It will give HUD, the Comptroller General, the State Department of Housing and Community Development, or any of their authorized representatives access to and the right to examine all records, books, papers, or documents related to the grant.

Select
Yes

Cost Recovery – It will not attempt to recover any capital costs of public improvements assisted in whole or in part with CDBG funds by assessing properties owned and occupied by Low/ Mod income persons unless:

- A** CDBG funds are used to pay the proportion of such assessment that relates to non-CDBG funding; or,
- B** For the purposes of assessing properties owned and occupied by Low/ Mod income persons who are not of the lowest targeted income group, it does not have sufficient CDBG funds to comply with the provisions of “A” above.

Select
Yes

Procurement – It will follow the federal procurement policies per 24 CFR Sec. 85.36

Select
Yes

Excessive Force – It will adopt and enforce policies:

- A** Prohibiting the use of excessive force by its law enforcement agencies against individuals engaged in non-violent civil rights demonstrations; and,
- B** Enforcing applicable State and local law against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstration within its jurisdiction.

Select
Yes

Compliance with Laws - The jurisdiction will comply with applicable laws.

The Certification is made under penalty of perjury under the laws of the State of California.

Certifying Officials Name: Ron Briggs

Certifying Officials Title: Chair of the Board of Supervisors

Certification Date:

Signature: (Blue Ink)