

REGISTRATION NUMBER <i>ep1372378</i>	AGREEMENT NUMBER 14-10043
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- This Agreement is entered into between the State Agency and the Contractor named below:

STATE AGENCY'S NAME California Department of Public Health	(Also referred to as CDPH or the State)
CONTRACTOR'S NAME El Dorado County	(Also referred to as Contractor)
- The term of this Agreement is: July 1, 2014 through June 30, 2017
- The maximum amount of this Agreement is: \$ 60,000 Sixty Thousand Dollars
- The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of this Agreement.

Exhibit A – Scope of Work	37 pages
Exhibit B – Budget Detail and Payment Provisions	3 pages
Exhibit B, Attachment I – Budget (Year 1)	1 page
Exhibit B, Attachment II – Budget (Year 2)	1 page
Exhibit B, Attachment III – Budget (Year 3)	1 page
Exhibit C * – General Terms and Conditions	<u>GTC-610</u>
Exhibit D (S) – Special Terms and Conditions (Attached hereto as part of this agreement)	18 pages
Exhibit E – Additional Provisions	2 pages
Exhibit F – Glossary of CLPPB Related Acronyms and Terms	4 pages

ATTEST: James S. Mitrisin
 Clerk of the Board of Supervisors

By *Marcia MacFarland*

Items shown above with an asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto. These documents can be viewed at <http://www.ols.dgs.ca.gov/Standard+Language>.

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR		California Department of General Services Use Only
CONTRACTOR'S NAME (if other than an individual, state whether a corporation, partnership, etc.) El Dorado County		
BY (Authorized Signature) <i>Norma Santiago</i>	DATE SIGNED (Do not type) 8/5/14	
PRINTED NAME AND TITLE OF PERSON SIGNING Norma Santiago, Chair, Board of Supervisors		
ADDRESS C/O Josefina Solano, 1360 Johnson Blvd., Suite 103, South Lake Tahoe, CA 96150		
STATE OF CALIFORNIA		
AGENCY NAME California Department of Public Health		<input checked="" type="checkbox"/> Exempt per: <i>CDPH 2</i>
BY (Authorized Signature) <i>Yolanda Murillo</i>	DATE SIGNED (Do not type) 8/20/14	
PRINTED NAME AND TITLE OF PERSON SIGNING Yolanda Murillo, Chief, Contracts Management Unit		
ADDRESS 1616 Capitol Avenue, Suite 74.317, MS 1802, PO Box 997377 Sacramento, CA 95899-7377		

Exhibit A
Scope of Work

1. Service Overview

Contractor agrees to provide to the California Department of Public Health (CDPH) the services described herein.

The **El Dorado County** Childhood Lead Poisoning Prevention Program (CLPPP) will provide direct case management for children, as well as education to the communities, families and health care providers within its jurisdiction. The Contractor will coordinate lead-related activities for a range of local agencies and organizations, alert the Childhood Lead Poisoning Prevention Branch (CLPPB) of the CDPH, to new sources of lead exposure and barriers in the continuum of care and prevention, and help develop creative new strategies towards realizing a mutual vision of a health, lead-safe environment, in which all children can achieve their full potential.

2. Service Location

The services shall be performed at applicable facilities in the **El Dorado County**.

3. Service Hours

The services shall be provided during normal Contractor working hours, Monday through Friday, excluding national and State holidays.

4. Project Representatives

A. The project representatives during the term of this Agreement will be:

California Department of Public Health	El Dorado County
Ingrid Galindo, Contract Manager Telephone: (510) 620-3674 Fax: (510) 620-5656 Email: Ingrid.Galindo@cdph.ca.gov	Name: Michael Ungeheuer RN MN PHN Telephone: (530) 621-6129 Fax: (530) 642-0892 Email: michael.ungeheuer@edcgov.us

B. Direct all inquiries to:

California Department of Public Health	El Dorado County
Childhood Lead Poisoning Prevention Branch Attention: Ingrid Galindo , Contract Manager 850 Marina Bay Parkway, Building P, Third Floor Richmond, CA 94804-6403 Telephone: (510) 620-3674 Fax: (510) 620-5656 Email: Ingrid.Galindo@cdph.ca.gov	Childhood Lead Poisoning Prevention Program Attention: Michael Ungeheuer , Program Coordinator 1360 Johnson Blvd., Suite 103 South Lake Tahoe, CA 96150 Telephone: (530) 621-6129 Fax: (530) 642-0892 Email: michael.ungeheuer@edcgov.us

C. Either party may make changes to the information above by giving written notice to the other party. Said changes shall not require an amendment to this Agreement.

Exhibit A
Scope of Work

5. Scope of Work Changes

- A. Pursuant to Health and Safety code Section 38077(b)(2), changes and revisions to the Scope of Work contained in the agreement, utilizing the "allowable cost payment system" may be proposed by the Contractor in writing. Failure to notify the State of proposed revisions to the Scope of Work may result in an audit finding.
- B. The State will respond, in writing, as to the approval or disapproval of all such requests for changes or revisions to the Scope of Work within 30 calendar days of the dated the request is first received in the Department. Should the State fail to respond to the Contractor's request within 30 calendar days of receipt, the Contractor's request shall be deemed approved.
- C. The State may also request changes and revisions to the Scope of Work. The State will make a good-faith effort to provide the Contractor 30 calendar days advance written notice of said changes or revisions.
- D. No changes to the Scope of Work agreed to pursuant to this paragraph shall take effect until the cooperative agreement is amended and the amendment is approved as required by law and this agreement.

6. Required Deliverables for Program Review and Evaluation

- A. The Contractor will submit as deliverables to the Childhood Lead Poisoning Prevention Branch the following documents:
 - 1) Biannual Progress Reports using the CLPPB Progress Report format.
 - 2) Quarterly invoices as outlined in Exhibit B, Provision 1, page 1 of 4.
 - 3) Completed pages of the Lead Poisoning Follow-up Form (LPFF) and attachments.
 - 4) Completed Form 8552 for each Lead Hazard Evaluation (includes clearance, EI and risk assessment) that is performed.
 - 5) Status report, case management information, and other contract-related information as requested by CLPPB for program review.
 - 6) Entry of data into the Response and Surveillance System for Childhood Lead Exposures II (RASSCLE II), as negotiated with CLPPB.

7. Subcontracts Requirements

Subcontracts with other governmental agencies may be allowed with prior CDPH approval.

- 8. See the following pages for a detailed description of the services to be performed.

Exhibit A
Scope of Work

Goal 1: A Childhood Lead Poisoning Prevention Program (CLPPP) shall be successfully administered in the jurisdiction of each local health department throughout California.

Objective 1-I: Maintain (or establish) and successfully administer a local CLPPP.

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>1. Designate a CLPPP Coordinator responsible for conducting or overseeing the activities below. Other CLPPP staff may be assigned to perform specific duties of the CLPPP Coordinator, however a single contact for all communications from the CLPPB shall be designated.</p> <p>All CLPPPs must conduct all of the following Goal 1 activities:</p>	Within 30 days of start date	Supervising Health Education Coordinator (SHEC)	<p>CLPPPs must conduct all Goal 1 deliverables.</p> <p><i>Check mark indicates these activities will be accomplished.</i></p> <p><input checked="" type="checkbox"/> Designated CLPPP coordinator.</p>
<p>a. Prepare and implement a CLPPP Work Plan that identifies appropriate activities and staff for the needs and resources available to the Local Health Jurisdiction.</p>	Ongoing	SHEC	<p><input checked="" type="checkbox"/> Prepared CLPPP Work Plan.</p> <p><input checked="" type="checkbox"/> Submit CLPPP Personnel Justification Form.</p> <p><input checked="" type="checkbox"/> Completed CLPPP Contact List.</p>
<p>b. Coordinate all CLPPP services and activities within the Local Health Jurisdiction.</p>	Ongoing	SHEC	<p><input checked="" type="checkbox"/> Executed Work Plan.</p>
<p>c. Act as primary program contact with CLPPB.</p>	Ongoing	SHEC	<p><input checked="" type="checkbox"/> Designate a single contact for all CLPPB communications.</p>
<p>d. Ensure adherence with and implementation of all CLPPB contract requirements, including the CLPPP Work Plan, and with CLPPB policies and procedures.</p>	Ongoing	SHEC	<p><input checked="" type="checkbox"/> Monitor compliance of SOW and contract.</p> <p><input checked="" type="checkbox"/> Maintain evidence of CLPPP contract performance (e.g., Progress Reports, quarterly meeting minutes, duty statements).</p> <p><input checked="" type="checkbox"/> Ensure staff providing services to children has and maintains professional qualifications and criteria.</p>

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Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>e. Ensure CLPPP representation in person or by phone conferencing, audio or video formats, at CLPPB-sponsored meetings and trainings, and CLPPB working groups as requested. These would include but not be limited to, regional and statewide program meetings, trainings for new CLPPP coordinators, training on time study documentation as appropriate, and training on the use of the CLPPB data system and trainings for other CLPP personnel as required.</p>	Ongoing	SHEC	<input checked="" type="checkbox"/> Participate in CLPPB-sponsored meetings, trainings, and working groups.
<p>f. Ensure that all staff providing services to children under this contract have and maintain the professional qualifications and criteria (education, licenses, and training) required by CLPPB. Notify CLPPB as soon as possible when staff changes occur, but no later than five working days.</p>	Ongoing	SHEC	<input checked="" type="checkbox"/> Record of (current) professional qualifications for all staff providing services to children.
<p>g. Convene and conduct CLPPP Team quarterly meetings, in person or through phone conferencing, video or audio formats, with participation by all CLPPP team members.</p>	Quarterly	SHEC	<input checked="" type="checkbox"/> Minutes from quarterly meetings.
<p>h. Assure that required CLPPP documentation is submitted in a timely manner and according to CLPPB requirements, including but not limited to, case management forms and documents, and bi-annual CLPPP Progress Reports.</p>	Ongoing	SHEC	Submit the following documents to CLPPB: <input checked="" type="checkbox"/> Case management forms and documents <input checked="" type="checkbox"/> Bi-annual CLPPP Progress Reports <input type="checkbox"/> Other (<i>please specify</i>):
<p>2. Tier 2- The Local Health Jurisdiction is encouraged to complete additional activities (in addition to the "All CLPPPs" basic functions). Refers to those programs receiving larger funding allocations.</p> <p><i>(Details of the activities are to be specified by the Local Health Jurisdiction.)</i></p> <p>Indicate which activities will be conducted with a check mark:</p> <input type="checkbox"/> Host and/or facilitate one or more regional meetings or CLPPB-sponsored trainings. <input type="checkbox"/> Other (<i>please specify</i>):	N/A	N/A	<p>Indicate which items will be completed with a check mark:</p> <input type="checkbox"/> Agendas from CLPPB-sponsored meetings/trainings. <input type="checkbox"/> Other (<i>please specify</i>):

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Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<input type="checkbox"/> health fairs <input type="checkbox"/> neighborhood campaigns <input checked="" type="checkbox"/> presentations to childcare givers, parents, families and schools	Twice annually for the duration of the agreement	SHEC	Presentation evaluation forms will be administered for each session and archived for inclusion into the biannual reports
<input checked="" type="checkbox"/> other CLPPP activities (approved by the CLPPB). <i>Please specify:</i> All PHN/HEC field(home) visits through MCAH, CHDP,CPS and CCS will include anticipatory guidance on lead hazard awareness, exposure prevention and resource availability (e.g., The CLPPP will provide outreach materials to entities that provide services to pregnant women to raise awareness about lead and prepare a safe environment for infants.)	Ongoing	PHN	Quarterly chart review noting presence of anticipatory guidance documentation form and/or progress notation including same
<p>2. Tier 2- The Local Health Jurisdiction is to add one or more additional activities to support the objective, as resources allow. These additional activities require prior approval from CLPPB. The number, breadth, and extent of the activities are expected to be proportional to the funding and resources provided in the contract.</p> <p><i>(Details of the activities are to be specified by the Local Health Jurisdiction.)</i></p> <p><i>Please specify:</i> A Lead exposure history and general environmental assessment will be conducted at each initial PHN home visit encounter</p>	<p><i>Indicate timeline for Tier 2 activities:</i></p> <p>Ongoing</p>	SHEC, PHN	<p><i>Indicate which items will be completed with a check mark:</i></p> <input type="checkbox"/> Description of media campaigns, health fairs, and/or presentations. <input type="checkbox"/> Educational print materials for families, caregivers, and/or schools. <input type="checkbox"/> Quantity and description of people reached by activities. <input type="checkbox"/> Presentation materials <input type="checkbox"/> Survey instruments (e.g., pre/post-tests, needs assessments) <input type="checkbox"/> Behavior change and/or knowledge gain outcomes. <input checked="" type="checkbox"/> Other (<i>please specify</i>): Quarterly chart review noting documentation of the history/assessment

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<p>2. Tier 2- The Local Health Jurisdiction is to add one or more additional activities (approved by CLPPB) to support the objective, as resources allow. The number, breadth, and extent of the activities are expected to be proportional to the funding and resources provided in the contract.</p> <p><i>Please specify activities:</i> Provide an educational presentation addressing lead hazard exposure reduction, available resources and culturally relevant information targeting FQHCs, private pediatric practices, community based provider and managed care networks countywide.</p>	<p><i>Indicate timeline for Tier 2 activities:</i></p> <p>Annually for each year of the contract</p>	<p>SHEC, PHN</p>	<p><i>Indicate which items will be completed with a check mark:</i></p> <ul style="list-style-type: none"> <input type="checkbox"/> Description of outreach to providers <input type="checkbox"/> Presentation materials <input type="checkbox"/> Record of number of clinics/staff/providers reached <input type="checkbox"/> Presentation evaluation <input type="checkbox"/> Pre/post-tests examining knowledge gains and/or behavior change <input type="checkbox"/> Informational program materials <input checked="" type="checkbox"/> Other (<i>please specify</i>): Attendance roster and session evaluation forms will be used and retained for statistical analysis
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Objective 2-III: Increase awareness of lead hazards among those local governmental agencies and businesses that can assist in decreasing lead exposures to children. Examples include code enforcement, building departments, other environmental agencies, and health officer and business associations. Examples of businesses include home improvement stores, hardware stores, paint stores, garden supply and landscaping.

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>1. Maintain collaborative working relationships with local enforcement agencies and businesses. This should be achieved by collaborative activities that reach these groups. Programs are encouraged to collaborate with other local governmental or business programs, to maximize resources and groups reached.</p> <p><i>All CLPPPs must indicate at least two activities below they will conduct. The breadth and extent of the activities chosen are expected to be proportional to the funding and resources provided in the contract.</i></p>	<p>Ongoing</p>	<p>SHEC</p>	

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Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<input type="checkbox"/> Promote displays and educational activities concerning lead hazard awareness at meetings that are focused on potential lead hazard-related activities, such as local code enforcement groups or environmental groups.			<i>Indicate which items below will be completed with a check mark:</i> <input type="checkbox"/> Description of lead hazard awareness promotion undertaken at lead hazard-related meetings. <input type="checkbox"/> Number of people reached through outreach at lead-hazard meetings. <input type="checkbox"/> Other <i>(please specify)</i> :
<input checked="" type="checkbox"/> Stock lead hazard awareness materials at local building permit offices and/or at other government agencies or businesses.	Twice yearly during the duration of the contract.	SHEC	<input checked="" type="checkbox"/> Record of number of materials distributed. <input type="checkbox"/> Other <i>(please specify)</i> :
<input type="checkbox"/> Inform local agencies about applicable regulations and statutes, including legislative and regulatory requirements in: Health and Safety Code, Sections 17961, and 105251 to 105256; State Housing Law, Section 17920.10; Civil Code, Section 1941.1, and California Code of Regulations, Sections 35001 to 36100.			<input type="checkbox"/> Educational materials regarding codes and requirements. <input type="checkbox"/> Number of phone calls, referrals, and interagency meetings. <input type="checkbox"/> Meeting agendas, minutes, sign-in sheets. <input type="checkbox"/> Other <i>(please specify)</i> :
<input type="checkbox"/> Promote displays and educational activities concerning lead hazard awareness in businesses that are focused on potential lead hazard-related activities, such as hardware, home improvement, and garden supply stores.			<input type="checkbox"/> Description of lead awareness activities undertaken in relevant businesses. <input type="checkbox"/> Number of people reached through outreach in businesses. <input type="checkbox"/> Other <i>(please specify)</i> :
<input type="checkbox"/> Promote displays in businesses that deal in products that have been found to contain lead, such as children's furniture stores.			<input type="checkbox"/> Description of lead awareness activities undertaken in relevant businesses. <input type="checkbox"/> Number of people reached through outreach in businesses. <input type="checkbox"/> Other <i>(please specify)</i> :

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Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<input checked="" type="checkbox"/> Other CLPPP activities (approved by the CLPPB). <i>Please specify:</i> Provide mobile educational display for exhibition at selected sites to include but not limited to; Health and Human Services Agency, CASA, Animal Services, County Library, Day Care Centers, Big Box stores etc.	At minimum once yearly during the duration of the contract to ongoing rotation throughout the year	SHEC	<i>Please specify evaluation/deliverables related to other activities:</i> Documentation of anecdotal accounts of consumer viewing and educational material distributed as appropriate will be maintained
<p>2. Tier 2- The Local Health Jurisdiction is to add one or more additional activities or other types of activities (approved by CLPPB) to support the objective, as resources allow. The number, breadth, and extent of the activities are expected to be proportional to the funding and resources provided in the contract.</p> <p><i>Please specify activities:</i> Distribution of exposure hazard reduction material through mail-out to all landscaping businesses identified through Yellow Pages, Chamber of Commerce or other advertisement.</p>	<p><i>Indicate timeline for Tier 2 activities:</i></p> <p>At minimum once yearly during the duration of the contract</p>	SHEC	<input type="checkbox"/> Description of lead awareness activities undertaken in relevant businesses and/or governmental agencies. <input checked="" type="checkbox"/> Number of people reached through outreach activities. <input type="checkbox"/> Other (<i>please specify</i>):

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Objective 2-IV: Inform CLPPB of any newly suspected or newly identified sources of childhood lead exposure (other than paint, dust, soil or water), such as specific home remedies and brands of imported foods, etc., so that CLPPB can follow up with State and federal agencies. This refers especially to substances not previously known to contain lead, rather than recognized sources newly identified as associated with a particular child. (Once CLPPB confirms that the source is lead-contaminated, CLPPB will advise all the CLPPPs and provide information to help them address the problem locally, as appropriate. CLPPB will also work with State and federal authorities to eliminate the source.)

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
1. All CLPPPs shall be alert to potential new sources of childhood lead exposure and report any such sources to CLPPB as soon as possible.	Ongoing/ Episodic	SHEC	<input checked="" type="checkbox"/> Reports of sources to CLPPB. <input type="checkbox"/> Other (please specify):
2. Tier 2- The CLPPP is encouraged to consider approaches to identification of other sources of lead exposure and add further activities (approved by CLPPB) to support the objective, as resources allow. (Details are to be provided by the Local Health Jurisdiction.)	<i>Indicate timeline for Tier 2 activities:</i> N/A	N/A	<input type="checkbox"/> Description of approaches to identification of other sources of lead exposure. <input type="checkbox"/> Other (please specify):
<i>Please specify activities:</i>			

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Objective 2-V: Identify and maintain contact with liaisons in other health programs and community groups in the CLPPP's jurisdiction to facilitate information-sharing and potential development of joint outreach and education programs. Other health programs include, in particular, Child Health and Disability Prevention (CHDP), Maternal and Child and Adolescent Health (MCAH), Special Supplemental Nutrition Program for Women, Infants, and Children (WIC), Head Start, and appropriate managed care plans serving low-income children, including those in Healthy Families and Medi-Cal Managed Care.

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>1. Develop and maintain contact file, including the names of liaisons, for all government-assisted health programs in the CLPPP jurisdiction, to include Child Health and Disability Prevention (CHDP), Maternal Child and Health (MCAH), Women, Infants, and Children (WIC), Head Start, and Medi-Cal (including Medi-Cal Managed Care Plans). For example, if the county provides Medi-Cal through a Managed Care organization, identify the Plan's liaison for lead.</p> <p>Collaborate with the liaisons in developing strategies for preventing lead exposure, increasing screening, identifying lead-exposed children, and disseminating information on available government-assisted health care programs.</p> <p>All CLPPPs must indicate at least one activity which will be accomplished. Outreach activities conducted with other health programs to achieve this objective may coincide with those specified in Objectives 2-I and 2-II.</p>	<p>If the CLPPP has not already established such relationships, they shall be established within the first half of fiscal year 2014-15.</p> <p>Ongoing</p> <p>Ongoing</p>	<p>SHEC, PHN</p>	<p><i>For all Objective 2-V deliverables, indicate which items will be completed with a check mark:</i></p> <p><input checked="" type="checkbox"/> Contact file, including names of liaisons for government-assisted health programs in the CLPPP jurisdiction.</p> <p><input type="checkbox"/> Description of collaborative strategies.</p> <p><input type="checkbox"/> Evaluation of collaborative strategies.</p> <p><input type="checkbox"/> Other (<i>please specify</i>):</p>
<p><input checked="" type="checkbox"/> CLPPP will offer to participate in other government-assisted health care program meetings on development of their forms and tools to ensure the inclusion of required lead poisoning anticipatory guidance and screening.</p>	<p>Ongoing</p>	<p>SHEC</p>	<p><input checked="" type="checkbox"/> Participation in government-assisted health care program meetings.</p> <p><input checked="" type="checkbox"/> Agendas, meeting minutes, meeting outcomes.</p> <p><input type="checkbox"/> Other (<i>please specify</i>):</p>

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Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<input type="checkbox"/> CLPPP will inform other programs about services provided, such as compiling a brief annual summary of the care management and outreach activities provided to plan members for the local Medi-Cal Managed Care Plan.			<input type="checkbox"/> Description of outreach to other programs. <input type="checkbox"/> Evaluation of outreach to other programs. <input type="checkbox"/> Other (<i>please specify</i>):
<input type="checkbox"/> Other CLPPP activities (approved by the CLPPB). <i>Please specify</i> :			<input type="checkbox"/> Other (<i>please specify</i>):
<p>2. Tier 2- The CLPPP is to add one or more activity of the type indicated above, or with community groups as in the example below, to support the objective. The number, breadth, and extent of activities are expected to be proportional to the funding and resources provided in the contract. An example of further potential activities is given below:</p> <p><input checked="" type="checkbox"/> Conduct liaison activities with additional groups such Early Start, Black Infant Health, and other groups in the jurisdiction that conduct health-related outreach and education and/or improve access to health care.</p> <p>Activities are to be approved by CLPPB. (<i>Details of the activities are to be specified by the Local Health Jurisdiction</i>).</p> <p>Other activities (approved by CLPPB). <i>Please specify</i>:</p>	<p><i>Indicate timeline for Tier 2 activities:</i></p> <p>Annual each year of the contract</p>	<p>SHEC, PHN</p>	<input type="checkbox"/> Description of collaborative strategies. <input type="checkbox"/> Evaluation of collaborative strategies. <input checked="" type="checkbox"/> Description of outreach to other programs. <input type="checkbox"/> Evaluation of outreach to other programs <input checked="" type="checkbox"/> Other (<i>please specify</i>): Contact/activity log will be maintained with data available for mid-year and annual report inclusion

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<p>d. In the event that the CLPPP receives a referral from CLPPB regarding a family at risk from take-home exposure, the Local Health Jurisdiction will contact the family to advise of the need for screening.</p>		PHN	<p>CLPPP will submit: (All must be checked except other).</p> <p><input checked="" type="checkbox"/> Case management information</p> <p><input checked="" type="checkbox"/> Status reports</p> <p><input type="checkbox"/> Other (please specify):</p>
<p><input checked="" type="checkbox"/> Other CLPPP activities (approved by the CLPPB). <i>Please specify:</i> Targeted culturally relevant educational presentation specific to the Latino community utilizing communal gathering places and/or specific community service agencies.</p>	At minimum once yearly during the duration of the contract	SHEC	
<p>2. Tier 2- The CLPPP is encouraged to add other, additional activities to support the objective, as resources allow. The number, breadth, and extent of activities are expected to be proportional to the funding and resources provided in the contract. Examples are given below. Activities are to be approved by CLPPB. <i>(Details are to be specified by the Local Health Jurisdiction.)</i></p> <p><i>Indicate which activities will be completed with a check mark:</i></p> <p><input type="checkbox"/> Engage local community-based and ethnic organizations to assist in outreach to providers and at-risk communities.</p> <p><input type="checkbox"/> Identify high-risk communities or neighborhoods in which to focus the strategies.</p> <p><input type="checkbox"/> Improve access and remove barriers to screening by building finger stick testing capacity, setting up (with prior CLPPB approval) screening sites that are alternatives to existing clinical sites, etc.</p> <p><input type="checkbox"/> Other CLPPP activities (approved by the CLPPB). <i>Please specify:</i></p>	N/A	N/A	<p><i>Indicate which items will be completed with a check Mark:</i></p> <p><input type="checkbox"/> Description of outreach to local community-based and ethnic organizations</p> <p><input type="checkbox"/> Evaluation of outreach (e.g., pre/post-tests)</p> <p><input type="checkbox"/> Plan for improving finger stick testing capacity</p> <p><input type="checkbox"/> Other (please specify):</p>

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Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p><i>Environmental Professionals, June 26, 2012, and updates;</i> iv. Lead Poisoning Follow-Up Form; v. Lead Test Kit Fact Sheet, Appendix 8 of the June 26, 2012 <i>Guidance Manual for Environmental Professionals</i> (use of test kits such as Lead Check Swabs by CLPPP staff); and vi. The <i>CLPPB Surveillance and Data Management Manual</i>.</p>			
<p>c. Assure that when notified of a lead-exposed child whose blood lead levels meet "State case" definition, or of a child with an elevated blood lead level requiring follow-up to confirm the child's "State case" status, the primary care provider following the child is contacted about the lead level, knows of the services being provided by the CLPPP, is informed of resources for medical follow-up and treatment as indicated, including a Public Health Nurse Home Visit and an Environmental Investigation By an Environmental Professional. Assure that the primary care provider recommends an environmental investigation if these services will be provided through the Medi-Cal Early and Periodic Screening, Diagnosis and Treatment (EPSDT) program.</p>	Ongoing	PHN	<input checked="" type="checkbox"/> CLPPP will maintain and submit when requested, documentation of contact with Primary Care Provider (e.g., chart notes, email).

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d. Assure that when notified of a lead-exposed child with a single blood lead level of 15-19 mcg/dL, or of a child with a blood lead level that would meet any updated State case definition if persistent, that these children receive appropriate follow-up blood lead testing.	Ongoing	PHN	
e. Assure that children meeting CLPPB State case criteria receive appropriate case management as delineated in the <i>PHN Manual</i> and updates including an environmental investigation and follow-up.	Ongoing	PHN	
f. Assure that children meeting case criteria whose blood lead levels do not decline as expected receive appropriate follow-up including repeat home visits and targeted environmental investigations including secondary addresses if indicated.	Ongoing	PHN	
g. Assure that children with increased blood lead levels, but not meeting the criteria for full case management, receive appropriate services as delineated in CLPPB Program Letters.	Ongoing	PHN, SHEC	
h. Assure that the CLPPB is notified if a child is found to have been designated as a "State case" in error or on follow up, does not achieve "State case" status.	Ongoing	SHEC	

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Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
i. Assure that CLPPB is notified if a child identified as a "case," or with a single blood lead level of 15-19 mcg/dL, or with a single blood lead level that would meet any updated State case definition if persistent, has been designated in error as residing in your jurisdiction but actually lives elsewhere or has moved, before case management or follow up is started.	Ongoing	PHN	
j. Assure that when a lead-poisoned child moves out of the jurisdiction, case management is coordinated with other CLPPPs as described in the <i>PHN Manual and the Guidance Manual for Environmental Professionals</i> .	Ongoing	PHN, SHEC	
k. Assure that follow up information is provided to the primary care physician on case management and status.	Ongoing	PHN, SHEC	
l. Submit Follow-up Forms and related documentation to CLPPB in a timely fashion, as specified in the <i>PHN Manual</i> and in CLPPB Program Letters. CLPPPs with write capability are to enter case data into RASSCLE II as per objective 6-l.	Ongoing	PHN, SHEC	
m. Assure that if there are significant changes in the status of a case, that an updated Lead Poisoning Follow-Up Form is submitted to the CLPPB in a timely manner. Significant changes, for example, include chelation, updated source information and clearance inspections by EPs.	Ongoing	PHN	

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Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
n. Assure that if the child is eligible for, but does not receive services through a government-assisted health care or nutrition program [Medi-Cal, Child Health and Disability Prevention (CHDP), Healthy Families or local plan, and Women, Infants, and Children (WIC)], or an early childhood development program, such as Head Start or Early Head Start, the family is advised of the availability of such services.		PHN	
o. Assure that a lead-poisoning case is referred to California Children's Services for determination of eligibility and medical case management, as appropriate.	Ongoing	PHN	
p. Assure that if take-home lead exposure is suspected as the source of the child's elevated blood lead level, the PHN will contact the California Occupational Lead Poisoning Prevention Program, as per the <i>PHN Manual</i> .	Ongoing	PHN	
q. Review and reconcile the list from the State database of open and closed lead poisoning cases for the Local Health Jurisdiction as requested.	Ongoing	SHEC	
r. If the applicant is or applies to be a participant in the X-Ray Fluorescent (XRF) Instrument Loan Program, the applicant shall participate fully in that program, as specified in CLPPB Program Letters and the <i>Guidance Manual for Environmental Professionals June 26, 2012</i> and updates, including monthly submittal of XRF printouts for quality assurance.	N/A	N/A	<input type="checkbox"/> Monthly submission by each Environmental Professional of XRF Print-out Form, EI/Clearance, or office practice if no fieldwork was done. <input type="checkbox"/> Conduct routine maintenance, resourcing, and assure bi-annual leak testing of each XRF instrument.

Exhibit A
Scope of Work

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
s. The CLPPP is encouraged to partner with non-governmental organizations (such as community groups) to enhance education on lead and prevent further lead exposure.	Ongoing	PHN	<input type="checkbox"/> Description of partnerships with non- governmental groups <input checked="" type="checkbox"/> Meeting agenda and minutes <input checked="" type="checkbox"/> Training materials <input checked="" type="checkbox"/> Attendance sheets <input type="checkbox"/> Other (<i>please specify</i>):
t. Case records shall be retained and handled according to CLPPB requirements, including those set forth in program letters and the contract, and the CLPPB Surveillance and Data Manual.	Ongoing	SHEC	
u. The Local Health Jurisdiction is encouraged to add additional activities to support this objective for other lead-exposed children, as resources allow.	N/A	N/A	
Specify other CLPPP activities (approved by the CLPPB).			

Exhibit A
Scope of Work

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>2. Tier 2- The Local Health Jurisdiction is encouraged to add additional activities to support the objective, as resources allow. The number, and extent of activities are expected to be proportional to the funding and resources provided under the contract. An example of such an activity is given below.</p> <p><input type="checkbox"/> When notified about a child with an Increased blood lead level that does not meet state blood lead criteria for required public health nursing and environmental services as described above, services may be provided as resources allow, to assure appropriate follow-up and prevent further rise in blood lead levels. These measures might include outreach and education materials sent to the family or health care provider, or public health and/or environmental interventions, or other activities.</p> <p>Specify other CLPPP activities (approved by the CLPPB).</p>	<p><i>Indicate timeline for Tier 2 activities</i></p> <p>N/A</p>	<p>N/A</p>	<p><input type="checkbox"/> Outreach plan to address children with increased blood lead levels that do not meet the State case definition.</p> <p><input type="checkbox"/> Education materials for family and/or health providers of children with increased blood lead levels that do not meet the State case definition.</p> <p><input type="checkbox"/> Evaluation of strategies to address children with increased blood lead levels that do not meet the State case definition.</p> <p><input type="checkbox"/> Other (<i>please specify</i>):</p>

Exhibit A
Scope of Work

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>sources of exposure are reduced or eliminated and that the address has achieved clearance. Lead hazards to be eliminated and procedures to be followed are as described in:</p> <ul style="list-style-type: none"> i. Title 17, Section 35001 <i>et seq.</i> (Accreditation, Certification, and Work Practice Practices for Lead-Based Paint and Lead Hazards); ii. Childhood Lead Poisoning Prevention Branch, <i>Guidance Manual for Environmental Professionals, June 26, 2012</i>, and updates. 			
<p>b. Track the following regarding state case-related properties for submittal in the bi-annual CLPPP progress report: number of properties with identified lead hazards, number of property owner lead hazard notification letters and other correspondence, number of properties currently open for follow-up and the number of calls/e-mails and visits to open properties, and number of properties achieving clearance.</p>	Ongoing	SHEC	<input type="checkbox"/> Documentation of all correspondence to property owners. <input checked="" type="checkbox"/> Semi-annual list of cleared inspections.
<p>c. When a property owner fails to comply with lead hazard reduction or elimination, the EP will contact local enforcement agencies and take other steps to secure enforcement.</p>	Ongoing	EH	<input checked="" type="checkbox"/> Documentation of follow-up steps with local enforcement agency.
<p>d. The Local Health Jurisdiction is encouraged to add further activities to support this objective, and to enhance collaboration with other groups and agencies in achieving this objective, as resources allow. Examples of such</p>	N/A	N/A	N/A

Exhibit A
Scope of Work

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<input type="checkbox"/> Other activities suggested by the CLPPP (and approved by CLPPB). Please specify: b. Outreach and education activities carried out in support of this objective may coincide with Tier 2 activities specified in Objective 2-III. c. The number, breadth, and extent of activities are expected to be proportional to the funding and resources provided in the contract.			

OPTIONAL FUNDING

Local Health Jurisdictions wishing to apply for optional funding for additional activities to achieve elimination of lead hazards need to submit work plan activities for the scope of work listed under Objectives 5-II and 5-III.

Note: The optional funds provided for Objectives 5-II and 5-III are to be allocated into the Primary contract portion of the CLPPP budget or expended as a subcontract with other government entities with prior CDPH approval.

Those not wishing to apply for this funding should proceed to Goal 6.

Objective 5-II: Develop and implement activities designed to prevent lead-exposed children and at-risk children from exposure to lead hazards.

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
All CLPPPs must conduct the following activities under Objective 5-II 1. Protect children with known blood levels that show lead exposure from additional exposure to environmental lead hazards by: carrying	N/A	N/A	<i>For all activities under this objective, CLPPP will submit:</i> <input type="checkbox"/> Relevant page of CLPPB Lead Poisoning Follow-up Form for addresses achieving clearance,

Exhibit A
Scope of Work

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>out lead hazard evaluations (including clearance, EI, risk assessment, and other activities); ensuring proper lead abatement procedures and clearance of hazards; and verifying that abatement workers are conducting activities as required by California Code of Regulations, Title 17.</p> <p>a. Efforts may focus on specific high-risk population groups and/or geographic areas.</p> <p>b. If not already identified in the contract work plan, within six months from the start of the contract, submit a plan to CLPPB as to which children will be addressed, identifying:</p> <p>i. Range of blood lead levels;</p> <p>ii. population group(s) and/or geographic area(s).</p>			<p>attached to appropriate Progress Report.</p> <p><input type="checkbox"/> Biannual Progress Reports</p> <p><input type="checkbox"/> Description of children to be addressed by lead hazard reduction activities.</p>
<p>2. Implement a program to reduce the opportunity for children being exposed to environmental lead hazards, by investigating locations where children are being exposed or have been exposed in the past, and responding as necessary with appropriate enforcement actions.</p> <p>a. Efforts may focus on a specific high-risk geographic area or areas of concern:</p> <p>b. If not already identified in the contract work plan, within six months from the start of the contract, submit a plan to CLPPB as to which geographic area(s) will be addressed or other criteria that will be used to determine the sites of these investigations.</p>			<p><input type="checkbox"/> Summary of steps taken to reduce childhood lead exposure, attached to the Biannual Progress Report.</p>

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Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>3. Implement a program to reduce the opportunity for children being further exposed or at-risk children being exposed to environmental lead hazards by investigating tips and complaints about lead hazards, and by identifying lead hazards in pre-1978 dwellings and public buildings and their surroundings that are exposing children to lead, responding to each as necessary with appropriate enforcement actions.</p> <p>a. Efforts may focus on a specific high-risk geographic area or areas.</p> <p>b. If not already identified in the contract work plan, by six months from the start of the contract, submit a plan to CLPPB as to which geographic area(s) will be addressed or other criteria that will be used to determine the sites of these investigations.</p>			<p><input type="checkbox"/> Plan for conducting investigations in identified high-risk geographic areas.</p> <p><input type="checkbox"/> Documentation of identified high-risk geographic areas.</p>

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Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>4. Develop a written progressive enforcement procedure and submit it to CLPPB with the first CLPPP progress report. Progressive enforcement activities would include, for example, a letter to the property owner, followed by a Notice of Violation, an administrative hearing, and then an order to abate.</p> <p>a. In the absence of clearance of hazards using the above remedies, a system will be required to be in place to resolve the lead hazards, using the provisions of the State Housing Law, or local ordinances.</p> <p>b. The CLPPP is required to develop criteria for a property follow-up schedule, with a time line for referral to the County District Attorney for properties found to be non-compliant with the above-described enforcement actions.</p> <p>c. In counties where a large number of cases occur in a specific jurisdiction (high-risk area), in which the county plans to focus efforts but where the county lacks authority for legal resolution of State Housing Law cases, the county should enter into an agreement with that jurisdiction to allow for abatement and enforcement of lead hazards.</p>			<input type="checkbox"/> Progressive Enforcement Procedure
<p>5. As resources allow, assure that interventions (including lead abatement activities) carried out to prevent lead hazards and exposing at-risk children to lead are conducted as required by Title 17, California Code of Regulations Section 35001 et seq.</p>			

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Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
6. The breadth and extent of activities planned and carried out for items 1, 2, 3, 4, and 5 in this objective are expected to be proportional to the amount of optional funding and resources provided.			<p><i>Indicate which activities are to be completed with a checkmark:</i></p> <p><input type="checkbox"/> Pre/post tests</p> <p><input type="checkbox"/> Supplemental education materials supplied to enforcement staff as needed.</p> <p><input type="checkbox"/> Other <i>(please specify)</i>:</p>
7. Information on activities carried out under this objective; specific populations, areas and properties targeted; and hazards eliminated, is to be submitted with each bi-annual CLPPP progress report.			<p><input type="checkbox"/> CLPPP Progress Reports</p> <p><input type="checkbox"/> List of targeted areas and hazards eliminated.</p>
8. Local Health Jurisdictions are encouraged to evaluate and modify activities that support the objective, with approval from CLPPB			

Exhibit A
Scope of Work

Objective 5-III: Increase collaboration with local building departments, housing departments, code enforcement groups, environmental agencies and other groups to see that lead hazards are properly identified and eliminated.

<p>All CLPPPs must conduct the following activities under Objective 5-III</p> <p>1. Develop collaboration and partnerships with investigation and enforcement agencies (i.e., city and/or county building departments, housing departments, code enforcement agencies and environmental agencies), particularly those in specific jurisdictions that are identified as high risk for lead hazards. These would include:</p> <p>a. Development and implementation of programs for training of investigation and enforcement agency personnel on identifying and appropriate correction of lead hazards, as indicated for your jurisdiction.</p> <p>b. Fiscal support for training if needed, and as resources allow.</p> <p>c. Development and implementation of other activities specified by the CLPPP (and approved by CLPPB). <i>(Details of the activities are to be specified by the Local Health Jurisdiction.)</i></p>	<p>N/A</p>	<p>N/A</p>	<p><i>For all activities under this objective CLPPP will submit:</i></p> <p><input type="checkbox"/> Meeting agendas and minutes</p> <p><input type="checkbox"/> Copies of response policy (e.g., personnel roles and responsibilities, enforcement)</p> <p><input type="checkbox"/> Documentation of training</p> <p><input type="checkbox"/> Biannual Progress Reports</p> <p><input type="checkbox"/> Other <i>(please specify):</i></p> <p><i>CLPPP must submit all of the following:</i></p> <p><input type="checkbox"/> List of enforcement agency contacts</p> <p><input type="checkbox"/> Description of collaborations with enforcement agencies.</p> <p><input type="checkbox"/> Other <i>(please specify):</i></p>
<p>2. Develop and implement interagency referral, reporting procedures, and cooperation with investigation and enforcement agency partners.</p> <p>a. Include activities such as responding to practices that create lead hazards by implementing lead hazard compliance and enforcement procedures.</p> <p>b. Delineate roles and responsibilities.</p>			<p><input type="checkbox"/> Interagency referral procedures</p> <p><input type="checkbox"/> Interagency reporting procedures</p> <p><input type="checkbox"/> List of delineated roles and responsibilities</p> <p><input type="checkbox"/> Compliance and enforcement procedures</p> <p><input type="checkbox"/> Other <i>(please specify):</i></p>

Exhibit A
Scope of Work

<p>3. Develop an enforcement response policy, including the roles and responsibilities of partnering enforcement agencies. a. Submit this policy to the CLPPB, by the end of the first year of this contract.</p>			<p><input type="checkbox"/> Enforcement policy <input type="checkbox"/> Other (<i>please specify</i>):</p>
<p>The following 2 activities are optional. Please indicate with a check mark if you choose to conduct them. 4. <input type="checkbox"/> As resource allow, assess the effectiveness of local government laws, ordinances, housing codes, and enforcement structures covering identified lead hazards, and determine if changes are required to ensure children are protected.</p>			<p><input type="checkbox"/> Assessment of local government laws, ordinances, housing codes and enforcement structures covering identified lead hazards. <input type="checkbox"/> Other (<i>please specify</i>):</p>
<p>5. <input type="checkbox"/> As resources allow, carry out other outreach and education activities with enforcement partners. Examples of such activities are: a. Providing program materials for public distribution on lead hazards to housing and building departments. b. Encouraging building department to incorporate information about lead-safe work practices into their building permit process (such as attaching pamphlets to building permits that educate about lead hazards for housing built before 1978. CLPPP activity c. Ensure building department and permit office to incorporate information about lead-safe work practices into their building permit process by asking clients if the buildings are built before 1978 to prompt staff to attach lead safe work practices brochures and RRP rule brochures to the permits.</p>			<p><input type="checkbox"/> Description of outreach. <input type="checkbox"/> Materials on renovation and remodeling. <input type="checkbox"/> Other (<i>please specify</i>):</p>

Exhibit A
Scope of Work

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>6. Collaboration and partnering with community-based organizations (CBOs) addressing lead hazards is strongly encouraged, as resources allow. Examples of activities are:</p> <ul style="list-style-type: none"> a. Providing up-to-date training and educational material to CBO staff that they can employ in outreach efforts to their communities. b. Helping CBOs identify high-risk areas c. Other activities specified by the CLPPP (and approved by CLPPB). <i>Please Specify activities:</i> <p>7. The breadth and extent of activities planned and carried out for items 1, 2, 4, and 5 in this objective, are expected to be proportional to the supplemental funding and resources provided.</p> <p>8. Information on activities carried out under this objective is to be submitted with each bi-annual CLPPP progress report.</p> <p>9. Local Health Jurisdictions are encouraged to evaluate and modify activities that support the objective, with approval from the CLPPB.</p>			<p>CLPPP must submit all of the following:</p> <ul style="list-style-type: none"> <input type="checkbox"/> List of CBO contacts. <input type="checkbox"/> Description of collaborations with CBOs <input type="checkbox"/> Training and/or educational materials for CBOs <input type="checkbox"/> Other (<i>please specify</i>):

Exhibit A
Scope of Work

Goal 6: Program data will be maintained according to CLPPB security and confidentiality standards and a data system will be in place that will enable the collection, analysis, and dissemination of information on childhood lead exposure that can be used effectively for surveillance, identification of lead-exposed children, management of cases, epidemiology, evaluation, and program planning.

Objective 6-I: Laboratory, case management, and environmental data will be maintained in an electronic database that will allow access to timely and accurate information on individual cases, exposure sources, administrative status, summary statistics, and quality of care indicators.

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>1. All CLPPPs shall utilize RASSCLE II (Response and Surveillance System for Childhood Lead Exposure II), to:</p> <ul style="list-style-type: none"> a. Receive email alerts for new state defined cases, emergency blood lead test results, subsequent blood lead tests for existing cases, and transfers of state-defined cases. The Coordinator shall receive these alerts and coordinate with CLPPB RASSCLE II Administrators to ensure that appropriate CLPPP staff receive the alerts necessary for their assigned activities. b. Monitor medical and environmental information related to cases, including Lead Poisoning Follow-up Form (LPFF) data entered by CLPPB. c. Monitor blood lead tests and follow up information for individuals with increased blood lead levels who have not yet achieved case status. 	<p>Ongoing</p>	<p>SHEC</p>	<p><i>For all activities listed under this objective CLPPP will submit and retain:</i></p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Reports as specified in the CLPPB <i>Surveillance and Data Management Manual.</i> <input checked="" type="checkbox"/> Documentation in case management records as appropriate. <input checked="" type="checkbox"/> Report of RASSCLE II discrepancies <input checked="" type="checkbox"/> Documentation from CLPPP's IT department regarding installation, upgrading, and maintenance of CLPPP information technology systems. <input type="checkbox"/> Other (<i>please specify</i>):

Exhibit A
Scope of Work

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>2. All CLPPPs shall support the quality and security of RASSCLE II data by:</p> <ul style="list-style-type: none"> a. Using the RASSCLE II system in accordance with the CLPPB Surveillance and Data Management Manual. <ul style="list-style-type: none"> i. The Coordinator shall notify CLPPB RASSCLE II Administrators immediately when staff with RASSCLE II access leave the program, and submit requests for new user accounts when additional staff need access to the system. ii. The Coordinator shall include RASSCLE II training, policies, and procedures in CLPPB staff turnover and new employee orientation plans. iii. Report any RASSCLE II data discrepancies immediately to CLPPB. b. Attending CLPPB RASSCLE II web-based and regional meetings. When possible, attendance should comprise a broad spectrum of user types, including PHNs, data management personnel, EPs, epidemiologists, and nutritionists. c. Coordinating with the CLPPP's Information Technology Department or local department that supports CLPPP data functions, to ensure that any CLPPP system on which RASSCLE II is run, conforms to CLPPB technical and security standards. 	<p>Ongoing</p>	<p>SHEC</p>	

Exhibit A
Scope of Work

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>3. CLPPPs inputting into RASSCLE II – Implemented on a mutually agreed upon timeframe and under the consent and direction of CLPPB:</p> <ul style="list-style-type: none"> a. CLPPPs electing to enter selected clinical and/or environmental LPFF data in RASSCLE II shall: <ul style="list-style-type: none"> i. Enter and manage data in RASSCLE II in accordance with the CLPPP RASSCLE Data Entry Manual and adhere to any future changes to these data entry protocols or requirements. ii. Report any technical issues that prevent or hamper complete data entry to CLPPB RASSCLE II Administrators. iii. Attend RASSCLE II data entry and management protocols web-based and regional trainings. Attendance should include all CLPPP data entry personnel. iv. Designate a staff member to serve as the primary point of contact for CLPPB communications regarding data entry issues. b. CLPPPs electing to enter <u>complete</u> clinical and/or environmental LPFF data in RASSCLE II shall: <ul style="list-style-type: none"> i. Fulfill all requirements in Activity 3-a above. ii. Under a mutually agreed upon timeline and with the approval of CLPPB, implement a process to periodically audit the entry of LPFF data into RASSCLE II for accuracy, 	<p>Ongoing</p>	<p>SHEC</p>	

Exhibit A
Scope of Work

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
completeness, and compliance with the CLPPP RASSCLE II Data Entry Manual and all revisions.			

Objective 6-II: Adhere to requirements for data security and confidentiality.

Activities to Support the Objective	Timeline	Staff	Evaluation/Deliverables
<p>1. All CLPPPs must adhere to CLPPB data security and program confidentiality policies and procedures when obtaining, storing, and transmitting protected health information. These policies and procedures are delineated in:</p> <ul style="list-style-type: none"> a. Health and Safety Code, Sections 124130 and 100330. b. CLPPB Surveillance and Data Manual c. Contract attachments d. CLPPB Program Letters e. Other relevant national and state confidentiality provisions, such as the Health Insurance Portability and Accountability Act (HIPAA). 	Ongoing	SHEC	<input checked="" type="checkbox"/> Copies of data security and program confidentiality protocols. <input type="checkbox"/> Other (<i>please specify</i>):

Exhibit B
Budget Detail and Payment Provisions

1. Invoicing and Payment

- A. For services satisfactorily rendered, and upon receipt and approval of the invoices, the State agrees to compensate the Contractor for actual expenditures incurred in accordance with the budget(s) attached hereto.
- B. Invoices shall include the Agreement Number and shall be submitted in triplicate not more frequently than quarterly in arrears to:

Ingrid Galindo
California Department of Public Health
Childhood Lead Poisoning Prevention Branch
850 Marina Bay Parkway,
Bldg. P, 3rd Floor
Richmond, CA. 94804-6403

- C. Invoices shall:
 - 1) Be prepared and submitted in the format determined by the Branch. If invoices are not on produced letterhead invoices must be signed by an authorized official, employee, or agent certifying that the expenditures claimed represent actual expenses for the service performed under this contract.
 - 2) Bear the Contractor's name as shown on the agreement.
 - 3) Identify the billing and/or performance period covered by the invoice.
 - 4) Itemize costs for the billing period in the same or greater level of detail as indicated in this agreement. Subject to the terms of this agreement, reimbursement may only be sought for those costs and/or cost categories expressly identified as allowable in this agreement and approved by CDPH.

2. Budget Contingency Clause

- A. It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event, the State shall have no liability to pay any funds whatsoever to Contractor or to furnish any other considerations under this Agreement and Contractor shall not be obligated to perform any provisions of this Agreement.
- B. If funding for any fiscal year is reduced or deleted by the Budget Act for purposes of this program, the State shall have the option to either cancel this Agreement with no liability occurring to the State, or offer an agreement amendment to Contractor to reflect the reduced amount.

3. Prompt Payment Clause

Payment will be made in accordance with, and within the time specified in, Government Code Chapter 4.5, commencing with Section 927.

Exhibit B
Budget Detail and Payment Provisions

4. Amounts Payable

- A. The amounts payable under this agreement shall not exceed:
- 1) \$20,000 for the budget period of 07/01/14 through 06/30/15
 - 2) \$20,000 for the budget period of 07/01/15 through 06/30/16
 - 3) \$20,000 for the budget period of 07/01/16 through 06/30/17
- B. Reimbursement shall be made for allowable expenses up to the amount annually encumbered commensurate with the state fiscal year in which services are performed and/or goods are received.
- C. The Contractor must maintain records reflecting actual expenditures for each state fiscal year covered by the term of this agreement

5. Timely Submission of Final Invoice

- A. A final undisputed invoice shall be submitted for payment no more than ninety (90) calendar days following the expiration or termination date of this agreement, unless a later or alternate deadline is agreed to in writing by the program contract manager. Said invoice should be clearly marked "Final Invoice", indicating that all payment obligations of the State under this agreement have ceased and that no further payments are due or outstanding. The State may, at its discretion, choose not to honor any delinquent final invoice if the Contractor fails to obtain prior written State approval of an alternate final invoice submission deadline.

6. Expense Allowability / Fiscal Documentation

- A. Invoices, received from the Contractor and accepted for payment by the State, shall not be deemed evidence of allowable agreement costs.
- B. Contractor shall maintain for review and audit and supply to CDPH upon request, adequate documentation of all expenses claimed pursuant to this agreement to permit a determination of expense allowability.
- C. If the allowability of an expense cannot be determined by the State because invoice detail, fiscal records, or backup documentation is nonexistent or inadequate according to generally accepted accounting principles or practices, all questionable costs may be disallowed and payment may be withheld by the State. Upon receipt of adequate documentation supporting a disallowed or questionable expense, reimbursement may resume for the amount substantiated and deemed allowable.
- D. Contractor shall be reimbursed for travel and per diem expenses using the same rates provided to non-represented state employees. Contractor must pay for travel in excess of these rates. Travel expenses not listed cannot be reimbursed. Contractor may obtain current rates at the following web site: <http://www.Calhr.ca.gov>

Travel that not been budgeted in Exhibit B, Budget, shall require prior written authorization from the CDPH Contract Manager. Travel shall be paid from the Contractor's office location where the employees assigned responsibilities for this contract are permanently assigned.

Exhibit B
Budget Detail and Payment Provisions

7. Recovery of Overpayments

- A. Contractor agrees that claims based upon the term of this agreement or an audit finding and/or an audit finding that is appealed and upheld, will be recovered by the State by one of the following options:
- 1) Contractor's remittance to the State of the full amount of the audit exception within 30 days following the State's request for repayment;
 - 2) A repayment schedule which is agreeable to both the State and the Contractor.
- B. The State reserves the right to select which option as indicated above in paragraph A will be employed and the Contractor will be notified by the State in writing of the claim procedure to be utilized.
- C. Interest on the unpaid balance of the audit finding or debt will accrue at a rate equal to the monthly average of the rate received on investments in the Pooled Money Investment Fund commencing on the date that an audit or examination finding is mailed to the Contractor, beginning 30 days after Contractor's receipt of the State's demand for repayment.
- D. If the Contractor has filed a valid appeal regarding the report of audit findings, recovery of the overpayments will be deferred until a final administrative decision on the appeal has been reached. If the Contractor loses the final administrative appeal, Contractor shall repay, to the State, the over-claimed or disallowed expenses, plus accrued interest. Interest accrues from the Contractor's first receipt of State's notice requesting reimbursement of questioned audit costs or disallowed expenses.

8. Allowable Line Item Shifts

- A. Subject to the prior review and approval of the State, line item shifts of up to fifteen percent (15%) of the annual contract total, not to exceed a maximum of one hundred thousand (\$100,000) annually are allowed, so long as the annual agreement total neither increases nor decreases.
- The \$100,000 maximum limit shall be assessed annually and automatically adjusted by the State in accordance with cost-of-living indexes. Said adjustments shall not require a formal agreement amendment. The State shall annually inform the Contractor in writing of the adjusted maximum.
- B. Line item shifts meeting this criteria shall not require a formal agreement amendment.
- C. The Contractor shall adhere to State requirements regarding the process to follow in requesting approval to make line item shifts.
- D. Line item shifts may be proposed/requested by either the State of California or the Contractor, subject to the requirement that such proposals be made in writing.

Exhibit B, Attachment I
Budget
(Year 1)
(07/01/14 through 06/30/15)

Personnel

Position Title	# of Staff	Annual Salary	FTE %	Annual Cost
Supervising Health Education Coordinator (SHEC)	1	\$86,565	8.00%	\$ 6,925
Public Health Nurse (PHN)	1	\$76,960	5.00%	\$ 3,848
Environmental Health (In-kind) (EH) ¹	1	\$0	1.00%	\$ 0
		Total Salary		\$ 10,773
		Fringe Benefits (50.00 %)		\$ 5,387
			Total Personnel	\$ 16,160

Operating Expenses

General Expenses (office supplies \$350, postage \$50, telephone service \$36, equipment lease \$56, information system \$316, utilities \$50)	\$858
Space/Rent	\$0
Equipment, Minor & Electronic Equipment	\$0
Printing (information, promotion, education materials)	\$100
Training Expenses (technical updates and education sessions in the areas of lead toxicology, media outreach techniques, cultural awareness specific to lead product use etc.)	\$200
	Total Operating Expenses \$ 1,158

Travel (At CALHR reimbursement rates)

Required program administrative travel for SHEC and PHN based on County per diem rate. Mileage reimbursement for field investigations and case management activities at .50 cents/mile under Goal 1 and Goal 2 of the Scope of Work.	Total Travel \$ 258
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Other Costs

Tier 3 Funding	\$0
X-Ray Fluorescence Loan Program	\$0
Education Materials	\$0
	Total Other Costs \$ 0

Indirect Costs (15% of Total Personnel)

Indirect Costs \$ 2,424

Annual Budget Total \$ 20,000

¹ Environmental Health – will provide 1% of in-kind services, conducts environmental investigations to lead burdened children (non-Medical and Medi-Cal) meeting case definition, as part of the Childhood Lead Poisoning Prevention Team, also performs administrative activities in support of environmental investigations

Exhibit B, Attachment II
Budget
(Year 2)
(07/01/15 through 06/30/16)

Personnel

Position Title	# of Staff	Annual Salary	FTE %	Annual Cost
Supervising Health Education Coordinator (SHEC)	1	\$86,565	8.00%	\$ 6,925
Public Health Nurse (PHN)	1	\$76,960	5.00%	\$ 3,848
Environmental Health (In-kind) (EH) ¹	1	\$0	1.00%	\$ 0
		Total Salary		\$ 10,773
		Fringe Benefits (50.00 %)		\$ 5,387
				Total Personnel \$ 16,160

Operating Expenses

General Expenses (office supplies \$268, postage \$60, telephone service \$46, equipment lease \$66, information system \$416, utilities \$60)	\$916
Space/Rent	\$0
Equipment, Minor & Electronic Equipment	\$0
Printing (information, promotion, education materials)	\$100
Training Expenses (technical updates and education sessions in the areas of lead toxicology, media outreach techniques, cultural awareness specific to lead product use etc.)	\$200
	Total Operating Expenses \$ 1,216

Travel (At CALHR reimbursement rates)

Required program administrative travel for SHEC and PHN based on County per diem rate. Mileage reimbursement for field investigations and case management activities at .50 cents/mile under Goal 1 and Goal 2 of the Scope of Work.	Total Travel \$ 200
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Other Costs

Tier 3 Funding	\$0
X-Ray Fluorescence Loan Program	\$0
Education Materials	\$0
	Total Other Costs \$ 0

Indirect Costs (15% of Total Personnel)

Indirect Costs \$ 2,424

Annual Budget Total \$ 20,000

¹ Environmental Health – will provide 1% of in-kind services, conducts environmental investigations to lead burdened children (non-Medical and Medi-Cal) meeting case definition, as part of the Childhood Lead Poisoning Prevention Team, also performs administrative activities in support of environmental investigations

Exhibit B, Attachment III
Budget
(Year 3)
(07/01/16 through 06/30/17)

Personnel

Position Title	# of Staff	Annual Salary	FTE %	Annual Cost
Supervising Health Education Coordinator (SHEC)	1	\$86,565	8.00%	\$ 6,925
Public Health Nurse (PHN)	1	\$76,960	5.00%	\$ 3,848
Environmental Health (In-kind) (EH) ¹	1	\$0	1.00%	\$ 0
		Total Salary		\$ 10,773
		Fringe Benefits (50.00 %)		\$ 5,387
			Total Personnel	\$ 16,160

Operating Expenses

General Expenses (office supplies \$228, postage \$70, telephone service \$56, equipment lease \$76, information system \$516, utilities \$70)	\$1,016
Space/Rent	\$0
Equipment, Minor & Electronic Equipment	\$0
Printing (information, promotion, education materials)	\$50
Training Expenses (technical updates and education sessions in the areas of lead toxicology, media outreach techniques, cultural awareness specific to lead product use etc.)	\$150
	Total Operating Expenses \$ 1,216

Travel (At CALHR reimbursement rates)

Total Travel \$ 200

Required program administrative travel for SHEC and PHN based on County per diem rate. Mileage reimbursement for field investigations and case management activities at .50 cents/mile under Goal 1 and Goal 2 of the Scope of Work.

Other Costs

Tier 3 Funding	\$0
X-Ray Fluorescence Loan Program	\$0
Education Materials	\$0
	Total Other Costs \$ 0

Indirect Costs (15% of Total Personnel)

Indirect Costs \$ 2,424

Annual Budget Total \$ 20,000

¹ Environmental Health – will provide 1% of in-kind services, conducts environmental investigations to lead burdened children (non-Medical and Medi-Cal) meeting case definition, as part of the Childhood Lead Poisoning Prevention Team, also performs administrative activities in support of environmental investigations

Special Terms and Conditions

(For State funded subvention, local assistance and direct service contracts and grant agreements)

The use of headings or titles throughout this exhibit is for convenience only and shall not be used to interpret or govern the meaning of any specific term or condition.

The terms "contract", "Contractor" and "Subcontractor" shall also mean, "agreement", "grant", "grant agreement", "Grantee" and "Subgrantee" respectively.

The terms "California Department of Public Health" and "CDPH" shall have the same meaning and refer to the California State agency that is a party to this Agreement.

This exhibit contains provisions that require strict adherence to various contracting laws and policies. Some provisions herein are conditional and only apply if specified conditions exist (i.e., agreement total exceeds a certain amount, a specified condition applies, etc.). The provisions herein apply to this Agreement unless the provisions are removed by reference on the face of the Agreement, the provisions are superseded by an alternate provision appearing elsewhere in the Agreement, or the applicable conditions do not exist.

Index of Special Terms and Conditions

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1. Travel and Per Diem Reimbursement

(Applicable if travel and/or per diem expenses are reimbursed with contract funds.)

Reimbursement for travel and per diem expenses from the California Department of Public Health (CDPH) under this Agreement shall, unless otherwise specified in this Agreement, be at the rates currently in effect, as established by the California Department of Personnel Administration (DPA), for nonrepresented state employees as stipulated in CDPH's Travel Reimbursement Information Exhibit. If the DPA rates change during the term of the Agreement, the new rates shall apply upon their effective date and no amendment to this Agreement shall be necessary. Exceptions to DPA rates may be approved by CDPH upon the submission of a statement by the Contractor indicating that such rates are not available to the Contractor. No travel outside the State of California shall be reimbursed without prior authorization from CDPH. Verbal authorization should be confirmed in writing. Written authorization may be in a form including fax or email confirmation.

2. Procurement Rules

(Applicable to all agreements in which equipment, property, commodities and/or supplies are furnished by CDPH or expenses for said items are reimbursed with state funds.)

a. Equipment definitions

Wherever the term equipment and/or property is used, the following definitions shall apply:

- (1) **Major equipment:** A tangible or intangible item having a base unit cost of \$5,000 or more with a life expectancy of one (1) year or more and is either furnished by CDPH or the cost is reimbursed through this Agreement. Software and videos are examples of intangible items that meet this definition.
- (2) **Minor equipment/property:** A tangible item having a base unit cost of less than \$5,000 with a life expectancy of one (1) year or more and is either furnished by CDPH or the cost is reimbursed through this Agreement.

b. **Government and public entities** (including state colleges/universities and auxiliary organizations), whether acting as a contractor and/or subcontractor, may secure all commodities, supplies, equipment and services related to such purchases that are required in performance of this Agreement. Said procurements are subject to Paragraphs d through h of Provision 2. Paragraph c of Provision 2 shall also apply, if equipment purchases are delegated to subcontractors that are nonprofit organizations or commercial businesses.

c. **Nonprofit organizations and commercial businesses**, whether acting as a contractor and/or subcontractor, may secure commodities, supplies, equipment and services related to such purchases for performance under this Agreement.

- (1) Equipment purchases shall not exceed \$50,000 annually.

To secure equipment above the annual maximum limit of \$50,000, the Contractor shall make arrangements through the appropriate CDPH Program Contract Manager, to have all remaining equipment purchased through CDPH's Purchasing Unit. The cost of equipment purchased by or through CDPH shall be deducted from the funds available in this Agreement. Contractor shall submit to the CDPH Program Contract Manager a list of equipment specifications for those items that the State must procure. The State may pay the vendor directly for such arranged equipment purchases and title to the equipment will remain with CDPH. The equipment will be delivered to the Contractor's address, as stated on the face of the Agreement, unless the Contractor notifies the CDPH Program Contract Manager, in writing, of an alternate delivery address.

- (2) All equipment purchases are subject to Paragraphs d through h of Provision 2. Paragraph b of Provision 2 shall also apply, if equipment purchases are delegated to subcontractors that are either a government or public entity.

- (3) Nonprofit organizations and commercial businesses, shall use a procurement system that meets the following standards:
 - (a) Maintain a code or standard of conduct that shall govern the performance of its officers, employees, or agents engaged in awarding procurement contracts. No employee, officer, or agent shall participate in the selection, award, or administration of a procurement contract in which, to his or her knowledge, he or she has a financial interest.
 - (b) Procurements shall be conducted in a manner that provides, to the maximum extent practical, open, and free competition.
 - (c) Procurements shall be conducted in a manner that provides for all of the following:
 - [1] Avoid purchasing unnecessary or duplicate items.
 - [2] Equipment solicitations shall be based upon a clear and accurate description of the technical requirements of the goods to be procured.
 - [3] Take positive steps to utilize small and veteran owned businesses.
- d. Unless waived or otherwise stipulated in writing by CDPH, prior written authorization from the appropriate CDPH Program Contract Manager will be required before the Contractor will be reimbursed for any purchase of \$5,000 or more for commodities, supplies, equipment, and services related to such purchases. The Contractor must provide in its request for authorization all particulars necessary, as specified by CDPH, for evaluating the necessity or desirability of incurring such costs. The term "purchase" excludes the purchase of services from a subcontractor and public utility services at rates established for uniform applicability to the general public.
- e. In special circumstances, determined by CDPH (e.g., when CDPH has a need to monitor certain purchases, etc.), CDPH may require prior written authorization and/or the submission of paid vendor receipts for any purchase, regardless of dollar amount. CDPH reserves the right to either deny claims for reimbursement or to request repayment for any Contractor and/or subcontractor purchase that CDPH determines to be unnecessary in carrying out performance under this Agreement.
- f. The Contractor and/or subcontractor must maintain a copy or narrative description of the procurement system, guidelines, rules, or regulations that will be used to make purchases under this Agreement. The State reserves the right to request a copy of these documents and to inspect the purchasing practices of the Contractor and/or subcontractor at any time.
- g. For all purchases, the Contractor and/or subcontractor must maintain copies of all paid vendor invoices, documents, bids and other information used in vendor selection, for inspection or audit. Justifications supporting the absence of bidding (i.e., sole source purchases) shall also be maintained on file by the Contractor and/or subcontractor for inspection or audit.
- h. CDPH may, with cause (e.g., with reasonable suspicion of unnecessary purchases or use of inappropriate purchase practices, etc.), withhold, cancel, modify, or retract the delegated purchase authority granted under Paragraphs b and/or c of Provision 2 by giving the Contractor no less than 30 calendar days written notice.

3. Equipment Ownership / Inventory / Disposition

(Applicable to agreements in which equipment and/or property is furnished by CDPH and/or when said items are purchased or reimbursed with state funds.)

- a. Wherever the terms equipment and/or property are used in Provision 3, the definitions in Provision 2, Paragraph a, shall apply.

Unless otherwise stipulated in this Agreement, all equipment and/or property that are purchased/reimbursed with agreement funds or furnished by CDPH under the terms of this Agreement shall be considered state equipment and the property of CDPH.

- (1) CDPH requires the reporting, tagging and annual inventorying of all equipment and/or property that is furnished by CDPH or purchased/reimbursed with funds provided through this Agreement.

Upon receipt of equipment and/or property, the Contractor shall report the receipt to the CDPH Program Contract Manager. To report the receipt of said items and to receive property tags, the Contractor shall use a form or format designated by CDPH's Asset Management Unit. If the appropriate form (i.e., Contractor Equipment Purchased with CDPH Funds) does not accompany this Agreement, Contractor shall request a copy from the CDPH Program Contract Manager.

- (2) If the Contractor enters into an agreement with a term of more than twelve months, the Contractor shall submit an annual inventory of state equipment and/or property to the CDPH Program Contract Manager using a form or format designated by CDPH's Asset Management Unit. If an inventory report form (i.e., Inventory/Disposition of CDPH-Funded Equipment) does not accompany this Agreement, Contractor shall request a copy from the CDPH Program Contract Manager. Contractor shall:
 - (a) Include in the inventory report, equipment and/or property in the Contractor's possession and/or in the possession of a subcontractor (including independent consultants).
 - (b) Submit the inventory report to CDPH according to the instructions appearing on the form or issued by the CDPH Program Contract Manager.
 - (c) Contact the CDPH Program Contract Manager to learn how to remove, trade-in, sell, transfer or survey off, from the inventory report, expired equipment and/or property that is no longer wanted, usable or has passed its life expectancy. Instructions will be supplied by CDPH's Asset Management Unit.
- b. Title to state equipment and/or property shall not be affected by its incorporation or attachment to any property not owned by the State.
- c. Unless otherwise stipulated, CDPH shall be under no obligation to pay the cost of restoration, or rehabilitation of the Contractor's and/or Subcontractor's facility which may be affected by the removal of any state equipment and/or property.
- d. The Contractor and/or Subcontractor shall maintain and administer a sound business program for ensuring the proper use, maintenance, repair, protection, insurance and preservation of state equipment and/or property.
 - (1) In administering this provision, CDPH may require the Contractor and/or Subcontractor to repair or replace, to CDPH's satisfaction, any damaged, lost or stolen state equipment and/or property. In the event of state equipment and/or property theft, Contractor and/or Subcontractor shall immediately file a theft report with the appropriate police agency or the California Highway Patrol and Contractor shall promptly submit one copy of the theft report to the CDPH Program Contract Manager.
- e. Unless otherwise stipulated by the program funding this Agreement, equipment and/or property purchased/reimbursed with agreement funds or furnished by CDPH under the terms of this Agreement, shall only be used for performance of this Agreement or another CDPH agreement.
- f. Within sixty (60) calendar days prior to the termination or end of this Agreement, the Contractor shall provide a final inventory report of equipment and/or property to the CDPH Program Contract Manager and shall, at that time, query CDPH as to the requirements, including the manner and method, of returning state equipment and/or property to CDPH. Final disposition of equipment and/or property shall be at CDPH expense and according to CDPH instructions. Equipment and/or property disposition instructions shall be issued by CDPH immediately after receipt of the

final inventory report. At the termination or conclusion of this Agreement, CDPH may at its discretion, authorize the continued use of state equipment and/or property for performance of work under a different CDPH agreement.

g. Motor Vehicles

(Applicable only if motor vehicles are purchased/reimbursed with agreement funds or furnished by CDPH under this Agreement.)

- (1) If motor vehicles are purchased/reimbursed with agreement funds or furnished by CDPH under the terms of this Agreement, within thirty (30) calendar days prior to the termination or end of this Agreement, the Contractor and/or Subcontractor shall return such vehicles to CDPH and shall deliver all necessary documents of title or registration to enable the proper transfer of a marketable title to CDPH.
- (2) If motor vehicles are purchased/reimbursed with agreement funds or furnished by CDPH under the terms of this Agreement, the State of California shall be the legal owner of said motor vehicles and the Contractor shall be the registered owner. The Contractor and/or a subcontractor may only use said vehicles for performance and under the terms of this Agreement.
- (3) The Contractor and/or Subcontractor agree that all operators of motor vehicles, purchased/reimbursed with agreement funds or furnished by CDPH under the terms of this Agreement, shall hold a valid State of California driver's license. In the event that ten or more passengers are to be transported in any one vehicle, the operator shall also hold a State of California Class B driver's license.
- (4) If any motor vehicle is purchased/reimbursed with agreement funds or furnished by CDPH under the terms of this Agreement, the Contractor and/or Subcontractor, as applicable, shall provide, maintain, and certify that, at a minimum, the following type and amount of automobile liability insurance is in effect during the term of this Agreement or any period of contract extension during which any vehicle remains in the Contractor's and/or Subcontractor's possession:

Automobile Liability Insurance

- (a) The Contractor, by signing this Agreement, hereby certifies that it possesses or will obtain automobile liability insurance in the amount of \$1,000,000 per occurrence for bodily injury and property damage combined. Said insurance must be obtained and made effective upon the delivery date of any motor vehicle, purchased/reimbursed with agreement funds or furnished by CDPH under the terms of this Agreement, to the Contractor and/or Subcontractor.
- (b) The Contractor and/or Subcontractor shall, as soon as practical, furnish a copy of the certificate of insurance to the CDPH Program Contract Manager. The certificate of insurance shall identify the CDPH contract or agreement number for which the insurance applies.
- (c) The Contractor and/or Subcontractor agree that bodily injury and property damage liability insurance, as required herein, shall remain in effect at all times during the term of this Agreement or until such time as the motor vehicle is returned to CDPH.
- (d) The Contractor and/or Subcontractor agree to provide, at least thirty (30) days prior to the expiration date of said insurance coverage, a copy of a new certificate of insurance evidencing continued coverage, as indicated herein, for not less than the remainder of the term of this Agreement, the term of any extension or continuation thereof, or for a period of not less than one (1) year.
- (e) The Contractor and/or Subcontractor, if not a self-insured government and/or public entity, must provide evidence, that any required certificates of insurance contain the

following provisions:

- [1] The insurer will not cancel the insured's coverage without giving thirty (30) calendar days prior written notice to the State (California Department of Public Health).
 - [2] The State of California, its officers, agents, employees, and servants are included as additional insureds, but only with respect to work performed for the State under this Agreement and any extension or continuation of this Agreement.
 - [3] The insurance carrier shall notify the California Department of Public Health (CDPH), in writing, of the Contractor's failure to pay premiums; its cancellation of such policies; or any other substantial change, including, but not limited to, the status, coverage, or scope of the required insurance. Such notices shall contain a reference to each agreement number for which the insurance was obtained.
- (f) The Contractor and/or Subcontractor is hereby advised that copies of certificates of insurance may be subject to review and approval by the Department of General Services (DGS), Office of Risk and Insurance Management. The Contractor shall be notified by CDPH, in writing, if this provision is applicable to this Agreement. If DGS approval of the certificate of insurance is required, the Contractor agrees that no work or services shall be performed prior to obtaining said approval.
- (g) In the event the Contractor and/or Subcontractor fails to keep insurance coverage, as required herein, in effect at all times during vehicle possession, CDPH may, in addition to any other remedies it may have, terminate this Agreement upon the occurrence of such event.

4. Subcontract Requirements

(Applicable to agreements under which services are to be performed by subcontractors including independent consultants.)

- a. Prior written authorization will be required before the Contractor enters into or is reimbursed for any subcontract for services costing \$5,000 or more. Except as indicated in Paragraph a(3) herein, when securing subcontracts for services exceeding \$5,000, the Contractor shall obtain at least three bids or justify a sole source award.
- (1) The Contractor must provide in its request for authorization, all information necessary for evaluating the necessity or desirability of incurring such cost.
 - (2) The State may identify the information needed to fulfill this requirement.
 - (3) Subcontracts performed by the following entities or for the service types listed below are exempt from the bidding and sole source justification requirements:
 - (a) A local governmental entity or the federal government,
 - (b) A State college or university from any State,
 - (c) A Joint Powers Authority,
 - (d) An auxiliary organization of a California State University or a California community college,
 - (e) A foundation organized to support the Board of Governors of the California Community Colleges,
 - (f) An auxiliary organization of the Student Aid Commission established under Education Code § 69522,
 - (g) Entities of any type that will provide subvention aid or direct services to the public,
 - (h) Entities and/or service types identified as exempt from advertising in State Contracting Manual 5.80. View this publication at the following Internet address:

<http://www.ols.dgs.ca.gov/Contract+Manual/Chapters4through6.htm>.

- b. CDPH reserves the right to approve or disapprove the selection of subcontractors and with advance written notice, require the substitution of subcontractors and require the Contractor to terminate subcontracts entered into in support of this Agreement.
 - (1) Upon receipt of a written notice from CDPH requiring the substitution and/or termination of a subcontract, the Contractor shall take steps to ensure the completion of any work in progress and select a replacement, if applicable, within 30 calendar days, unless a longer period is agreed to by CDPH.
- c. Actual subcontracts (i.e., written agreement between the Contractor and a subcontractor) of \$5,000 or more are subject to the prior review and written approval of CDPH. CDPH may, at its discretion, elect to waive this right. All such waivers shall be confirmed in writing by CDPH.
- d. Contractor shall maintain a copy of each subcontract entered into in support of this Agreement and shall, upon request by CDPH, make copies available for approval, inspection, or audit.
- e. CDPH assumes no responsibility for the payment of subcontractors used in the performance of the Agreement. Contractor accepts sole responsibility for the payment of subcontractors used in the performance of this Agreement.
- f. The Contractor is responsible for all performance requirements under this Agreement even though performance may be carried out through a subcontract.
- g. The Contractor shall ensure that all subcontracts for services include provision(s) requiring compliance with applicable terms and conditions specified in this Agreement.
- h. The Contractor agrees to include the following clause, relevant to record retention, in all subcontracts for services:

"(Subcontractor Name) agrees to maintain and preserve, until three years after termination of (Agreement Number) and final payment from CDPH to the Contractor, to permit CDPH or any duly authorized representative, to have access to, examine or audit any pertinent books, documents, papers and records related to this subcontract and to allow interviews of any employees who might reasonably have information related to such records."
- i. Unless otherwise stipulated in writing by CDPH, the Contractor shall be the subcontractor's sole point of contact for all matters related to performance and payment under this Agreement.
- j. Contractor shall, as applicable, advise all subcontractors of their obligations pursuant to the following numbered provisions of this Exhibit: 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 17, 21, and/or other numbered provisions herein that are deemed applicable.

5. Income Restrictions

Unless otherwise stipulated in this Agreement, the Contractor agrees that any refunds, rebates, credits, or other amounts (including any interest thereon) accruing to or received by the Contractor under this Agreement shall be paid by the Contractor to CDPH, to the extent that they are properly allocable to costs for which the Contractor has been reimbursed by CDPH under this Agreement.

6. Audit and Record Retention

(Applicable to agreements over \$10,000.)

- a. The Contractor and/or Subcontractor shall maintain books, records, documents, and other evidence, accounting procedures, and practices, sufficient to properly reflect all direct and indirect costs of whatever nature claimed to have been incurred in the performance of this Agreement, including any matching costs and expenses. The foregoing constitutes "records" for the purposes of this provision.

- b. The Contractor's and/or Subcontractor's facility or office or such part thereof as may be engaged in the performance of this Agreement and his/her records shall be subject at all reasonable times to inspection, audit, and reproduction.
- c. Contractor agrees that CDPH, the Department of General Services, the Bureau of State Audits, or their designated representatives shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, the Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (GC 8546.7, CCR Title 2, Section 1896).
- d. The Contractor and/or Subcontractor shall preserve and make available his/her records (1) for a period of three years from the date of final payment under this Agreement, and (2) for such longer period, if any, as is required by applicable statute, by any other provision of this Agreement, or by subparagraphs (1) or (2) below.
 - (1) If this Agreement is completely or partially terminated, the records relating to the work terminated shall be preserved and made available for a period of three years from the date of any resulting final settlement.
 - (2) If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later.
- e. The Contractor and/or Subcontractor shall comply with the above requirements and be aware of the penalties for violations of fraud and for obstruction of investigation as set forth in Public Contract Code § 10115.10, if applicable.
- f. The Contractor and/or Subcontractor may, at its discretion, following receipt of final payment under this Agreement, reduce its accounts, books and records related to this Agreement to microfilm, computer disk, CD ROM, or other data storage medium. Upon request by an authorized representative to inspect, audit or obtain copies of said records, the Contractor and/or subcontractor must supply or make available applicable devices, hardware, and/or software necessary to view, copy and/or print said records. Applicable devices may include, but are not limited to, microfilm readers and microfilm printers, etc.

7. Site Inspection

The State, through any authorized representatives, has the right at all reasonable times to inspect or otherwise evaluate the work performed or being performed hereunder including subcontract supported activities and the premises in which it is being performed. If any inspection or evaluation is made of the premises of the Contractor or Subcontractor, the Contractor shall provide and shall require Subcontractors to provide all reasonable facilities and assistance for the safety and convenience of the authorized representatives in the performance of their duties. All inspections and evaluations shall be performed in such a manner as will not unduly delay the work.

8. Intellectual Property Rights

a. Ownership

- (1) Except where CDPH has agreed in a signed writing to accept a license, CDPH shall be and remain, without additional compensation, the sole owner of any and all rights, title and interest in all Intellectual Property, from the moment of creation, whether or not jointly conceived, that are made, conceived, derived from, or reduced to practice by Contractor or CDPH and which result directly or indirectly from this Agreement.
- (2) For the purposes of this Agreement, Intellectual Property means recognized protectable rights and interest such as: patents, (whether or not issued) copyrights, trademarks, service marks,

applications for any of the foregoing, inventions, trade secrets, trade dress, logos, insignia, color combinations, slogans, moral rights, right of publicity, author's rights, contract and licensing rights, works, mask works, industrial design rights, rights of priority, know how, design flows, methodologies, devices, business processes, developments, innovations, good will and all other legal rights protecting intangible proprietary information as may exist now and/or here after come into existence, and all renewals and extensions, regardless of whether those rights arise under the laws of the United States, or any other state, country or jurisdiction.

- (a) For the purposes of the definition of Intellectual Property, "works" means all literary works, writings and printed matter including the medium by which they are recorded or reproduced, photographs, art work, pictorial and graphic representations and works of a similar nature, film, motion pictures, digital images, animation cells, and other audiovisual works including positives and negatives thereof, sound recordings, tapes, educational materials, interactive videos and any other materials or products created, produced, conceptualized and fixed in a tangible medium of expression. It includes preliminary and final products and any materials and information developed for the purposes of producing those final products. Works does not include articles submitted to peer review or reference journals or independent research projects.
- (3) In the performance of this Agreement, Contractor will exercise and utilize certain of its Intellectual Property in existence prior to the effective date of this Agreement. In addition, under this Agreement, Contractor may access and utilize certain of CDPH's Intellectual Property in existence prior to the effective date of this Agreement. Except as otherwise set forth herein, Contractor shall not use any of CDPH's Intellectual Property now existing or hereafter existing for any purposes without the prior written permission of CDPH. **Except as otherwise set forth herein, neither the Contractor nor CDPH shall give any ownership interest in or rights to its Intellectual Property to the other Party.** If during the term of this Agreement, Contractor accesses any third-party Intellectual Property that is licensed to CDPH, Contractor agrees to abide by all license and confidentiality restrictions applicable to CDPH in the third-party's license agreement.
- (4) Contractor agrees to cooperate with CDPH in establishing or maintaining CDPH's exclusive rights in the Intellectual Property, and in assuring CDPH's sole rights against third parties with respect to the Intellectual Property. If the Contractor enters into any agreements or subcontracts with other parties in order to perform this Agreement, Contractor shall require the terms of the Agreement(s) to include all Intellectual Property provisions. Such terms must include, but are not limited to, the subcontractor assigning and agreeing to assign to CDPH all rights, title and interest in Intellectual Property made, conceived, derived from, or reduced to practice by the subcontractor, Contractor or CDPH and which result directly or indirectly from this Agreement or any subcontract.
- (5) Contractor further agrees to assist and cooperate with CDPH in all reasonable respects, and execute all documents and, subject to reasonable availability, give testimony and take all further acts reasonably necessary to acquire, transfer, maintain, and enforce CDPH's Intellectual Property rights and interests.

b. Retained Rights / License Rights

- (1) Except for Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or CDPH and which result directly or indirectly from this Agreement, Contractor shall retain title to all of its Intellectual Property to the extent such Intellectual Property is in existence prior to the effective date of this Agreement. Contractor hereby grants to CDPH, without additional compensation, a permanent, non-exclusive, royalty free, paid-up, worldwide, irrevocable, perpetual, non-terminable license to use, reproduce, manufacture, sell, offer to sell, import, export, modify, publicly and privately display/perform, distribute, and dispose Contractor's Intellectual Property with the right to sublicense through multiple layers, for any purpose whatsoever, to the extent it is incorporated in the Intellectual Property resulting from this Agreement, unless Contractor assigns all rights, title and interest in the Intellectual Property as set forth herein.

- (2) Nothing in this provision shall restrict, limit, or otherwise prevent Contractor from using any ideas, concepts, know-how, methodology or techniques related to its performance under this Agreement, provided that Contractor's use does not infringe the patent, copyright, trademark rights, license or other Intellectual Property rights of CDPH or third party, or result in a breach or default of any provisions of this Exhibit or result in a breach of any provisions of law relating to confidentiality.

c. Copyright

- (1) Contractor agrees that for purposes of copyright law, all works [as defined in Section a, subparagraph (2)(a) of this provision] of authorship made by or on behalf of Contractor in connection with Contractor's performance of this Agreement shall be deemed "works made for hire". Contractor further agrees that the work of each person utilized by Contractor in connection with the performance of this Agreement will be a "work made for hire," whether that person is an employee of Contractor or that person has entered into an agreement with Contractor to perform the work. Contractor shall enter into a written agreement with any such person that: (i) all work performed for Contractor shall be deemed a "work made for hire" under the Copyright Act and (ii) that person shall assign all right, title, and interest to CDPH to any work product made, conceived, derived from, or reduced to practice by Contractor or CDPH and which result directly or indirectly from this Agreement.
- (2) All materials, including, but not limited to, visual works or text, reproduced or distributed pursuant to this Agreement that include Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or CDPH and which result directly or indirectly from this Agreement, shall include CDPH's notice of copyright, which shall read in 3mm or larger typeface: "© [Enter Current Year e.g., 2006, etc.], California Department of Public Health. This material may not be reproduced or disseminated without prior written permission from the California Department of Public Health." This notice should be placed prominently on the materials and set apart from other matter on the page where it appears. Audio productions shall contain a similar audio notice of copyright.

d. Patent Rights

With respect to inventions made by Contractor in the performance of this Agreement, which did not result from research and development specifically included in the Agreement's scope of work, Contractor hereby grants to CDPH a license as described under Section b of this provision for devices or material incorporating, or made through the use of such inventions. If such inventions result from research and development work specifically included within the Agreement's scope of work, then Contractor agrees to assign to CDPH, without additional compensation, all its right, title and interest in and to such inventions and to assist CDPH in securing United States and foreign patents with respect thereto.

e. Third-Party Intellectual Property

Except as provided herein, Contractor agrees that its performance of this Agreement shall not be dependent upon or include any Intellectual Property of Contractor or third party without first: (i) obtaining CDPH's prior written approval; and (ii) granting to or obtaining for CDPH, without additional compensation, a license, as described in Section b of this provision, for any of Contractor's or third-party's Intellectual Property in existence prior to the effective date of this Agreement. If such a license upon these terms is unattainable, and CDPH determines that the Intellectual Property should be included in or is required for Contractor's performance of this Agreement, Contractor shall obtain a license under terms acceptable to CDPH.

f. Warranties

(1) Contractor represents and warrants that:

- (a) It is free to enter into and fully perform this Agreement.
- (b) It has secured and will secure all rights and licenses necessary for its performance of this Agreement.
- (c) Neither Contractor's performance of this Agreement, nor the exercise by either Party of the rights granted in this Agreement, nor any use, reproduction, manufacture, sale, offer to sell, import, export, modification, public and private display/performance, distribution, and disposition of the Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or CDPH and which result directly or indirectly from this Agreement will infringe upon or violate any Intellectual Property right, non-disclosure obligation, or other proprietary right or interest of any third-party or entity now existing under the laws of, or hereafter existing or issued by, any state, the United States, or any foreign country. There is currently no actual or threatened claim by any such third party based on an alleged violation of any such right by Contractor.
- (d) Neither Contractor's performance nor any part of its performance will violate the right of privacy of, or constitute a libel or slander against any person or entity.
- (e) It has secured and will secure all rights and licenses necessary for Intellectual Property including, but not limited to, consents, waivers or releases from all authors of music or performances used, and talent (radio, television and motion picture talent), owners of any interest in and to real estate, sites, locations, property or props that may be used or shown.
- (f) It has not granted and shall not grant to any person or entity any right that would or might derogate, encumber, or interfere with any of the rights granted to CDPH in this Agreement.
- (g) It has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this Agreement for the acquisition, operation or maintenance of computer software in violation of copyright laws.
- (h) It has no knowledge of any outstanding claims, licenses or other charges, liens, or encumbrances of any kind or nature whatsoever that could affect in any way Contractor's performance of this Agreement.

(2) CDPH MAKES NO WARRANTY THAT THE INTELLECTUAL PROPERTY RESULTING FROM THIS AGREEMENT DOES NOT INFRINGE UPON ANY PATENT, TRADEMARK, COPYRIGHT OR THE LIKE, NOW EXISTING OR SUBSEQUENTLY ISSUED.

g. Intellectual Property Indemnity

- (1) Contractor shall indemnify, defend and hold harmless CDPH and its licensees and assignees, and its officers, directors, employees, agents, representatives, successors, and users of its products, ("Indemnitees") from and against all claims, actions, damages, losses, liabilities (or actions or proceedings with respect to any thereof), whether or not rightful, arising from any and all actions or claims by any third party or expenses related thereto (including, but not limited to, all legal expenses, court costs, and attorney's fees incurred in investigating, preparing, serving as a witness in, or defending against, any such claim, action, or proceeding, commenced or threatened) to which any of the Indemnitees may be subject, whether or not Contractor is a party to any pending or threatened litigation, which arise out of or are related to (i) the incorrectness or breach of any of the representations, warranties, covenants or agreements of Contractor pertaining to Intellectual Property; or (ii) any Intellectual Property infringement, or any other type of actual or alleged infringement claim, arising out of CDPH's use, reproduction, manufacture, sale, offer to sell, distribution, import, export, modification, public and private performance/display, license, and disposition of the Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or CDPH and which result directly or indirectly from this Agreement. This indemnity obligation shall apply irrespective of whether the infringement claim is based on a patent, trademark or copyright registration that issued after the effective date of this Agreement. CDPH reserves the right to participate in and/or control, at Contractor's expense, any such infringement action brought against CDPH.
- (2) Should any Intellectual Property licensed by the Contractor to CDPH under this Agreement become the subject of an Intellectual Property infringement claim, Contractor will exercise its authority reasonably and in good faith to preserve CDPH's right to use the licensed Intellectual Property in accordance with this Agreement at no expense to CDPH. CDPH shall have the right to monitor and appear through its own counsel (at Contractor's expense) in any such claim or action. In the defense or settlement of the claim, Contractor may obtain the right for CDPH to continue using the licensed Intellectual Property; or, replace or modify the licensed Intellectual Property so that the replaced or modified Intellectual Property becomes non-infringing provided that such replacement or modification is functionally equivalent to the original licensed Intellectual Property. If such remedies are not reasonably available, CDPH shall be entitled to a refund of all monies paid under this Agreement, without restriction or limitation of any other rights and remedies available at law or in equity.
- (3) Contractor agrees that damages alone would be inadequate to compensate CDPH for breach of any term of this Intellectual Property Exhibit by Contractor. Contractor acknowledges CDPH would suffer irreparable harm in the event of such breach and agrees CDPH shall be entitled to obtain equitable relief, including without limitation an injunction, from a court of competent jurisdiction, without restriction or limitation of any other rights and remedies available at law or in equity.

h. Federal Funding

In any agreement funded in whole or in part by the federal government, CDPH may acquire and maintain the Intellectual Property rights, title, and ownership, which results directly or indirectly from the Agreement; except as provided in 37 Code of Federal Regulations part 401.14; however, the federal government shall have a non-exclusive, nontransferable, irrevocable, paid-up license throughout the world to use, duplicate, or dispose of such Intellectual Property throughout the world in any manner for governmental purposes and to have and permit others to do so.

i. Survival

The provisions set forth herein shall survive any termination or expiration of this Agreement or any project schedule.

9. Prior Approval of Training Seminars, Workshops or Conferences

Contractor shall obtain prior CDPH approval of the location, costs, dates, agenda, instructors, instructional materials, and attendees at any reimbursable training seminar, workshop, or conference conducted pursuant to this Agreement and of any reimbursable publicity or educational materials to be made available for distribution. The Contractor shall acknowledge the support of the State whenever publicizing the work under this Agreement in any media. This provision does not apply to necessary staff meetings or training sessions held for the staff of the Contractor or Subcontractor to conduct routine business matters.

10. Confidentiality of Information

- a. The Contractor and its employees, agents, or subcontractors shall protect from unauthorized disclosure names and other identifying information concerning persons either receiving services pursuant to this Agreement or persons whose names or identifying information become available or are disclosed to the Contractor, its employees, agents, or subcontractors as a result of services performed under this Agreement, except for statistical information not identifying any such person.
- b. The Contractor and its employees, agents, or subcontractors shall not use such identifying information for any purpose other than carrying out the Contractor's obligations under this Agreement.
- c. The Contractor and its employees, agents, or subcontractors shall promptly transmit to the CDPH Program Contract Manager all requests for disclosure of such identifying information not emanating from the client or person.
- d. The Contractor shall not disclose, except as otherwise specifically permitted by this Agreement or authorized by the client, any such identifying information to anyone other than CDPH without prior written authorization from the CDPH Program Contract Manager, except if disclosure is required by State or Federal law.
- e. For purposes of this provision, identity shall include, but not be limited to name, identifying number, symbol, or other identifying particular assigned to the individual, such as finger or voice print or a photograph.
- f. As deemed applicable by CDPH, this provision may be supplemented by additional terms and conditions covering personal health information (PHI) or personal, sensitive, and/or confidential information (PSCI). Said terms and conditions will be outlined in one or more exhibits that will either be attached to this Agreement or incorporated into this Agreement by reference.

11. Documents, Publications and Written Reports

(Applicable to agreements over \$5,000 under which publications, written reports and documents are developed or produced. Government Code Section 7550.)

Any document, publication or written report (excluding progress reports, financial reports and normal contract communications) prepared as a requirement of this Agreement shall contain, in a separate section preceding the main body of the document, the number and dollar amounts of all contracts and subcontracts relating to the preparation of such document or report, if the total cost for work by nonemployees of the State exceeds \$5,000.

12. Dispute Resolution Process

- a. A Contractor grievance exists whenever there is a dispute arising from CDPH's action in the administration of an agreement. If there is a dispute or grievance between the Contractor and CDPH, the Contractor must seek resolution using the procedure outlined below.
 - (1) The Contractor should first informally discuss the problem with the CDPH Program Contract Manager. If the problem cannot be resolved informally, the Contractor shall direct its grievance together with any evidence, in writing, to the program Branch Chief. The grievance

- shall state the issues in dispute, the legal authority or other basis for the Contractor's position and the remedy sought. The Branch Chief shall render a decision within ten (10) working days after receipt of the written grievance from the Contractor. The Branch Chief shall respond in writing to the Contractor indicating the decision and reasons therefore. If the Contractor disagrees with the Branch Chief's decision, the Contractor may appeal to the second level.
- (2) When appealing to the second level, the Contractor must prepare an appeal indicating the reasons for disagreement with Branch Chief's decision. The Contractor shall include with the appeal a copy of the Contractor's original statement of dispute along with any supporting evidence and a copy of the Branch Chief's decision. The appeal shall be addressed to the Deputy Director of the division in which the branch is organized within ten (10) working days from receipt of the Branch Chief's decision. The Deputy Director of the division in which the branch is organized or his/her designee shall meet with the Contractor to review the issues raised. A written decision signed by the Deputy Director of the division in which the branch is organized or his/her designee shall be directed to the Contractor within twenty (20) working days of receipt of the Contractor's second level appeal.
- b. If the Contractor wishes to appeal the decision of the Deputy Director of the division in which the branch is organized or his/her designee, the Contractor shall follow the procedures set forth in Division 25.1 (commencing with Section 38050) of the Health and Safety Code and the regulations adopted thereunder. (Title 1, Subchapter 2.5, commencing with Section 251, California Code of Regulations.)
- c. Disputes arising out of an audit, examination of an agreement or other action not covered by subdivision (a) of Section 20204, of Chapter 2.1, Title 22, of the California Code of Regulations, and for which no procedures for appeal are provided in statute, regulation or the Agreement, shall be handled in accordance with the procedures identified in Sections 51016 through 51047, Title 22, California Code of Regulations.
- d. Unless otherwise stipulated in writing by CDPH, all dispute, grievance and/or appeal correspondence shall be directed to the CDPH Program Contract Manager.
- e. There are organizational differences within CDPH's funding programs and the management levels identified in this dispute resolution provision may not apply in every contractual situation. When a grievance is received and organizational differences exist, the Contractor shall be notified in writing by the CDPH Program Contract Manager of the level, name, and/or title of the appropriate management official that is responsible for issuing a decision at a given level.

13. Financial and Compliance Audit Requirements

- a. The definitions used in this provision are contained in Section 38040 of the Health and Safety Code, which by this reference is made a part hereof.
- b. Direct service contract means a contract or agreement for services contained in local assistance or subvention programs or both (see Health and Safety [H&S] Code Section 38020). Direct service contracts shall not include contracts, agreements, grants, or subventions to other governmental agencies or units of government nor contracts or agreements with regional centers or area agencies on aging (See H&S Code Section 38030).
- c. The Contractor, as indicated below, agrees to obtain one of the following audits:
- (1) ***If the Contractor is a nonprofit organization (as defined in H&S Code Section 38040) and receives \$25,000 or more from any State agency under a direct service contract or agreement;*** the Contractor agrees to obtain an annual single, organization wide, financial and compliance audit. Said audit shall be conducted according to Generally Accepted Auditing Standards. This audit does not fulfill the audit requirements of Paragraph c(3) below. The audit shall be completed by the 15th day of the fifth month following the end of the Contractor's fiscal year, **and/or**

- (2) ***If the Contractor is a nonprofit organization (as defined in H&S Code Section 38040) and receives less than \$25,000 per year from any State agency under a direct service contract or agreement***, the Contractor agrees to obtain a biennial single, organization wide financial and compliance audit, unless there is evidence of fraud or other violation of state law in connection with this Agreement. This audit does not fulfill the audit requirements of Paragraph c(3) below. The audit shall be completed by the 15th day of the fifth month following the end of the Contractor's fiscal year, **and/or**
- (3) ***If the Contractor is a State or Local Government entity or Nonprofit organization (as defined by the Federal Office of Management and Budget [OMB] Circular A-133) and expends \$500,000 or more in Federal awards***, the Contractor agrees to obtain an annual single, organization wide, financial and compliance audit according to the requirements specified in OMB Circular A-133 entitled "Audits of States, Local Governments, and Non-Profit Organizations". An audit conducted pursuant to this provision will fulfill the audit requirements outlined in Paragraphs c(1) and c(2) above. The audit shall be completed by the end of the ninth month following the end of the audit period. The requirements of this provision apply if:
- (a) The Contractor is a recipient expending Federal awards received directly from Federal awarding agencies, or
 - (b) The Contractor is a subrecipient expending Federal awards received from a pass-through entity such as the State, County or community based organization.
- (4) If the Contractor submits to CDPH a report of an audit other than an OMB A-133 audit, the Contractor must also submit a certification indicating the Contractor has not expended \$500,000 or more in federal funds for the year covered by the audit report.
- d. Two copies of the audit report shall be delivered to the CDPH program funding this Agreement. The audit report must identify the Contractor's legal name and the number assigned to this Agreement. The audit report shall be due within 30 days after the completion of the audit. Upon receipt of said audit report, the CDPH Program Contract Manager shall forward the audit report to CDPH's Audits and Investigations Unit if the audit report was submitted under Section 13c(3), unless the audit report is from a City, County, or Special District within the State of California whereby the report will be retained by the funding program.
- e. The cost of the audits described herein may be included in the funding for this Agreement up to the proportionate amount this Agreement represents of the Contractor's total revenue. The CDPH program funding this Agreement must provide advance written approval of the specific amount allowed for said audit expenses.
- f. The State or its authorized designee, including the Bureau of State Audits, is responsible for conducting agreement performance audits which are not financial and compliance audits. Performance audits are defined by Generally Accepted Government Auditing Standards.
- g. Nothing in this Agreement limits the State's responsibility or authority to enforce State law or regulations, procedures, or reporting requirements arising thereto.
- h. Nothing in this Agreement limits the authority of the State to make audits of this Agreement, provided however, that if independent audits arranged for by the Contractor meet Generally Accepted Governmental Auditing Standards, the State shall rely on those audits and any additional audit work and shall build upon the work already done.
- i. The State may, at its option, direct its own auditors to perform either of the audits described above. The Contractor will be given advance written notification, if the State chooses to exercise its option to perform said audits.

14. Novation Requirements

If the Contractor proposes any novation agreement, CDPH shall act upon the proposal within 60 days after receipt of the written proposal. CDPH may review and consider the proposal, consult and negotiate with the Contractor, and accept or reject all or part of the proposal. Acceptance or rejection of the proposal may be made orally within the 60-day period and confirmed in writing within five days of said decision. Upon written acceptance of the proposal, CDPH will initiate an amendment to this Agreement to formally implement the approved proposal.

15. Payment Withholds

(Applicable only if a final report is required by this Agreement. Not applicable to government entities.)

Unless waived or otherwise stipulated in this Agreement, CDPH may, at its discretion, withhold 10 percent (10%) of the face amount of the contract, 50 percent (50%) of the final invoice, or \$3,000 whichever is greater, until CDPH receives a final report that meets the terms, conditions and/or scope of work requirements of this Agreement.

16. Performance Evaluation

(Not applicable to grant agreements.)

CDPH may, at its discretion, evaluate the performance of the Contractor at the conclusion of this Agreement. If performance is evaluated, the evaluation shall not be a public record and shall remain on file with CDPH. Negative performance evaluations may be considered by CDPH prior to making future contract awards.

17. Officials Not to Benefit

No members of or delegate of Congress or the State Legislature shall be admitted to any share or part of this Agreement, or to any benefit that may arise therefrom. This provision shall not be construed to extend to this Agreement if made with a corporation for its general benefits.

18. Four-Digit Date Compliance

(Applicable to agreements in which Information Technology (IT) services are provided to CDPH or if IT equipment is procured.)

Contractor warrants that it will provide only Four-Digit Date Compliant (as defined below) Deliverables and/or services to the State. "Four Digit Date compliant" Deliverables and services can accurately process, calculate, compare, and sequence date data, including without limitation date data arising out of or relating to leap years and changes in centuries. This warranty and representation is subject to the warranty terms and conditions of this Contract and does not limit the generality of warranty obligations set forth elsewhere herein.

19. Union Organizing

(Applicable only to grant agreements.)

Grantee, by signing this Agreement, hereby acknowledges the applicability of Government Code Sections 16645 through 16649 to this Agreement. Furthermore, Grantee, by signing this Agreement, hereby certifies that:

- a. No state funds disbursed by this grant will be used to assist, promote or deter union organizing.
- b. Grantee shall account for state funds disbursed for a specific expenditure by this grant, to show those funds were allocated to that expenditure.

- c. Grantee shall, where state funds are not designated as described in b herein, allocate, on a pro-rata basis, all disbursements that support the grant program.
- d. If Grantee makes expenditures to assist, promote or deter union organizing, Grantee will maintain records sufficient to show that no state funds were used for those expenditures, and that Grantee shall provide those records to the Attorney General upon request.

20. Contract Uniformity (Fringe Benefit Allowability)

(Applicable only to nonprofit organizations.)

Pursuant to the provisions of Article 7 (commencing with Section 100525) of Chapter 3 of Part 1 of Division 101 of the Health and Safety Code, CDPH sets forth the following policies, procedures, and guidelines regarding the reimbursement of fringe benefits.

- a. As used herein fringe benefits shall mean an employment benefit given by one's employer to an employee in addition to one's regular or normal wages or salary.
- b. As used herein, fringe benefits do not include:
 - (1) Compensation for personal services paid currently or accrued by the Contractor for services of employees rendered during the term of this Agreement, which is identified as regular or normal salaries and wages, annual leave, vacation, sick leave, holidays, jury duty and/or military leave/training.
 - (2) Director's and executive committee member's fees.
 - (3) Incentive awards and/or bonus incentive pay.
 - (4) Allowances for off-site pay.
 - (5) Location allowances.
 - (6) Hardship pay.
 - (7) Cost-of-living differentials
- c. Specific allowable fringe benefits include:
 - (1) Fringe benefits in the form of employer contributions for the employer's portion of payroll taxes (i.e., FICA, SUI, SDI), employee health plans (i.e., health, dental and vision), unemployment insurance, worker's compensation insurance, and the employer's share of pension/retirement plans, provided they are granted in accordance with established written organization policies and meet all legal and Internal Revenue Service requirements.
- d. To be an allowable fringe benefit, the cost must meet the following criteria:
 - (1) Be necessary and reasonable for the performance of the Agreement.
 - (2) Be determined in accordance with generally accepted accounting principles.
 - (3) Be consistent with policies that apply uniformly to all activities of the Contractor.
- e. Contractor agrees that all fringe benefits shall be at actual cost.
- f. Earned/Accrued Compensation
 - (1) Compensation for vacation, sick leave and holidays is limited to that amount earned/accrued within the agreement term. Unused vacation, sick leave and holidays earned from periods prior to the agreement term cannot be claimed as allowable costs. See Provision f (3)(a) for an example.
 - (2) For multiple year agreements, vacation and sick leave compensation, which is earned/accrued but not paid, due to employee(s) not taking time off may be carried over and claimed within the overall term of the multiple years of the Agreement. Holidays cannot be carried over from one agreement year to the next. See Provision f (3)(b) for an example.

- (3) For single year agreements, vacation, sick leave and holiday compensation that is earned/accrued but not paid, due to employee(s) not taking time off within the term of the Agreement, cannot be claimed as an allowable cost. See Provision f (3)(c) for an example.

(a) **Example No. 1:**

If an employee, John Doe, earns/accrues three weeks of vacation and twelve days of sick leave each year, then that is the maximum amount that may be claimed during a one year agreement. If John Doe has five weeks of vacation and eighteen days of sick leave at the beginning of the Agreement, the Contractor during a one-year agreement term may only claim up to three weeks of vacation and twelve days of sick leave actually used by the employee. Amounts earned/accrued in periods prior to the beginning of the Agreement are not an allowable cost.

(b) **Example No. 2:**

If during a three-year (multiple year) agreement, John Doe does not use his three weeks of vacation in year one, or his three weeks in year two, but he does actually use nine weeks in year three; the Contractor would be allowed to claim all nine weeks paid for in year three. The total compensation over the three-year period cannot exceed 156 weeks (3 x 52 weeks).

(c) **Example No. 3:**

If during a single year agreement, John Doe works fifty weeks and used one week of vacation and one week of sick leave and all fifty-two weeks have been billed to CDPH, the remaining unused two weeks of vacation and seven days of sick leave may not be claimed as an allowable cost..

21. Prohibited Use of State Funds for Software

(Applicable to agreements in which computer software is used in performance of the work.)

Contractor certifies that it has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this Agreement for the acquisition, operation or maintenance of computer software in violation of copyright laws.

Exhibit E
Additional Provisions

1. Additional Incorporated Documents

A. The following documents and any subsequent updates are not attached, but are incorporated herein and made a part hereof by this reference. These documents may be updated periodically by CDPH, as required by program directives. CDPH shall provide the Contractor with copies of said documents and any periodic updates thereto, under separate cover. CDPH will maintain on file, all documents referenced herein and any subsequent updates.

- 1) CDPH Health Administrative Manual Section 6-1000
- 2) CLPPB Program Letter
- 3) Lead Poisoning Follow-up Form
- 4) CLPPB Progress Report
- 5) CLPPB Surveillance and Data Management Manual
- 6) CLPPB Public Health Nursing (PHN) Manual and Updates
- 7) Environmental Health on the Childhood Lead Poisoning Prevention (CLPP) Team, Interim Guidance, August 1998 and Updates
- 8) Minimum Environmental Investigation Sampling Strategies (3/01 and Updates)
- 9) Lead Test Kit Fact Sheet 3/01

2. Cancellation / Termination

- A. This agreement may be cancelled by CDPH **without cause** upon 30 calendar days advance written notice to the Contractor.
- B. CDPH reserves the right to cancel or terminate this agreement immediately for cause. The Contractor may submit a written request to terminate this agreement only if CDPH substantially fails to perform its responsibilities as provided herein.
- C. The term "for cause" shall mean that the Contractor fails to meet the terms, conditions, and/or responsibilities of this agreement.
- D. Agreement termination or cancellation shall be effective as of the date indicated in CDPH's notification to the Contractor. The notice shall stipulate any final performance, invoicing or payment requirements.
- E. Upon receipt of a notice of termination or cancellation, the Contractor shall take immediate steps to stop performance and to cancel or reduce subsequent agreement costs.
- F. In the event of early termination or cancellation, the Contractor shall be entitled to compensation for services performed satisfactorily under this agreement and expenses incurred up to the date of cancellation and any non-cancelable obligations incurred in support of this agreement.

Exhibit E
Additional Provisions

3. Freeze Exemptions

(Applicable only to local government agencies.)

- A. Contractor agrees that any hiring freeze adopted during the term of this Agreement shall not be applied to the positions funded, in whole or part, by this Agreement.
- B. Contractor agrees not to implement any personnel policy, which may adversely affect performance or the positions funded, in whole or part, by this Agreement.
- C. Contractor agrees that any travel freeze or travel limitation policy adopted during the term of this Agreement shall not restrict travel funded, in whole or part, by this Agreement.
- D. Contractor agrees that any purchasing freeze or purchase limitation policy adopted during the term of this Agreement shall not restrict or limit purchases funded, in whole or part, by this Agreement.

Exhibit F
Glossary of CLPPB Related Acronyms and Terms

Appropriate case management - Health care referrals, environmental assessments, and educational activities performed by the appropriate person, professional, or entity, necessary to reduce a child's exposure to lead and the consequences of the exposure, as determined by the United States Centers for Disease Control, or as determined by the department pursuant to Health and Safety Code Section 105300.

ATSDR – Agency for Toxic Substances and Disease Registry

Case closure – Case management is concluded and a case is closed because:

- 1) There have been two or more venous blood-lead levels demonstrating that the blood-lead level has consistently remained less than 15 micrograms per deciliter for at least six months (180 calendar days), plus achievement of the other objectives of the case management plan or,
- 2) One of the following has occurred
 - a. Parent or guardian persistently refuses services
 - b. Family could not be located or child is lost to follow up after case management has begun
 - c. Family moves and the case is transferred to another jurisdiction
 - d. Case is closed administratively
 - i. Child reaches 21 years of age
 - ii. Child dies

BLL – Blood Lead Level

BPb – Blood Lead

Branch- The Branch – A term used in place of CLPPB or Childhood Lead Poisoning Prevention "Branch".

Case definition - Two blood lead level test results, taken at least 30 days apart, that are equal to or greater than 15 ug/dL or one blood lead level test result equal to or greater than 20ug/dL in a child from 0 to 21 years of age.

CBLS – Childhood Blood Lead Surveillance

CBO – Community Based Organization

CCS – California Children Services

CDC – Centers for Disease Control and Prevention

CDBGP - Community Development Block Grant Program

CHDP – Child Health and Disability Prevention Program

CDPH - California Department of Public Health (formerly DHS)

CLIA – Clinical Lab Improvement Act

CLPPB - Childhood Lead Poisoning Prevention Branch

CLPPB Program Letter – A document that has been dated, numbered, and issued by the CLPPB that establishes policy and clarifies regulatory or contractual requirements.

CLPPP - Childhood Lead Poisoning Prevention Program (has State level and local components.)

Exhibit F
Glossary of CLPPB Related Acronyms and Terms

CLPPP Team Quarterly Meetings – On site quarterly meetings of the CLPPP Coordinator, PHN, REHS, Health Educator and Registered Dietician.

CMS - Care Management Section

CMU - Contract Management Unit

Contractor – The local Childhood Lead Poisoning Prevention Program (CLPPP) that has contracted with the Childhood Lead Poisoning Prevention Branch (CLPPB).

DEODC - Division of Environmental Occupational and Disease Control Division

DHCS - Department of Health Care Services (formerly DHS)

DHS - Department of Health Services (See CDPH and DHCS)

EBL – Elevated blood lead level

EHIB – Environmental Health Investigation Branch

Environmental Investigation – An exposure assessment of the home, primary residence, or other location of a child with an elevated blood lead level that meets case definition, conducted by an Environmental Professional, to identify the sources of exposure to lead in the child's environment, and to recommend measures both during and after the investigation to reduce or eliminate exposures identified (CLPPB Program Letter 98-14).

EPA – Environmental Protection Agency

EPSDT – Early and Periodic Screening Diagnosis and Treatment (CHDP in California).

EPSDT-SS – Those additional medically necessary services available to full scope Medi-Cal beneficiaries under the age of 21 years. The services must be medically necessary to correct or ameliorate a defect, physical or mental illness, or a condition. These services are accessed through screening, referral or routine visits. (Title 22 California Code of Regulation Sections 51242, 51340.1, and 51532.2).

Hct / Hgb – Hematocrit / Hemoglobin

HCFA – Health Care Financing Services Administration

HHS – Health and Human Services Agency

HIPPA – Health Insurance Portability and Accountability Act

HISS – Health Information Services Section (of CLPPB).

HRSA – Health Resources & Services Administration

HUD - Housing & Urban Development

HWDC – Health and Welfare Data Center

IEHS – Industrial Environmental Health Specialist

Exhibit F
Glossary of CLPPB Related Acronyms and Terms

Lead Inspector/Assessor – An individual who has received a certificate from the Department of Public Health as a "certified lead inspector/assessor" in accordance with Section 35001 *et seq.*, of Title 17 of the California Code of Regulations.

Lead Poisoning Follow-Up Form (LPFF) – the form used to document essential demographic, medical, social and environmental information about the lead poisoned child, the child's family, and the environment (CLPPB Program Letter 2004-01)

LHRS – Lead Hazard Reduction Section (of CLPPB)

MCH – Maternal and Child Health

MCLP – Medi-Cal Lead Program.

Medi-Cal Lead Program (MCLP) – The Medi-Cal Lead Program in the State Department of Health Care Services which coordinates Medi-Cal funding for lead related services and activities provided to children who are Medi-Cal beneficiaries and who meet the case definition of lead poisoning

The budgetary components of the MCLP are:

- 1) PHN lead poisoning case management services.
- 2) Medi-Cal Administrative Activities
 - a. Non-Enhanced
 - b. Enhanced

MOU - Memoranda of Understanding

NHANES – National Health and Nutrition Examination Survey

O & E- Outreach and Education

OEHHA – Office of Environmental Health Hazard Assessment

OHB - Occupational Health Branch

OLPPP – Occupational Lead Poisoning Prevention Program

OSHA – Occupational Health and Safety Act (also see Cal-OSHA)

OSS – Operational Support Section (administrative services of contracts, budgets and invoice processing within CLPPB).

PDSS - Program Development and Support Section (of CLPPB).

PERS – Program Evaluation and Research Section (of CLPPB).

PHN –Public Health Nurse The PHN providing case management in a local CLPPP must have an active California Registered Nurse license and a valid California Public Health Nursing certificate. The PHN must be able to collaborate with other health professionals and support staff to provide individual and population-based care. In addition, a PHN working as a case manager in the Medi-Cal Lead Program must have completed a state-approved case-management training. This requirement is met by a public health nursing certificate from the State of California.

PR - Progress Report - A bi-annual report required of the CLPPPs, submitted to the CLPPB, to be used in the evaluation of all aspects of progress at the local program level.

Exhibit F
Glossary of CLPPB Related Acronyms and Terms

PRRF - Progress Report Response Form

RA – Research Assistant

RASSCLE – Response and Surveillance System for Childhood Lead Exposures

RD – Registered Dietician

Regional Meetings – Routine meetings of CLPPPS within a given geographical area of the state of California and the CLPPB for the purpose of program development.

REHS - Registered Environmental Health Specialist, a professional person, educated and trained as an environmental specialist and who is registered in accordance with Health and Safety Code Division 104, Chapter 4, Article 1, Section 106615 *et seq.*

RFA - Request for Application – document and reference to the process by which the local CLPPP will apply for a contract with the CLPPB.

RPM – CLPPB Regional Program Manager

SC – CLPPB Section Chief

SOW - Scope of Work

TEC - travel expense claim (form)

USDA – U. S. Department of Agriculture

WIC – Women, Infants and Children. A special supplemental nutrition and education program for low-income pregnant women, women who are breast feeding, and young children within the state of California.

WNL – Within normal limits

ug – Microgram, which is one millionth of a gram

ug/dL – micrograms per deciliter-used to indicate the amount of lead in blood. (also referenced as mcg/dl)

XRF Instrument - X-ray fluorescence instrument. A portable radiation instrument that provides on-site quantitative readings of lead in paint, dust, and soil.

