



HEALTH SERVICES DEPARTMENT

MENTAL HEALTH DIVISION

Treatment Works, People Recover

El tratamiento es efectivo, las personas se recuperan

Neda West, Director / Christine Kondo-Lister, LCSW, Deputy Director
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SAMHSA BLOCK GRANT

FY 2010/2011

Program Narrative

A. STATEMENT OF PURPOSE

The County of El Dorado seeks continued funding of the Substance Abuse and Mental Health Services Administration (SAMHSA), Community Mental Health Services Block Grant for Fiscal Year 2010-2011. Since 1997 these funds have been utilized in collaboration with other public and private organizations to address the needs of Emotionally Disturbed (ED) children.

Mental health services are provided through an established Children's System of Care which includes other agencies such as the County's Probation and Human Services Departments and the County Office of Education.

B. PROGRAM DESCRIPTION

The County of El Dorado has two population centers, one in Placerville and the other in South Lake Tahoe. SAMHSA funds will be utilized in both locations to provide this program in both the Juvenile Hall in Placerville and the Juvenile Treatment Center in South Lake Tahoe.

Juvenile Hall/Juvenile Treatment Center Program:

Consistent with national trends, the County of El Dorado continues to realize an increase in the population of emotionally disturbed children and adolescents. A number of these youth also abuse alcohol and other drugs. The most troubled of these youth are often arrested and incarcerated in the local juvenile halls/juvenile treatment center. The County of El Dorado Health Services Department, Mental Health Division, seeks to continue using SAMHSA funds to provide mental health services to these incarcerated youth, as it has done for several years.

Juvenile Hall/Juvenile Treatment Center Budget:

Funding allocated to the juvenile facilities program is \$135,802

.36 Mental Health Clinician – Placerville: This position will provide mental health services to the children in custody at the Placerville Juvenile Hall. This is .06 FTE increase in allocation from the prior fiscal year. The total allocation of \$31,498 includes salary and benefits equal to \$21,419 and \$10,079 respectively.

.20 Mental Health Clinician – South Lake Tahoe: This position will provide mental health services to the children in custody at the South Lake Tahoe Juvenile Treatment Center. This is .05 FTE increase in allocation from the prior fiscal year. The total allocation of \$19,798 includes salary and benefits equal to \$13,463 and \$6,335 respectively.

.02 Psychiatrist: This position will provide psychiatric medication services at the Placerville Juvenile Hall. Other State funding is used for the psychiatric medication services at the South Lake Tahoe Juvenile Treatment Center. This is a decrease in the FTE allocation from the prior fiscal year. The salary for each position is less than the \$199,700 Salary Rate Cap. The total allocation of \$4,562 includes salary and benefits equal to \$3,102 and \$1,460 respectively.

.02 Medical Director: This position will provide psychiatric medication services at the Placerville Juvenile Hall. Other State funding is used for the psychiatric medication services at the South Lake Tahoe Juvenile Treatment Center. This is a decrease in the FTE allocation from the prior fiscal year. The salary for each position is less than the \$199,700 Salary Rate Cap. The total allocation of \$6,955 includes salary and benefits equal to \$4,729 and \$2,226 respectively.

Psychiatric Medications (\$5,000): Grant funds are needed to pay for psychiatric medications for uninsured youth in juvenile hall. Incarcerated youth are not eligible for Medi-Cal. This is a decrease in the allocation from the prior fiscal year.

Indirect (\$54,409): The indirect costs allocated to the County of El Dorado's SAMHSA program for FY 10-11 are derived using methodologies consistent with the former OMB A-87 Federal Register (now Title 2, Federal Regulations (CFR), Subtitle A, Chapter ii, Part 225). Indirect expenses include allocations of the following: indirect staff time (\$24,273); facilities (\$8,659), equipment and software licenses (\$2,580) and other overhead (\$18,897). No administrative costs are captured in the indirect cost allocation.

Administrative Costs (\$13,580): The administrative costs allocated to the County of El Dorado's SAMHSA program for FY 10-11 were derived using methodologies consistent with both DMH Letter No. 05-10 and with former OMB A-87 Federal Register (now Title 2, Federal Regulations (CFR), Subtitle A, Chapter ii, Part 225). The administrative costs are estimated at \$39,055, \$25,332 more than the SAMHSA grant will fund. The SAMHSA administrative budget is limited to the 10% maximum administrative reimbursement allowed under the SAMHSA Community Mental Health Services Block Grant.

C. TARGET POPULATION

The target population for this program is: "Children with an Emotional Disturbance," many of whom are dually diagnosed individuals.

D. STAFFING CHART

<u>Position Title</u>	<u>FTE</u>	<u>Grant % Funded</u>
Medical Director	0.02	81.09%
Psychiatrist	0.02	81.09%
MH Clinician – Placerville	0.36	81.09%
MH Clinician – Tahoe	0.20	81.09%

E. DESIGNATED PEER REVIEW REPRESENTATIVE

The County of El Dorado Health Services Department, Mental Health Division, Western Slope Children’s Clinical Coordinator is available to participate as a member of the State Department of Mental Health (DMH) Review Team in a neighboring county.

F. IMPLEMENTATION PLAN

Treatment services at the juvenile hall in Placerville and the juvenile treatment center in South Lake Tahoe already exist. SAMHSA funds have supported these services in Placerville since 1997 and in South Lake Tahoe since 2004.

G. PROGRAM EVALUATION PLAN

Each program will be monitored on a quarterly basis by a Review Team consisting of the coordinator of children’s services and other designated staff. This team will visit the program sites and review program objectives with program staff and, where possible, with clients. Expenditures will be compared to the budget. A plan of correction will be formulated for any identified problem or barrier, and this plan of correction will be reviewed at the next quarterly review.

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
 ADMINISTRATION (SAMHSA)
 CENTER FOR MENTAL HEALTH SERVICES (CMHS)
 BLOCK GRANTS FOR COMMUNITY MENTAL HEALTH SERVICES (MHBG)
 SFY 2010-11 PLANNING ESTIMATE

COUNTY: EL DORADO

ISSUE DATE: June 21, 2010

PROPOSED ALLOCATION \$ 135,802

Base Allocation	\$97,725
Dual Diagnosis Set-Aside	\$38,077

The County Department of Mental Health requests continuation of the SAMHSA, CMHS Block Grants for Community Mental Health Services (MHBG). These funds will be used in accordance with Public Law 102-321 (42 U.S.C., Sections 300x through 300x-13), as it read on July 10, 1992, and amended by Public Law (PL) 106-310, and will be used as stated in the enclosed Community Mental Health Services Block Grant Funding Agreements with Federal Requirements on Use of Allotments, and the Certification Statements.

The amount is the proposed total expenditure level for SFY 2010-11. The amount identified above is subject to change based on the level of appropriation approved in the State Budget Act of 2010. In addition, this amount is subject to adjustments for a net reimbursable amount to the county. The adjustments include, but are not limited to, Gramm-Rudmann-Hollings (Federal Deficit Reduction Act) reductions, prior year audit recoveries, federal legislative mandates applicable to categorical funding, augmentations, etc. The net amount reimbursable will be reflected in reimbursable payments as the specific dollar amounts of adjustments become known for each county.

The county should use this amount for planning purposes and to build the county's SFY 2010-11 base budget for MHBG funded mental health programs.

County Mental Health Director

Date

**COMMUNITY MENTAL HEALTH SERVICES BLOCK GRANT FUNDING
AGREEMENTS**

Public Law 106-310 (Children's Health Act of 2000)

Public Law 102-321; Title II-Block Grants to States Regarding
Mental Health & Substance Abuse

Part B of Title XIX of the Public Health Service Act [42 U.S.C. 300x-1 et seq.]

The county, as recipient of grant funds, acknowledges and agrees that the county and its subcontractors shall provide services in accordance with all applicable federal and State statutes and regulations including the following:

Section 1911

Subject to Section 1916, the State/County involved will expend the grant only for the purpose of:

- (1) Carrying out the plan submitted under Section 1912(a) [State Plan for Comprehensive Community Mental Health Services] by the State for the fiscal year involved;
- (2) Evaluating programs and services carried out under the plan; and
- (3) Planning, administration, and educational activities related to providing services under the plan.

Section 1912

(c)(1) & (2) [As a funding agreement for a grant under Section 1911 of this title the Secretary establishes and disseminates definitions for the terms "adult with a serious mental illness" and "children with a serious emotional disturbance" and the State/County will utilize such methods [standardized methods, established by the Secretary] in making estimates [of the incidence and prevalence in the County of serious mental illness among adults and serious emotional disturbance among children].

Section 1913

(a)(1)(C) the County will expend for such system [of integrated services described in Section 1912(b)(3)] not less than an amount equal to the amount expended by the County for fiscal year 1994.

[A system of integrated social services, educational services, juvenile services, and substance abuse services that, together with health and mental health services, will be provided in order for such children to receive care appropriate for their multiple needs (which includes services provided under the Individuals with Disabilities Education Act)].

(b)(1) The County will provide services under the plan only through appropriate qualified community programs (which may include community mental health centers, child mental health programs, psychosocial rehabilitation programs, mental

health peer-support programs, and mental health primary consumer-directed programs).

(b)(2) The State agrees that services under the plan will be provided through community mental health centers only if the centers meet the criteria specified in subsection (c).

(c)(1) With respect to mental health services, the centers provide services as follows:

- (A) Services principally to individuals residing in a defined geographic area (hereafter in the subsection referred to as a "service area").
 - (B) Outpatient services, including specialized outpatient services for children, the elderly, individuals with a serious mental illness, and residents of the service areas of the centers who have been discharged from inpatient treatment at a mental health facility.
 - (C) 24-hour-a-day emergency care services.
 - (D) Day treatment or other partial hospitalization services, or psychosocial rehabilitation services.
 - (E) Screening for patients being considered for admission to state mental health facilities to determine the appropriateness of such admission.
- (2) The mental health services of the centers are provided, within the limits of the capacities of the centers, to any individual residing or employed within the service area of the center regardless of ability to pay for such services.
- (3) The mental health services of the centers are available and accessible promptly, as appropriate and in a manner which preserves human dignity and assures continuity and high quality care.

Section 1916

(a) The County involved will not expend the grant--

- (1) to provide inpatient services;
 - (2) to make cash payments to intended recipients of health services;
 - (3) to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment;
 - (4) to satisfy any requirement for the expenditure of non-federal funds as a condition for the receipt of federal funds; or
 - (5) to provide financial assistance to any entity other than a public or nonprofit private entity.
- (b) The County involved will not expend more than ten percent of the grant for administrative expenses with respect to the grant.

Section 1946 PROHIBITIONS REGARDING RECEIPT OF FUNDS

(a) Establishment -

- (1) Certain false statements and representation - A person shall not knowingly and willfully make or cause to be made any false statement or representation of a material fact in connection with the furnishing of items or services for which payments may be made by a State from a grant made to the State under Section 1911 or 1921.
 - (2) Concealing or failing to disclose certain events - A person with knowledge of the occurrence of any event affecting the initial or continued right of the person to receive any payments from a grant made to a State under Section 1911 or 1921 shall not conceal or fail to disclose any such event with an intent fraudulently to secure such payment either in a greater amount than is due or when no such amount is due.
- (b) Criminal Penalty for Violation of Prohibition - Any person who violates any prohibition established in subsection (a) shall for each violation be fined in accordance with Title 18, United States Code, or imprisoned for not more than five years, or both.

Section 1947 NONDISCRIMINATION

(a) In General -

- (1) Rule of construction regarding certain civil rights laws - For the purpose of applying the prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975, on the basis of handicap under Section 504 of the Rehabilitation Act of 1973, on the basis of sex under Title IX of the Education Amendments of 1972, or on the basis of race, color, or national origin under Title VI of the Civil Rights Act of 1964, programs and activities funded in whole or in part with funds made available under Section 1911 or 1921 shall be considered to be programs and activities receiving federal financial assistance.
- (2) Prohibition - No person shall on the grounds of sex (including, in the case of a woman, on the grounds that the woman is pregnant), or on the grounds of religion, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity funded in whole or in part with funds made available under Section 1911 or 1921.

(b) Enforcement -

- (1) Referrals to Attorney General after notice: Whenever the Secretary finds that a state, or an entity that has received a payment pursuant to Section 1911 or 1921, has failed to comply with a provision of law referred to in subsection (a)(1), with subsection (a)(2), or with and applicable regulation (including one prescribed to carry out subsection (a)(2), the Secretary shall notify the Chief Executive Officer of the state and shall request the Chief Executive Officer to secure compliance. If within a reasonable period of time, not to exceed 60 days, the Chief Executive Officer fails or refuses to secure compliance, the Secretary may--
- (1)(A) refer the matter to the Attorney General with a recommendation that an appropriate civil action be instituted;
- (1)(B) exercise the powers and functions provided by the Age Discrimination Act of 1975, Section 504 of the Rehabilitation Act of 1973, Title IX of the Education Amendment of 1972, or Title VI of the Civil Rights Act of 1964, as may be applicable; or
- (1)(C) take such other actions as may be authorized by law.
- (2) Authority of Attorney General - When a matter is referred to the Attorney General pursuant to paragraph (1)(A), or whenever the Attorney General has reason to believe that a State or an entity is engaged in a pattern or practice in violation of a provision of law referred to in subsection (a)(1) or in violation of subsection (a)(2), the Attorney General may bring a civil action in any appropriate district court of the United States for such relief as may be appropriate, including injunctive relief.

Signature of Official Authorized
to Sign Application

Date

CERTIFICATIONS

CERTIFICATION REGARDING LOBBYING

- 1) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- 2) If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

SALARY CAP

The undersigned certifies that no grant funds will be used to pay an individual salary at a rate in excess of \$199,700 per year, not including benefits.

DRUG FREE WORK ENVIRONMENT

The undersigned certifies that reasonable efforts are made to maintain a drug-free work place in all programs supported by the Block Grant funds.

CERTIFICATION REGARDING DEBARMENT, SUSPENSION INELIGIBILITY
AND VOLUNTARY EXCLUSION - LOWER TIER COVERED TRANSACTIONS

- 1) The prospective lower tier participant certified, by submission of this proposal, that neither it nor its principals or **contracted providers** is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal/application.

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated or maintained with such federal funds. The law does not apply to children's services provided in private residences; portions of facilities used for inpatient drug or alcohol treatment; service providers whose sole source of applicable Federal funds is Medicare or Medicaid; or facilities where WIC coupons are redeemed. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing this certification, the offer or contractor (for acquisitions) or applicant/grantee (for grants)-certifies that the submitting organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The submitting organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

Signature of Official Authorized
to Sign Application

Date

**2010-2011
MHBG PROGRAM DATA SHEET**

Complete one sheet for each MHBG funded program that supports transformation activities (as budgeted).

COUNTY: El Dorado

PROGRAM TITLE: Juvenile Hall Program

PROGRAM CONTACT/TITLE: Barry Wasserman

Phone #: 530-621-6220 FAX: 530-295-2594 E-Mail: barry.wasserman@edcgov.us

MHBG FUNDING LEVEL: (MH 1779, Line 38, Net Cost) \$135,802

TARGET POPULATION(S): (ESTIMATED **NUMBER** OF CONSUMERS TO BE SERVED IN THE YEAR WITH MHBG FUNDS)

SMI ADULT _____ SMI OLDER ADULT _____ SED CHILD 390

TYPES OF TRANSFORMATIONAL SERVICE(S) PROVIDED

- Check all categories that are applicable
- Please elaborate in the narrative portion of the application

Transformational Categories	Is MHBG funding used to support this goal? If yes, Please check (✓)
Americans Understand that Mental Health is Essential to Overall Health	X
Mental Health Care is Consumer and Family Driven	X
Disparities in Mental Health Services are Eliminated	X
Early Mental Health Screening, Assessment, and Referral to Services are Common Practice	X
Excellent Mental Health Care is Delivered and Research is Accelerated	
Technology is Used to Access Mental Health Care and Information	

ADDITIONAL COMMENTS:

FEDERAL GRANT DETAILED PROGRAM BUDGET
MH 1779 REV(04/04)

STATE FISCAL YEAR: 2010-2011

TYPE OF GRANT (Check One Only): MHBG X

PATH _____

COUNTY: El Dorado

SUBMISSION DATE: 10/20/2010

FISCAL CONTACT: Russell Fackrell

TELEPHONE NUMBER: (530) 621-6238

PROGRAM NAME: _____

E-MAIL ADDRESS: russell.fackrell@edcgov.us

STAFFING			1	2	3
TITLE OF POSITION	ANNUAL SALARY	GRANT FTE	LAST APPROVED BUDGET	REQUEST OR CHANGE	TOTAL
1 Medical Director	\$ 190,800	0.02	\$ 5,724	\$ (995)	\$ 4,729.00
2 Psychiatrist	\$ 187,700	0.02	\$ 3,754	\$ (652)	\$ 3,102.00
3 MH Clinician 1A - Placerville	\$ 57,608	0.36	\$ 14,402	\$ 7,017	\$ 21,419.00
4 MH Clinician II - Placerville	\$ 64,600	0.00	\$ 3,230	\$ (3,230)	\$ -
5 MH Clinician 1A - South Lake Tahoe	\$ 65,173	0.20	\$ 9,777	\$ 3,686	\$ 13,463.00
6 Benefits			\$ 17,390	\$ 2,710	\$ 20,100.00
7					\$ -
8					\$ -
9					\$ -
10					\$ -
11					\$ -
12 TOTAL STAFF EXPENSES (sum lines 1 thru 11)	\$ 565,881	0.60	\$ 54,277	\$ 8,536	\$ 62,813
13 Consultant Costs (Itemize):					\$ -
14					\$ -
15					\$ -
16					\$ -
17 Equipment (Where feasible lease or rent) (Itemize):					\$ -
18					\$ -
19					\$ -
20					\$ -
21					\$ -
22 Supplies (Itemize):					\$ -
23 Psychiatric Medications			\$ 8,000	\$ (3,000)	\$ 5,000
24					\$ -
25					\$ -
26					\$ -
27					\$ -
28 Travel -Per diem, Mileage, & Vehicle Rental/Lease					\$ -
29					\$ -
30 Other Expenses (Itemize):					\$ -
31 Indirect staff time			\$ 27,320	\$ (3,047)	\$ 24,273
32 Facilities			\$ 9,746	\$ (1,087)	\$ 8,659
33 Equipment & Software Licences			\$ 2,904	\$ (324)	\$ 2,580
34 Other Overhead			\$ 21,269	\$ (2,372)	\$ 18,897
35					\$ -
36					\$ -
37 COUNTY ADMINISTRATIVE COSTS (2% PATH/10% MHBG)			\$ 13,723	\$ (143)	\$ 13,580
38 NET PROGRAM EXPENSES (sum lines 12 thru 37)			\$ 137,239	\$ (1,437)	\$ 135,802
39 OTHER FUNDING SOURCES: Federal Funds					
40 Non-Federal Funds					
41 TOTAL OTHER FUNDING SOURCES (sum lines 39 & 40)			\$ -	\$ -	\$ -
42 GROSS COST OF PROGRAM (sum lines 38 and 41)			\$ 137,239	\$ (1,437)	\$ 135,802

DMH APPROVAL BY:
TELEPHONE:
DATE: