COUNTY OF EL DORADO

DEPARTMENT OF TRANSPORTATION



TAHOE ENGINEERING: 924B Emerald Bay Road South Lake Tahoe, CA 96150 Phone: (530) 573-7900 Fax: (530) 541-7049 JAMES W. WARE, P.E. Director of Transportation

Internet Web Site: http://edcgov.us/dot

MAIN OFFICE: 2850 Fairlane Court Placerville CA 95667 Phone: (530) 621-5900 Fax: (530) 626-0387



DATE: October 27, 2011

TO: All Prospective Bidders

SUBJECT: Addendum No. 1

Sawmill 2A Bike Path and Erosion Control Project

(Contract No. PW 10-30557, CIP No. 95165)

Submit proposals for this work with the understanding and full consideration of this addendum. The revisions declared in this addendum are essential parts of the Contract.

ADDENDUM ITEM NO.	PAGE OR DRAWING NO.	DESCRIPTION OF CHANGE
1.01	Cover Sheet and N-1	The Bid Opening Date is changed from 2:00 p.m. Monday, November 7, 2011 to 2:00 p.m. Wednesday, November 16, 2011. Please revise the Cover Sheet and the two locations on sheet N-1 of the Notice to Bidders accordingly.
1.02	Table of Contents and Appendix G	The title of Appendix G Cover Page and in the Table of Contents is revised to read as follows: "United States Army Corps of Engineers Nationwide Permit No. 23" Add Attachment A of this addendum to Appendix G of the
1.03	Special Provisions §5-1.54 (page SP-36)	Contract Documents. 5-1.54 – LOCAL, STATE, AND FEDERAL AGENCIES' CONDITIONS OF APPROVAL AND PERMITS Add the following to bulleted item 1 after "(Appendix D)": "The Notice of Intent WDID No. 6A09LT000007 is active
		and the project is covered under the Construction General NPDES Permit for the Lake Tahoe Basin Order No. R6T-2011-0019 which can be found at the following website: http://www.waterboards.ca.gov/lahontan/water_issues/programs/storm_water/docs/tahoe_cgp.pdf"

Replace bulleted item 4 with the following:
"4. US Army Corps of Engineers Nationwide Permit No. 23. (See Appendix G)"

The pages of this addendum shall be attached to the Contract Documents booklet.

Indicate receipt of this addendum by filling in the number of this addendum in the space provided on the signature page of the proposal.

Holders who have already mailed their proposal can contact Patty Scott at (530) 573-7900 (email: patricia.scott@edcgov.us) or Donaldo Palaroan at (530) 573-7920 (email: donaldo.palaroan@edcgov.us) to arrange return of their proposal.

Inform all suppliers and subcontractors as necessary.

The Department of Transportation is only sending this addendum by posting on the following website: http://www.edcgov.us/Government/DOT/Bids.aspx.

If you are not a Contract Documents Holder, but request a set of documents to bid on this project, you must comply with the requirements of this addendum when submitting your bid.

Attachments:

Attachment A

United States Army Corp of Engineers Nationwide Permit - 14 pages No. 23

End of Addendum No. 1

Recommended by:

Janel Gifford, P.E.

Office Engineer/Contract Services Unit

Approved by:

James W. Ware, P.E.

Director of Transportation



DEPARTMENT OF THE ARMY

U.S. ARMY ENGINEER DISTRICT, SACRAMENTO CORPS OF ENGINEERS 1325 J STREET SACRAMENTO CA 95814-2922

REPLY TO ATTENTION OF

September 27, 2011

Regulatory Division (SPK-2005-00082)

Brendan Ferry El Dorado County Department of Transportation 924 B Emerald Bay Road South Lake Tahoe, California 96150

Dear Mr. Ferry:

We are responding to your November 19, 2010, request for a Department of the Army permit for the Sawmill II Bike Path and Erosion Control project. This project involves activities, including discharges of dredged or fill material, in approximately 0.08 acres of wetlands and 0.26-acres of waters of the United States to construct an eight foot wide paved bike path with two feet wide wood chip shoulders and to replace seven culverts with longer extensions. As compensatory mitigation for the proposed permanent impacts to 0.15 of an acre of wetland and other waters, 0.231 acres of restoration at the Angora Creek SEZ Restoration Project have been applied. The project is located in wetlands adjacent and tributaries to Angora Creek, Section 18, Township 12 North, Range 18 East, Mount Diablo Meridian, Latitude 38.8888°, Longitude -120.0269°, South Lake Tahoe, El Dorado County, California.

Based on the information you provided, the proposed activity, resulting in the permanent loss of approximately 0.15 acres of waters (0.03 acres wetland and 0.12 acres other waters) and temporary impacts to approximately 0.19 acres of waters (0.05 acres of wetland and 0.14 acres of other waters), is authorized by Nationwide Permit Number 23, *Approved Categorical Exclusions*. Your work must comply with the general terms and conditions listed on the enclosed Nationwide Permit information sheets and the following special conditions:

Special Conditions

- 1. All terms and conditions of the July 26, 2011, Section 401 Water Quality Certification are expressly incorporated as conditions of this permit.
- 2. If you discover any previously unknown historic or archeological remains while accomplishing the activity authorized by this permit, you must immediately notify this office of what you have found. We will initiate the Federal and state coordination required to determine if the remains warrant a recovery effort or if the site is eligible for listing in the National Register.

You must sign the enclosed Compliance Certification and return it to this office within 30 days after completion of the authorized work.

This verification is valid until March 18, 2012, when the existing Nationwide Permits (NWP) is scheduled to be modified, reissued, or revoked. It is incumbent upon you to remain informed of changes to the NWPs. We will issue a public notice when the NWPs are reissued. Furthermore, if you commence or are

under contract to commence this activity before the date that the relevant NWP is modified or revoked, you will have twelve (12) months from the date of the modification or revocation of the NWP to complete the activity under the present terms and conditions of this nationwide permit. Failure to comply with the General Conditions of this Nationwide Permit, or the project-specific Special Conditions of this authorization, may result in the suspension or revocation of your authorization.

We appreciate your feedback. At your earliest convenience, please tell us how we are doing by completing the customer survey on our website under *Customer Service Survey*.

Please refer to identification number SPK-2005-00082 in any correspondence concerning this project. If you have any questions, please contact Ms. Lynette Blanchard at our Reno Field Office, 300 Booth Street, Room 3060, Reno, Nevada 89509, email *Lynette.A.Blanchard@usace.army.mil*, or telephone 775-784-5304. For more information regarding our program, please visit our website at *www.spk.usace.army.mil/regulatory.html*.

Sincerely,

Kristine Hansen Senior Project Manager Reno Field Office

Enclosure(s)

Copy furnished without enclosure(s)

Tahoe Regional Planning Agency, P.O. Box 5310, Stateline, Nevada 89449-5310

Mary Hays, California State Lands Commission, 100 Howe Avenue, Suite 100 South, Sacramento, California 95825-8202

Tobi Tyler, California Water Quality Control Board, Lahontan Region, 2501 Lake Tahoe Boulevard, South Lake Tahoe, California 96150



U S Army Corps of Engineers Sacramento District

Nationwide Permit Summary

33 CFR Part 330; Issuance of Nationwide Permits - March 19, 2007 includes corrections of May 8, 2007 and addition of regional conditions December 2007

- 23. Approved Categorical Exclusions. Activities undertaken, assisted, authorized, regulated, funded, or financed, in whole or in part, by another Federal agency or department where:
- (a) That agency or department has determined, pursuant to the Council on Environmental Quality's implementing regulations for the National Environmental Policy Act (40 CFR part 1500 et seq.), that the activity is categorically excluded from environmental documentation, because it is included within a category of actions which neither individually nor cumulatively have a significant effect on the human environment; and
- (b) The Office of the Chief of Engineers (Attn: CECW-CO) has concurred with that agency's or department's determination that the activity is categorically excluded and approved the activity for authorization under NWP 23.

The Office of the Chief of Engineers may require additional conditions, including pre-construction notification, for authorization of an agency's categorical exclusions under this NWP.

Notification: Certain categorical exclusions approved for authorization under this NWP require the permittee to submit a pre-construction notification to the district engineer prior to commencing the activity (see general condition 27). The activities that require pre-construction notification are listed in the appropriate Regulatory Guidance Letters. (Sections 10 and 404)

Note: The agency or department may submit an application for an activity believed to be categorically excluded to the Office of the Chief of Engineers (Attn: CECW-CO). Prior to approval for authorization under this NWP of any agency's activity, the Office of the Chief of Engineers will solicit public comment. As of the date of issuance of this NWP, agencies with approved categorical exclusions are the: Bureau of Reclamation, Federal Highway Administration, and U.S. Coast Guard. Activities approved for authorization under this NWP as of the date of this notice are found in Corps Regulatory Guidance Letter 05-07, which is available at:

http://www.usace.army.mil/inet/functions/cw/cecwo/reg/rglsindx .htm. Any future approved categorical exclusions will be announced in Regulatory Guidance Letters and posted on this same web site.

A. Nationwide Permit General Conditions

Note: To qualify for NWP authorization, the prospective permittee must comply with the following general conditions, as appropriate, in addition to any regional or case-specific conditions imposed by the division engineer or district engineer. Prospective permittees should contact the appropriate Corps district office to determine if regional conditions have been

imposed on an NWP. Prospective permittees should also contact the appropriate Corps district office to determine the status of Clean Water Act Section 401 water quality certification and/or Coastal Zone Management Act consistency for an NWP. \square 1. Navigation.

- ☐ (a) No activity may cause more than a minimal adverse effect on navigation. ☐ (b) Any safety lights and signals prescribed by the U.S. Coast Guard, through regulations or otherwise, must be installed and maintained at the permittee's expense on authorized facilities in navigable waters of the United States. ☐ (c) The permittee understands and agrees that, if future operations by the United States require the removal, relocation, or other alteration, of the structure or work herein authorized, or if, in the opinion of the Secretary of the Army or his authorized representative, said structure or work shall cause unreasonable obstruction to the free navigation of the navigable waters, the permittee will be required, upon due notice from the Corps of Engineers, to remove, relocate, or alter the structural work or obstructions caused thereby, without expense to the United States. No claim shall be made against the United States on account of any such removal or alteration. ☐ 2. Aquatic Life Movements. No activity may 3 Spawning Areas. Activities in spawning areas during
- substantially disrupt the necessary life cycle movements of those species of aquatic life indigenous to the waterbody, including those species that normally migrate through the area, unless the activity's primary purpose is to impound water. Culverts placed in streams must be installed to maintain low flow conditions.
- spawning seasons must be avoided to the maximum extent practicable. Activities that result in the physical destruction (e.g., through excavation, fill, or downstream smothering by substantial turbidity) of an important spawning area are not authorized.
- 4. Migratory Bird Breeding Areas. Activities in waters of the United States that serve as breeding areas for migratory birds must be avoided to the maximum extent practicable.
- ☐ 5. Shellfish Beds. No activity may occur in areas of concentrated shellfish populations, unless the activity is directly related to a shellfish harvesting activity authorized by NWPs 4 and 48.
- ☐ 6. Suitable Material. No activity may use unsuitable material (e.g., trash, debris, car bodies, asphalt, etc.). Material used for construction or discharged must be free from toxic pollutants in toxic amounts (see Section 307 of the Clean Water Act).
- ☐ 7. Water Supply Intakes. No activity may occur in the proximity of a public water supply intake, except where the activity is for the repair or improvement of public water supply intake structures or adjacent bank stabilization.
- □ 8. Adverse Effects From Impoundments. If the activity creates an impoundment of water, adverse effects to the aquatic system due to accelerating the passage of water, and/or

restricting its flow must be minimized to the maximum extent activity is authorized under any NWP which "may affect" a listed species or critical habitat, unless Section 7 practicable. consultation addressing the effects of the proposed **9. Management of Water Flows**. To the maximum extent activity has been completed. practicable, the pre-construction course, condition, capacity, and location of open waters must be maintained for each activity, ☐ (b) Federal agencies should follow their own including stream channelization and storm water management procedures for complying with the requirements of the activities, except as provided below. The activity must be ESA. Federal permittees must provide the district constructed to withstand expected high flows. The activity must engineer with the appropriate documentation to not restrict or impede the passage of normal or high flows, demonstrate compliance with those requirements. unless the primary purpose of the activity is to impound water or ☐ (c) Non-federal permittees shall notify the manage high flows. The activity may alter the pre-construction district engineer if any listed species or designated critical course, condition, capacity, and location of open waters if it habitat might be affected or is in the vicinity of the benefits the aquatic environment (e.g., stream restoration or project, or if the project is located in designated critical relocation activities). habitat, and shall not begin work on the activity until ☐ 10. Fills Within 100-Year Floodplains. The activity must notified by the district engineer that the requirements of comply with applicable FEMA-approved state or local the ESA have been satisfied and that the activity is floodplain management requirements. authorized. For activities that might affect Federally-listed endangered or threatened species or designated critical ☐ 11. Equipment. Heavy equipment working in wetlands or habitat, the pre-construction notification must include the mudflats must be placed on mats, or other measures must be name(s) of the endangered or threatened species that may taken to minimize soil disturbance. be affected by the proposed work or that utilize the ☐ 12. Soil Erosion and Sediment Controls. Appropriate soil designated critical habitat that may be affected by the erosion and sediment controls must be used and maintained in proposed work. The district engineer will determine effective operating condition during construction, and all whether the proposed activity "may affect" or will have exposed soil and other fills, as well as any work below the "no effect" to listed species and designated critical habitat ordinary high water mark or high tide line, must be permanently and will notify the non-Federal applicant of the Corps' determination within 45 days of receipt of a complete prestabilized at the earliest practicable date. Permittees are encouraged to perform work within waters of the United States construction notification. In cases where the non-Federal applicant has identified listed species or critical habitat during periods of low-flow or no-flow. that might be affected or is in the vicinity of the project, ☐ 13. Removal of Temporary Fills. Temporary fills must be and has so notified the Corps, the applicant shall not removed in their entirety and the affected areas returned to prebegin work until the Corps has provided notification the construction elevations. The affected areas must be revegetated, proposed activities will have "no effect" on listed species as appropriate. or critical habitat, or until Section 7 consultation has been completed. ☐ **14. Proper Maintenance**. Any authorized structure or fill shall be properly maintained, including maintenance to ensure ☐ (d) As a result of formal or informal public safety. consultation with the FWS or NMFS the district engineer may add species-specific regional endangered species ☐ 15. Wild and Scenic Rivers. No activity may occur in a conditions to the NWPs. component of the National Wild and Scenic River System, or in a river officially designated by Congress as a "study river" for ☐ (e) Authorization of an activity by a NWP does possible inclusion in the system while the river is in an official not authorize the "take" of a threatened or endangered study status, unless the appropriate Federal agency with direct species as defined under the ESA. In the absence of management responsibility for such river, has determined in separate authorization (e.g., an ESA Section 10 Permit, a writing that the proposed activity will not adversely affect the Biological Opinion with "incidental take" provisions, etc.) Wild and Scenic River designation or study status. Information from the U.S. FWS or the NMFS, both lethal and nonon Wild and Scenic Rivers may be obtained from the appropriate lethal "takes" of protected species are in violation of the Federal land management agency in the area (e.g., National Park ESA. Information on the location of threatened and Service, U.S. Forest Service, Bureau of Land Management, U.S. endangered species and their critical habitat can be Fish and Wildlife Service). obtained directly from the offices of the U.S. FWS and NMFS or their world wide Web pages at ☐ 16. Tribal Rights. No activity or its operation may impair http://www.fws.gov/ and reserved tribal rights, including, but not limited to, reserved http://www.noaa.gov/fisheries.html respectively. water rights and treaty fishing and hunting rights. 18. Historic Properties. ☐ 17. Endangered Species. \Box (a) In cases where the district engineer ☐ (a) No activity is authorized under any NWP determines that the activity may affect properties listed, or which is likely to jeopardize the continued existence of a eligible for listing, in the National Register of Historic threatened or endangered species or a species proposed Places, the activity is not authorized, until the for such designation, as identified under the Federal requirements of Section 106 of the National Historic Endangered Species Act (ESA), or which will destroy or Preservation Act (NHPA) have been satisfied. adversely modify the critical habitat of such species. No

☐ (b) Federal permittees should follow their own procedures for complying with the requirements of Section 106 of the National Historic Preservation Act. Federal permittees must provide the district engineer with the appropriate documentation to demonstrate compliance with those requirements.

☐ (c) Non-federal permittees must submit a preconstruction notification to the district engineer if the authorized activity may have the potential to cause effects to any historic properties listed, determined to be eligible for listing on, or potentially eligible for listing on the National Register of Historic Places, including previously unidentified properties. For such activities, the preconstruction notification must state which historic properties may be affected by the proposed work or include a vicinity map indicating the location of the historic properties or the potential for the presence of historic properties. Assistance regarding information on the location of or potential for the presence of historic resources can be sought from the State Historic Preservation Officer or Tribal Historic Preservation Officer, as appropriate, and the National Register of Historic Places (see 33 CFR 330.4(g)). The district engineer shall make a reasonable and good faith effort to carry out appropriate identification efforts, which may include background research, consultation, oral history interviews, sample field investigation, and field survey. Based on the information submitted and these efforts, the district engineer shall determine whether the proposed activity has the potential to cause an effect on the historic properties. Where the non-Federal applicant has identified historic properties which the activity may have the potential to cause effects and so notified the Corps, the non-Federal applicant shall not begin the activity until notified by the district engineer either that the activity has no potential to cause effects or that consultation under Section 106 of the NHPA has been completed.

- ☐ (d) The district engineer will notify the prospective permittee within 45 days of receipt of a complete pre-construction notification whether NHPA Section 106 consultation is required. Section 106 consultation is not required when the Corps determines that the activity does not have the potential to cause effects on historic properties (see 36 CFR §800.3(a)). If NHPA section 106 consultation is required and will occur, the district engineer will notify the non-Federal applicant that he or she cannot begin work until Section 106 consultation is completed.
- ☐ (e) Prospective permittees should be aware that section 110k of the NHPA (16 U.S.C. 470h-2(k)) prevents the Corps from granting a permit or other assistance to an applicant who, with intent to avoid the requirements of Section 106 of the NHPA, has intentionally significantly adversely affected a historic property to which the permit would relate, or having legal power to prevent it, allowed such significant adverse effect to occur, unless the Corps, after consultation with the Advisory Council on Historic Preservation (ACHP), determines that circumstances justify granting such assistance despite the adverse effect created or permitted by the applicant. If circumstances justify granting the assistance, the Corps is required to

notify the ACHP and provide documentation specifying the circumstances, explaining the degree of damage to the integrity of any historic properties affected, and proposed mitigation. This documentation must include any views obtained from the applicant, SHPO/THPO, appropriate Indian tribes if the undertaking occurs on or affects historic properties on tribal lands or affects properties of interest to those tribes, and other parties known to have a legitimate interest in the impacts to the permitted activity on historic properties.

□ 19. Designated Critical Resource Waters. Critical resource waters include, NOAA-designated marine sanctuaries, National Estuarine Research Reserves, state natural heritage sites, and outstanding national resource waters or other waters officially designated by a state as having particular environmental or ecological significance and identified by the district engineer after notice and opportunity for public comment. The district engineer may also designate additional critical resource waters after notice and opportunity for comment.

- ☐ (a) Discharges of dredged or fill material into waters of the United States are not authorized by NWPs 7, 12, 14, 16, 17, 21, 29, 31, 35, 39, 40, 42, 43, 44, 49, and 50 for any activity within, or directly affecting, critical resource waters, including wetlands adjacent to such waters.
- ☐ (b) For NWPs 3, 8, 10, 13, 15, 18, 19, 22, 23, 25, 27, 28, 30, 33, 34, 36, 37, and 38, notification is required in accordance with general condition 27, for any activity proposed in the designated critical resource waters including wetlands adjacent to those waters. The district engineer may authorize activities under these NWPs only after it is determined that the impacts to the critical resource waters will be no more than minimal.
- □ 20 Mitigation. The district engineer will consider the following factors when determining appropriate and practicable mitigation necessary to ensure that adverse effects on the aquatic environment are minimal:
 - ☐ (a) The activity must be designed and constructed to avoid and minimize adverse effects, both temporary and permanent, to waters of the United States to the maximum extent practicable at the project site (i.e., on site).
 - ☐ (b) Mitigation in all its forms (avoiding, minimizing, rectifying, reducing, or compensating) will be required to the extent necessary to ensure that the adverse effects to the aquatic environment are minimal.
 - ☐ (c) Compensatory mitigation at a minimum one-for-one ratio will be required for all wetland losses that exceed 1/10 acre and require pre-construction notification, unless the district engineer determines in writing that some other form of mitigation would be more environmentally appropriate and provides a project-specific waiver of this requirement. For wetland losses of 1/10 acre or less that require pre-construction notification, the district engineer may determine on a case-by-case basis that compensatory mitigation is required to ensure that the activity results in minimal adverse effects on the

aquatic environment. Since the likelihood of success is 330.4(c)). The district engineer or State or Tribe may require greater and the impacts to potentially valuable uplands are additional water quality management measures to ensure that the reduced, wetland restoration should be the first authorized activity does not result in more than minimal compensatory mitigation option considered. degradation of water quality. \Box (d) For losses of streams or other open waters □ 22. Coastal Zone Management. In coastal states where an that require pre-construction notification, the district NWP has not previously received a state coastal zone engineer may require compensatory mitigation, such as management consistency concurrence, an individual state coastal stream restoration, to ensure that the activity results in zone management consistency concurrence must be obtained, or minimal adverse effects on the aquatic environment. a presumption of concurrence must occur (see 33 CFR 330.4(d)). The district engineer or a State may require additional measures ☐ (e) Compensatory mitigation will not be used to to ensure that the authorized activity is consistent with state increase the acreage losses allowed by the acreage limits coastal zone management requirements. of the NWPs. For example, if an NWP has an acreage limit of 1/2 acre, it cannot be used to authorize any project ☐ 23. Regional and Case-By-Case Conditions. The activity resulting in the loss of greater than 1/2 acre of waters of must comply with any regional conditions that may have been the United States, even if compensatory mitigation is added by the Division Engineer (see 33 CFR 330.4(e)) and with provided that replaces or restores some of the lost waters. any case specific conditions added by the Corps or by the state, However, compensatory mitigation can and should be Indian Tribe, or U.S. EPA in its section 401 Water Quality used, as necessary, to ensure that a project already Certification, or by the state in its Coastal Zone Management meeting the established acreage limits also satisfies the Act consistency determination. minimal impact requirement associated with the NWPs. ☐ 24. Use of Multiple Nationwide Permits. The use of ☐ (f) Compensatory mitigation plans for projects more than one NWP for a single and complete project is in or near streams or other open waters will normally prohibited, except when the acreage loss of waters of the United include a requirement for the establishment, maintenance, States authorized by the NWPs does not exceed the acreage limit of the NWP with the highest specified acreage limit. For and legal protection (e.g., conservation easements) of example, if a road crossing over tidal waters is constructed under riparian areas next to open waters. In some cases, riparian areas may be the only compensatory mitigation required. NWP 14, with associated bank stabilization authorized by NWP Riparian areas should consist of native species. The width 13, the maximum acreage loss of waters of the United States for of the required riparian area will address documented the total project cannot exceed 1/3-acre. water quality or aquatic habitat loss concerns. Normally, □ 25. Transfer of Nationwide Permit Verifications. If the the riparian area will be 25 to 50 feet wide on each side of permittee sells the property associated with a nationwide permit the stream, but the district engineer may require slightly verification, the permittee may transfer the nationwide permit wider riparian areas to address documented water quality verification to the new owner by submitting a letter to the or habitat loss concerns. Where both wetlands and open appropriate Corps district office to validate the transfer. A copy waters exist on the project site, the district engineer will of the nationwide permit verification must be attached to the determine the appropriate compensatory mitigation (e.g., letter, and the letter must contain the following statement and riparian areas and/or wetlands compensation) based on signature: what is best for the aquatic environment on a watershed basis. In cases where riparian areas are determined to be "When the structures or work authorized by this the most appropriate form of compensatory mitigation, nationwide permit are still in existence at the time the the district engineer may waive or reduce the requirement property is transferred, the terms and conditions of this to provide wetland compensatory mitigation for wetland nationwide permit, including any special conditions, will losses. continue to be binding on the new owner(s) of the property. To validate the transfer of this nationwide \square (g) Permittees may propose the use of permit and the associated liabilities associated with mitigation banks, in-lieu fee arrangements or separate compliance with its terms and conditions, have the activity-specific compensatory mitigation. In all cases, the transferee sign and date below." mitigation provisions will specify the party responsible for accomplishing and/or complying with the mitigation plan. (Transferee) \Box (h) Where certain functions and services of ----waters of the United States are permanently adversely (Date) affected, such as the conversion of a forested or scrubshrub wetland to a herbaceous wetland in a permanently ☐ **26.** Compliance Certification. Each permittee who maintained utility line right-of-way, mitigation may be received an NWP verification from the Corps must submit a required to reduce the adverse effects of the project to the signed certification regarding the completed work and any minimal level. required mitigation. The certification form must be forwarded by ☐ 21. Water Quality. Where States and authorized Tribes, or the Corps with the NWP verification letter and will include: EPA where applicable, have not previously certified compliance of an NWP with CWA Section 401, individual 401 Water

Quality Certification must be obtained or waived (see 33 CFR

Nationwide 23 Permit Summary Page 5 ☐ (b) Contents of Pre-Construction Notification: ☐ (a) A statement that the authorized work was done in accordance with the NWP authorization, The PCN must be in writing and include the following including any general or specific conditions; information: ☐ (b) A statement that any required mitigation \square (1) Name, address and telephone numbers was completed in accordance with the permit conditions; of the prospective permittee; and \square (2) Location of the proposed project; \Box (c) The signature of the permittee certifying the \square (3) A description of the proposed project; completion of the work and mitigation. the project's purpose; direct and indirect adverse environmental effects the project would cause; any 27. Pre-Construction Notification. other NWP(s), regional general permit(s), or \Box (a) **Timing**.. Where required by the terms of the individual permit(s) used or intended to be used to NWP, the prospective permittee must notify the district authorize any part of the proposed project or any engineer by submitting a pre-construction notification related activity. The description should be (PCN) as early as possible. The district engineer must sufficiently detailed to allow the district engineer to determine if the PCN is complete within 30 calendar days determine that the adverse effects of the project will of the date of receipt and, as a general rule, will request be minimal and to determine the need for additional information necessary to make the PCN compensatory mitigation. Sketches should be complete only once. However, if the prospective provided when necessary to show that the activity permittee does not provide all of the requested complies with the terms of the NWP. (Sketches information, then the district engineer will notify the usually clarify the project and when provided result prospective permittee that the PCN is still incomplete and in a quicker decision.); the PCN review process will not commence until all of the requested information has been received by the district \Box (4) The PCN must include a delineation of engineer. The prospective permittee shall not begin the special aquatic sites and other waters of the United activity until either: States on the project site. Wetland delineations must be prepared in accordance with the current method \Box (1) He or she is notified in writing by the required by the Corps. The permittee may ask the district engineer that the activity may proceed under Corps to delineate the special aquatic sites and other the NWP with any special conditions imposed by the waters of the United States, but there may be a delay district or division engineer; or if the Corps does the delineation, especially if the project site is large or contains many waters of the ☐ (2) Forty-five calendar days have passed from the district engineer's receipt of the complete United States. Furthermore, the 45 day period will PCN and the prospective permittee has not received not start until the delineation has been submitted to or written notice from the district or division engineer. completed by the Corps, where appropriate; However, if the permittee was required to notify the \Box (5) If the proposed activity will result in the Corps pursuant to general condition 17 that listed loss of greater than 1/10 acre of wetlands and a PCN species or critical habitat might affected or in the is required, the prospective permittee must submit a vicinity of the project, or to notify the Corps pursuant statement describing how the mitigation requirement to general condition 18 that the activity may have the will be satisfied. As an alternative, the prospective potential to cause effects to historic properties, the permittee may submit a conceptual or detailed permittee cannot begin the activity until receiving mitigation plan. written notification from the Corps that is "no effect" on listed species or "no potential to cause effects" on \Box (6) If any listed species or designated historic properties, or that any consultation required critical habitat might be affected or is in the vicinity under Section 7 of the Endangered Species Act (see of the project, or if the project is located in designated critical habitat, for non-Federal applicants 33 CFR 330.4(f)) and/or Section 106 of the National Historic Preservation (see 33 CFR 330.4(g)) is the PCN must include the name(s) of those completed. Also, work cannot begin under NWPs 21, endangered or threatened species that might be 49, or 50 until the permittee has received written affected by the proposed work or utilize the approval from the Corps. If the proposed activity designated critical habitat that may be affected by the requires a written waiver to exceed specified limits of proposed work. Federal applicants must provide an NWP, the permittee cannot begin the activity until documentation demonstrating compliance with the the district engineer issues the waiver. If the district Endangered Species Act; and or division engineer notifies the permittee in writing \Box (7) For an activity that may affect a historic that an individual permit is required within 45 property listed on, determined to be eligible for calendar days of receipt of a complete PCN, the listing on, or potentially eligible for listing on, the permittee cannot begin the activity until an individual National Register of Historic Places, for non-Federal permit has been obtained. Subsequently, the applicants the PCN must state which historic property permittee's right to proceed under the NWP may be may be affected by the proposed work or include a modified, suspended, or revoked only in accordance

with the procedure set forth in 33 CFR 330.5(d)(2).

vicinity map indicating the location of the historic

property. Federal applicants must provide documentation demonstrating compliance with Section 106 of the National Historic Preservation Act.

☐ (c) Form of Pre-Construction Notification: The standard individual permit application form (Form ENG 4345) may be used, but the completed application form must clearly indicate that it is a PCN and must include all of the information required in paragraphs (b)(1) through (7) of this general condition. A letter containing the required information may also be used.

☐ (d) <u>Agency Coordination</u>:

- ☐ (1) The district engineer will consider any comments from Federal and state agencies concerning the proposed activity's compliance with the terms and conditions of the NWPs and the need for mitigation to reduce the project's adverse environmental effects to a minimal level.
- ☐ (2) For all NWP 48 activities requiring preconstruction notification and for other NWP activities requiring pre-construction notification to the district engineer that result in the loss of greater than 1/2-acre of waters of the United States, the district engineer will immediately provide (e.g., via facsimile transmission, overnight mail, or other expeditious manner) a copy of the PCN to the appropriate Federal or state offices (U.S. FWS, state natural resource or water quality agency, EPA, State Historic Preservation Officer (SHPO) or Tribal Historic Preservation Office (THPO), and, if appropriate, the NMFS). With the exception of NWP 37, these agencies will then have 10 calendar days from the date the material is transmitted to telephone or fax the district engineer notice that they intend to provide substantive, site-specific comments. If so contacted by an agency, the district engineer will wait an additional 15 calendar days before making a decision on the pre-construction notification. The district engineer will fully consider agency comments received within the specified time frame, but will provide no response to the resource agency, except as provided below. The district engineer will indicate in the administrative record associated with each preconstruction notification that the resource agencies' concerns were considered. For NWP 37, the emergency watershed protection and rehabilitation activity may proceed immediately in cases where there is an unacceptable hazard to life or a significant loss of property or economic hardship will occur. The district engineer will consider any comments received to decide whether the NWP 37 authorization should be modified, suspended, or revoked in accordance with the procedures at 33 CFR 330.5.
- ☐ (3) In cases of where the prospective permittee is not a Federal agency, the district engineer will provide a response to NMFS within 30 calendar days of receipt of any Essential Fish Habitat conservation recommendations, as required by Section 305(b)(4)(B) of the Magnuson-Stevens Fishery Conservation and Management Act.

☐ (4) Applicants are encouraged to provide the Corps multiple copies of pre-construction notifications to expedite agency coordination.

- ☐ (5) For NWP 48 activities that require reporting, the district engineer will provide a copy of each report within 10 calendar days of receipt to the appropriate regional office of the NMFS.
- ☐ (e) In reviewing the PCN for the proposed activity, the district engineer will determine whether the activity authorized by the NWP will result in more than minimal individual or cumulative adverse environmental effects or may be contrary to the public interest. If the proposed activity requires a PCN and will result in a loss of greater than 1/10 acre of wetlands, the prospective permittee should submit a mitigation proposal with the PCN. Applicants may also propose compensatory mitigation for projects with smaller impacts. The district engineer will consider any proposed compensatory mitigation the applicant has included in the proposal in determining whether the net adverse environmental effects to the aquatic environment of the proposed work are minimal. The compensatory mitigation proposal may be either conceptual or detailed. If the district engineer determines that the activity complies with the terms and conditions of the NWP and that the adverse effects on the aquatic environment are minimal, after considering mitigation, the district engineer will notify the permittee and include any conditions the district engineer deems necessary. The district engineer must approve any compensatory mitigation proposal before the permittee commences work. If the prospective permittee elects to submit a compensatory mitigation plan with the PCN, the district engineer will expeditiously review the proposed compensatory mitigation plan. The district engineer must review the plan within 45 calendar days of receiving a complete PCN and determine whether the proposed mitigation would ensure no more than minimal adverse effects on the aquatic environment. If the net adverse effects of the project on the aquatic environment (after consideration of the compensatory mitigation proposal) are determined by the district engineer to be minimal, the district engineer will provide a timely written response to the applicant. The response will state that the project can proceed under the terms and conditions of the NWP.

If the district engineer determines that the adverse effects of the proposed work are more than minimal, then the district engineer will notify the applicant either: (1) That the project does not qualify for authorization under the NWP and instruct the applicant on the procedures to seek authorization under an individual permit; (2) that the project is authorized under the NWP subject to the applicant's submission of a mitigation plan that would reduce the adverse effects on the aquatic environment to the minimal level; or (3) that the project is authorized under the NWP with specific modifications or conditions. Where the district engineer determines that mitigation is required to ensure no more than minimal adverse effects occur to the aquatic environment, the activity will be authorized within the 45-day PCN period. The authorization will include the necessary conceptual or specific mitigation or a requirement that the applicant

submit a mitigation plan that would reduce the adverse effects on the aquatic environment to the minimal level. When mitigation is required, no work in waters of the United States may occur until the district engineer has approved a specific mitigation plan.

☐ (a) 28. Single and Complete Project. The activity must be a single and complete project. The same NWP cannot be used more than once for the same single and complete project.

B. Regional Conditions:

I. Sacramento District (All States, except Colorado)

- 1. When pre-construction notification (PCN) is required, the prospective permittee shall notify the Sacramento District in accordance with General Condition 27 using either the South Pacific Division Preconstruction Notification (PCN) Checklist or a completed application form (ENG Form 4345). In addition, the PCN shall include:
 - a. A written statement explaining how the activity has been designed to avoid and minimize adverse effects, both temporary and permanent, to waters of the United States:
 - b. Drawings, including plan and cross-section views, clearly depicting the location, size and dimensions of the proposed activity. The drawings shall contain a title block, legend and scale, amount (in cubic yards) and size (in acreage) of fill in Corps jurisdiction, including both permanent and temporary fills/structures. The ordinary high water mark or, if tidal waters, the high tide line should be shown (in feet), based on National Geodetic Vertical Datum (NGVD) or other appropriate referenced elevation; and
 - c. Pre-project color photographs of the project site taken from designatedlocations documented on the plan drawing.
- 2. The permittee shall complete compensatory mitigation required by special conditions of the NWP verification before or concurrent with construction of the authorized activity, except when specifically determined to be impracticable by the Sacramento District. When project mitigation involves use of a mitigation bank or in-lieu fee program, payment shall be made before commencing construction.
- 3. The permittee shall record the NWP verification with the Registrar of Deeds or other appropriate official charged with the responsibility for maintaining records of title to or interest in real property against areas (1) designated to be preserved as part of mitigation for authorized impacts, including any associated covenants or restrictions, or (2) where structures such as boat ramps or docks, marinas, piers, and permanently moored vessels will be constructed in or adjacent to navigable waters (Section 10 and Section 404). The recordation shall also include a map showing the surveyed location of the authorized structure and any associated areas preserved to minimize or compensate for project impacts.
- 4. The permittee shall place wetlands, other aquatic areas, and any vegetative buffers preserved as part of mitigation for impacts into a separate "preserve" parcel prior to discharging

dredged or fill material into waters of the United States, except where specifically determined to be impracticable by the Sacramento District. Permanent legal protection shall be established for all preserve parcels, following Sacramento District approval of the legal instrument.

- 5. The permittee shall allow Corps representatives to inspect the authorized activity and any mitigation areas at any time deemed necessary to determine compliance with the terms and conditions of the NWP verification. The permittee will be notified in advance of an inspection.
- 6. For NWPs 29, 39, 40, 42, 43, 44, and 46, requests to waive the 300 linear foot limitation for intermittent or ephemeral waters of the U.S. shall include an evaluation of functions and services provided by the waterbody taking into account the watershed, measures to be implemented to avoid and minimize impacts, other measures to avoid and minimize that were found to be impracticable, and a mitigation plan for offsetting impacts.
- 7. Road crossings shall be designed to ensure fish passage, especially for anadromous fisheries. Permittees shall employ bridge designs that span the stream or river, utilize pier or pile supported structures, or involve large bottomless culverts with a natural streambed, where the substrate and streamflow conditions approximate existing channel conditions. Approach fills in waters of the United States below the ordinary high water mark are not authorized under the NWPs, except where avoidance has specifically been determined to be impracticable by the Sacramento District.
- 8. For NWP 12, clay blocks, bentonite, or other suitable material shall be used to seal the trench to prevent the utility line from draining waters of the United States, including wetlands.
- 9. For NWP 13, bank stabilization shall include the use of vegetation or other biotechnical design to the maximum extent practicable. Activities involving hard-armoring of the bank toe or slope requires submission of a PCN per General Condition 27.
- 10. For NWP 23, the PCN shall include a copy of the signed Categorical Exclusion document and final agency determinations regarding compliance with Section 7 of the Endangered Species Act, Essential Fish Habitat under the Magnussen-Stevens Act, and Section 106 of the National Historic Preservation Act.
- 11. For NWP 44, the discharge shall not cause the loss of more than 300 linear feet of streambed. For intermittent and ephemeral streams, the 300 linear foot limit may be waived in writing by the Sacramento District. This NWP does not authorize discharges in waters of the United States supporting anadromous fisheries.
- 12. For NWPs 29 and 39, channelization or relocation of intermittent or perennial drainage, is not authorized, except when, as determined by the Sacramento District, the relocation would result in a net increase in functions of the aquatic ecosystem within the watershed.
- 13. For NWP 33, temporary fills for construction access in waters of the United States supporting fisheries shall be accomplished with clean, washed spawning quality gravels where practicable as determined by the Sacramento District, in consultation with appropriate federal and state wildlife agencies.

14. For NWP 46, the discharge shall not cause the loss of greater than 0.5 acres of waters of the United States or the loss of more than 300 linear feet of ditch, unless this 300 foot linear foot limit is waived in writing by the Sacramento District.

- 15. For NWPs 29, 39, 40, 42, and 43, upland vegetated buffers shall be established and maintained in perpetuity, to the maximum extent practicable, next to all preserved open waters, streams and wetlands including created, restored, enhanced or preserved waters of the U.S., consistent with General Condition 20. Except in unusual circumstances, vegetated buffers shall be at least 50 feet in width.
- 16. All NWPs except 3, 6, 20, 27, 32, 38, and 47, are revoked for activities in histosols and fens and in wetlands contiguous with fens. Fens are defined as slope wetlands with a histic epipedon that are hydrologically supported by groundwater. Fens are normally saturated throughout the growing season, although they may not be during drought conditions. For NWPs 3, 6, 20, 27, 32, and 38, prospective permittees shall submit a PCN to the Sacramento District in accordance with General Condition 27.
- 17. For all NWPs, when activities are proposed within 100 feet of the point of groundwater discharge of a natural spring, prospective permittees shall submit a PCN to the Sacramento District in accordance with General Condition 27. A spring source is defined as any location where ground water emanates from a point in the ground. For purposes of this condition, springs do not include seeps or other discharges which lack a defined channel.

II. California Only

- 1. In the Lake Tahoe Basin, all NWPs are revoked. Activities in this area shall be authorized under Regional General Permit 16 or through an individual permit.
- 2. In the Primary and Secondary Zones of the Legal Delta, NWPs 29 and 39 are revoked. New development activities in the Legal Delta will be reviewed through the Corps' standard permit process.

III. Nevada Only

1. In the Lake Tahoe Basin, all NWPs are revoked. Activities in this area shall be authorized under Regional General Permit 16 or through an individual permit.

IV. Utah Only

- 1. For all NWPs, except NWP 47, prospective permittees shall submit a PCN in accordance with General Condition 27 for any activity, in waters of the United States, below 4217 feet mean sea level (msl) adjacent to the Great Salt Lake and below 4500 feet msl adjacent to Utah Lake.
- 2. A PCN is required for all bank stabilization activities in a perennial stream that would affect more than 100 linear feet of stream
- 3. For NWP 27, facilities for controlling stormwater runoff, construction of water parks such as kayak courses, and use of grout or concrete to construct in-stream structures are not authorized. A PCN is required for all projects exceeding 1500 linear feet as measured on the stream thalweg, using in stream structures exceeding 50 cubic yards per structure and/or incorporating grade control structures exceeding 1 foot vertical

drop. For any stream restoration project, the post project stream sinuosity shall be appropriate to the geomorphology of the surrounding area and shall be equal to, or greater than, pre project sinuosity. Sinuosity is defined as the ratio of stream length to project reach length. Structures shall allow the passage of aquatic organisms, recreational water craft or other navigational activities unless specifically waived in writing by the District Engineer.

V. Colorado Only

- 1. Final Regional Conditions Applicable to Specific Nationwide Permits within Colorado.
 - a. Nationwide Permit Nos. 12 and 14, Utility Line Activities and Linear Transportation Projects. In the Colorado River Basin, utility line and road activities crossing perennial water or special aquatic sites require notification to the District Engineer in accordance with General Condition 27 (Pre-Construction Notification).
 - b. Nationwide Permit No. 13 Bank Stabilization. In Colorado, bank stabilization activities necessary for erosion prevention in streams that average less than 20 feet in width (measured between the ordinary high water marks) are limited to the placement of no more than 1/4 cubic yard of suitable fill* material per running foot below the plane of the ordinary high water mark. Activities greater than 1/4 cubic yard may be authorized if the permittee notifies the District Engineer in accordance with General Condition 27 (Pre-Construction Notification) and the Corps determines the adverse environmental effects are minimal. [* See (g) for definition of Suitable Fill]
 - c. Nationwide Permit No. 27 Aquatic Habitat Restoration, Establishment, and Enhancement Activities.
 - (1) For activities that include a fishery enhancement component, the Corps will send the Pre-Construction Notification to the Colorado Division of Wildlife (CDOW) for review. In accordance with General Condition 27 (Pre-Construction Notification), CDOW will have 10 days from the receipt of Corps notification to indicate that they will be commenting on the proposed project. CDOW will then have an additional 15 days after the initial 10-day period to provide those comments. If CDOW raises concerns, the applicant may either modify their plan, in coordination with CDOW, or apply for a standard individual permit.
 - (2) For activities involving the length of a stream, the post-project stream sinuosity will not be significantly reduced, unless it is demonstrated that the reduction in sinuosity is consistent with the natural morphological evolution of the stream (sinuosity is the ratio of stream length to project reach length).
 - (3) Structures will allow the upstream and downstream passage of aquatic organisms, including fish native to the reach, as well as recreational water craft or other navigational activities, unless specifically waived in writing by the District Engineer. The use of grout and/or concrete in

building structures is not authorized by this nationwide permit.

- (4) The construction of water parks (i.e., kayak courses) and flood control projects are not authorized by this nationwide permit.
- d. Nationwide Permits Nos. 29 and 39; Residential Developments and Commercial and Institutional Developments. A copy of the existing FEMA/locally-approved floodplain map must be submitted with the Pre-Construction Notification. When reviewing proposed developments, the Corps will utilize the most accurate and reliable FEMA/locally-approved pre-project floodplain mapping, not post-project floodplain mapping based on a CLOMR or LOMR. However, the Corps will accept revisions to existing floodplain mapping if the revisions resolve inaccuracies in the original floodplain mapping and if the revisions accurately reflect pre-project conditions.
- 2. Final Regional Conditions Applicable to All Nationwide Permits within Colorado
 - e. Removal of Temporary Fills. General Condition 13 (Removal of Temporary Fills) is amended by adding the following: When temporary fills are placed in wetlands in Colorado, a horizontal marker (i.e. fabric, certified weedfree straw, etc.) must be used to delineate the existing ground elevation of wetlands that will be temporarily filled during construction.
 - f. Spawning Areas. General Condition 3 (Spawning Areas) is amended by adding the following: In Colorado, all Designated Critical Resource Waters (see enclosure 1) are considered important spawning areas. Therefore, In accordance with General Condition 19 (Designated Critical Resource Waters), the discharge of dredged or fill material in not authorized by the following nationwide permits in these waters: NWPs 7, 12, 14, 16, 17, 21, 29, 31, 35, 39, 40, 42, 43, 44, 49, and 50. In addition, in accordance with General Condition 27 (Pre-Construction Notification), notification to the District Engineer is required for use of the following nationwide permits in these waters: NWPs 3, 8, 10, 13, 15, 18, 19, 22, 23, 25, 27, 28, 30, 33, 34, 36, 37 and 38".
 - g. Suitable Fill. In Colorado, use of broken concrete as fill material requires notification to the District Engineer in accordance with General Condition 27 (Pre-Construction Notification). Permittees must demonstrate that soft engineering methods utilizing native or non-manmade materials are not practicable (with respect to cost, existing technology, and logistics), before broken concrete is allowed as suitable fill. Use of broken concrete with exposed rebar is prohibited in perennial waters and special aquatic sites.
 - h. Invasive Aquatic Species. General Condition 11 is amended by adding the following condition for work in perennial or intermittent waters of the United States: If heavy equipment is used for the subject project that was previously working in another stream, river, lake, pond, or wetland within 10 days of initiating work, one the

following procedures is necessary to prevent the spread of New Zealand Mud Snails and other aquatic hitchhikers:

- (1) Remove all mud and debris from equipment (tracks, turrets, buckets, drags, teeth, etc.) and keep the equipment dry for 10 days. OR
- (2) Remove all mud and debris from Equipment (tracks, turrets, buckets, drags, teeth, etc.) and spray/soak equipment with either a 1:1 solution of Formula 409 Household Cleaner and water, or a solution of Sparquat 256 (5 ounces Sparquat per gallon of water). Treated equipment must be kept moist for at least 10 minutes. OR
- (3) Remove all mud and debris from equipment (tracks, turrets, buckets, drags, teeth, etc.) and spray/soak equipment with water greater than 120 degrees F for at least 10 minutes.
- 3. Final Regional Conditions for Revocation/Special Notification Specific to Certain Geographic Areas
 - i. Fens: All Nationwide permits, except permit Nos. 3, 6, 20, 27, 32, 38 and 47, are revoked in fens and wetlands adjacent to fens. Use of nationwide permit Nos. 3, 20, 27 and 38, requires notification to the District Engineer, in accordance with General Condition 27 (Pre-Construction Notification), and the permittee may not begin the activity until the Corps determines the adverse environmental effects are minimal. The following defines a fen:

Fen soils (histosols) are normally saturated throughout the growing season, although they may not be during drought conditions. The primary source of hydrology for fens is groundwater. Histosols are defined in accordance with the U.S. Department of Agriculture, Natural Resources Conservation Service publications on Keys to Soil Taxonomy and Field Indicators of Hydric Soils in the United States (http://soils.usda.gov/technical/classification/taxonomy).

j. Springs: Within the state of Colorado, all NWPs, except permit 47 (original 'C'), require preconstruction notification pursuant to General Condition 27 for discharges of dredged or fill material within 100 feet of the point of groundwater discharge of natural springs. A spring source is defined as any location where groundwater emanates from a point in the ground. For purposes of this regional condition, springs do not include seeps or other discharges which do not have a defined channel.

Additional Information

The following provides additional information regarding minimization of impacts and compliance with existing general Conditions:

a. Permittees are reminded of the existing General Condition No. 6 which prohibits the use of unsuitable material. Organic debris, building waste, asphalt, car bodies, and trash are not suitable material. Also, General Condition 12 requires appropriate erosion and sediment controls (i.e. all fills must be permanently stabilized to

prevent erosion and siltation into waters and wetlands at the earliest practicable date). Streambed material or other small aggregate material placed along a bank as stabilization will not meet General Condition 12. Also, use of erosion control mates that contain plastic netting may not meet General Condition 12 if deemed harmful to wildlife.

- b. Designated Critical Resource Waters in Colorado. In Colorado, a list of designated Critical Resource Waters has been published in accordance with General Condition 19 (Designated Critical Resource Waters). This list will be published on the Albuquerque District Regulatory home page (http://www.spa.usace.army.mil/reg/)
- c. Federally-Listed Threatened and Endangered Species. General condition 17 requires that nod-federal permittees notify the District Engineer if any listed species or designated critical habitat might be affected or is in the vicinity of the project. Information on such species, to include occurrence by county in Colorado, may be found at the following U.S. Fish and Wildlife Service website:

http://www.fws.gov/mountain%2Dprairie/endspp/name_c ounty_search.htm

C. Further Information

- 1. District Engineers have authority to determine if an activity complies with the terms and conditions of an NWP.
- 2. NWPs do not obviate the need to obtain other federal, state, or local permits, approvals, or authorizations required by law.
- 3. NWPs do not grant any property rights or exclusive privileges.
- 4. NWPs do not authorize any injury to the property or rights of others
- 5. NWPs do not authorize interference with any existing or proposed Federal project.

D. Definitions

Best management practices (BMPs): Policies, practices, procedures, or structures implemented to mitigate the adverse environmental effects on surface water quality resulting from development. BMPs are categorized as structural or non-structural.

Compensatory mitigation: The restoration, establishment (creation), enhancement, or preservation of aquatic resources for the purpose of compensating for unavoidable adverse impacts which remain after all appropriate and practicable avoidance and minimization has been achieved.

Currently serviceable: Useable as is or with some maintenance, but not so degraded as to essentially require reconstruction.

Discharge: The term "discharge" means any discharge of dredged or fill material.

Enhancement: The manipulation of the physical, chemical, or biological characteristics of an aquatic resource to heighten, intensify, or improve a specific aquatic resource function(s). Enhancement results in the gain of selected aquatic resource function(s), but may also lead to a decline in other aquatic

resource function(s). Enhancement does not result in a gain in aquatic resource area.

Ephemeral stream: An ephemeral stream has flowing water only during, and for a short duration after, precipitation events in a typical year. Ephemeral stream beds are located above the water table year-round. Groundwater is not a source of water for the stream. Runoff from rainfall is the primary source of water for stream flow.

Establishment (creation): The manipulation of the physical, chemical, or biological characteristics present to develop an aquatic resource that did not previously exist at an upland site. Establishment results in a gain in aquatic resource area.

Historic Property: Any prehistoric or historic district, site (including archaeological site), building, structure, or other object included in, or eligible for inclusion in, the National Register of Historic Places maintained by the Secretary of the Interior. This term includes artifacts, records, and remains that are related to and located within such properties. The term includes properties of traditional religious and cultural importance to an Indian tribe or Native Hawaiian organization and that meet the National Register criteria (36 CFR part 60).

Independent utility: A test to determine what constitutes a single and complete project in the Corps regulatory program. A project is considered to have independent utility if it would be constructed absent the construction of other projects in the project area. Portions of a multi-phase project that depend upon other phases of the project do not have independent utility. Phases of a project that would be constructed even if the other phases were not built can be considered as separate single and complete projects with independent utility.

Intermittent stream: An intermittent stream has flowing water during certain times of the year, when groundwater provides water for stream flow. During dry periods, intermittent streams may not have flowing water. Runoff from rainfall is a supplemental source of water for stream flow.

Loss of waters of the United States: Waters of the United States that are permanently adversely affected by filling, flooding, excavation, or drainage because of the regulated activity. Permanent adverse effects include permanent discharges of dredged or fill material that change an aquatic area to dry land, increase the bottom elevation of a waterbody, or change the use of a waterbody. The acreage of loss of waters of the United States is a threshold measurement of the impact to jurisdictional waters for determining whether a project may qualify for an NWP; it is not a net threshold that is calculated after considering compensatory mitigation that may be used to offset losses of aquatic functions and services. The loss of stream bed includes the linear feet of stream bed that is filled or excavated. Waters of the United States temporarily filled, flooded, excavated, or drained, but restored to pre-construction contours and elevations after construction, are not included in the measurement of loss of waters of the United States. Impacts resulting from activities eligible for exemptions under Section 404(f) of the Clean Water Act are not considered when calculating the loss of waters of the United States.

Non-tidal wetland: A non-tidal wetland is a wetland that is not subject to the ebb and flow of tidal waters. The definition of a wetland can be found at 33 CFR 328.3(b). Non-tidal wetlands

contiguous to tidal waters are located landward of the high tide line (i.e., spring high tide line).

Open water: For purposes of the NWPs, an open water is any area that in a year with normal patterns of precipitation has water flowing or standing above ground to the extent that an ordinary high water mark can be determined. Aquatic vegetation within the area of standing or flowing water is either non-emergent, sparse, or absent. Vegetated shallows are considered to be open waters. Examples of "open waters" include rivers, streams, lakes, and ponds.

Ordinary High Water Mark: An ordinary high water mark is a line on the shore established by the fluctuations of water and indicated by physical characteristics, or by other appropriate means that consider the characteristics of the surrounding areas (see 33 CFR 328.3(e)).

Perennial stream: A perennial stream has flowing water year-round during a typical year. The water table is located above the stream bed for most of the year. Groundwater is the primary source of water for stream flow. Runoff from rainfall is a supplemental source of water for stream flow.

Practicable: Available and capable of being done after taking into consideration cost, existing technology, and logistics in light of overall project purposes.

Pre-construction notification: A request submitted by the project proponent to the Corps for confirmation that a particular activity is authorized by nationwide permit. The request may be a permit application, letter, or similar document that includes information about the proposed work and its anticipated environmental effects. Pre-construction notification may be required by the terms and conditions of a nationwide permit, or by regional conditions. A pre-construction notification may be voluntarily submitted in cases where pre-construction notification is not required and the project proponent wants confirmation that the activity is authorized by nationwide permit.

Preservation: The removal of a threat to, or preventing the decline of, aquatic resources by an action in or near those aquatic resources. This term includes activities commonly associated with the protection and maintenance of aquatic resources through the implementation of appropriate legal and physical mechanisms. Preservation does not result in a gain of aquatic resource area or functions.

Re-establishment: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of returning natural/historic functions to a former aquatic resource. Re-establishment results in rebuilding a former aquatic resource and results in a gain in aquatic resource area.

Rehabilitation: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of repairing natural/historic functions to a degraded aquatic resource. Rehabilitation results in a gain in aquatic resource function, but does not result in a gain in aquatic resource area.

Restoration: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of returning natural/historic functions to a former or degraded aquatic resource. For the purpose of tracking net gains in aquatic resource area, restoration is divided into two categories: reestablishment and rehabilitation.

Riffle and pool complex: Riffle and pool complexes are special aquatic sites under the 404(b)(1) Guidelines. Riffle and pool complexes sometimes characterize steep gradient sections of streams. Such stream sections are recognizable by their hydraulic characteristics. The rapid movement of water over a course substrate in riffles results in a rough flow, a turbulent surface, and high dissolved oxygen levels in the water. Pools are deeper areas associated with riffles. A slower stream velocity, a streaming flow, a smooth surface, and a finer substrate characterize pools.

Riparian areas: Riparian areas are lands adjacent to streams, lakes, and estuarine-marine shorelines. Riparian areas are transitional between terrestrial and aquatic ecosystems, through which surface and subsurface hydrology connects waterbodies with their adjacent uplands. Riparian areas provide a variety of ecological functions and services and help improve or maintain local water quality. (See general condition 20.)

Shellfish seeding: The placement of shellfish seed and/or suitable substrate to increase shellfish production. Shellfish seed consists of immature individual shellfish or individual shellfish attached to shells or shell fragments (i.e., spat on shell). Suitable substrate may consist of shellfish shells, shell fragments, or other appropriate materials placed into waters for shellfish habitat.

Single and complete project: The term "single and complete project" is defined at 33 CFR 330.2(i) as the total project proposed or accomplished by one owner/developer or partnership or other association of owners/developers. A single and complete project must have independent utility (see definition). For linear projects, a "single and complete project" is all crossings of a single water of the United States (i.e., a single waterbody) at a specific location. For linear projects crossing a single waterbody several times at separate and distant locations, each crossing is considered a single and complete project. However, individual channels in a braided stream or river, or individual arms of a large, irregularly shaped wetland or lake, etc., are not separate waterbodies, and crossings of such features cannot be considered separately.

Stormwater management: Stormwater management is the mechanism for controlling stormwater runoff for the purposes of reducing downstream erosion, water quality degradation, and flooding and mitigating the adverse effects of changes in land use on the aquatic environment.

Stormwater management facilities: Stormwater management facilities are those facilities, including but not limited to, stormwater retention and detention ponds and best management practices, which retain water for a period of time to control runoff and/or improve the quality (i.e., by reducing the concentration of nutrients, sediments, hazardous substances and other pollutants) of stormwater runoff.

Stream bed: The substrate of the stream channel between the ordinary high water marks. The substrate may be bedrock or inorganic particles that range in size from clay to boulders. Wetlands contiguous to the stream bed, but outside of the ordinary high water marks, are not considered part of the stream bed.

Stream channelization: The manipulation of a stream's course, condition, capacity, or location that causes more than minimal

interruption of normal stream processes. A channelized stream remains a water of the United States.

Structure: An object that is arranged in a definite pattern of organization. Examples of structures include, without limitation, any pier, boat dock, boat ramp, wharf, dolphin, weir, boom, breakwater, bulkhead, revetment, riprap, jetty, artificial island, artificial reef, permanent mooring structure, power transmission line, permanently moored floating vessel, piling, aid to navigation, or any other manmade obstacle or obstruction.

Tidal wetland: A tidal wetland is a wetland (i.e., water of the United States) that is inundated by tidal waters. The definitions of a wetland and tidal waters can be found at 33 CFR 328.3(b) and 33 CFR 328.3(f), respectively. Tidal waters rise and fall in a predictable and measurable rhythm or cycle due to the gravitational pulls of the moon and sun. Tidal waters end where the rise and fall of the water surface can no longer be practically measured in a predictable rhythm due to masking by other waters, wind, or other effects. Tidal wetlands are located channelward of the high tide line, which is defined at 33 CFR 328.3(d).

Vegetated shallows: Vegetated shallows are special aquatic sites under the 404(b)(1) Guidelines. They are areas that are permanently inundated and under normal circumstances have rooted aquatic vegetation, such as seagrasses in marine and estuarine systems and a variety of vascular rooted plants in freshwater systems.

Waterbody: For purposes of the NWPs, a waterbody is a jurisdictional water of the United States that, during a year with normal patterns of precipitation, has water flowing or standing above ground to the extent that an ordinary high water mark (OHWM) or other indicators of jurisdiction can be determined, as well as any wetland area (see 33 CFR 328.3(b)). If a jurisdictional wetland is adjacent--meaning bordering, contiguous, or neighboring--to a jurisdictional waterbody displaying an OHWM or other indicators of jurisdiction, that waterbody and its adjacent wetlands are considered together as a single aquatic unit (see 33 CFR 328.4(c)(2)). Examples of "waterbodies" include streams, rivers, lakes, ponds, and wetlands.